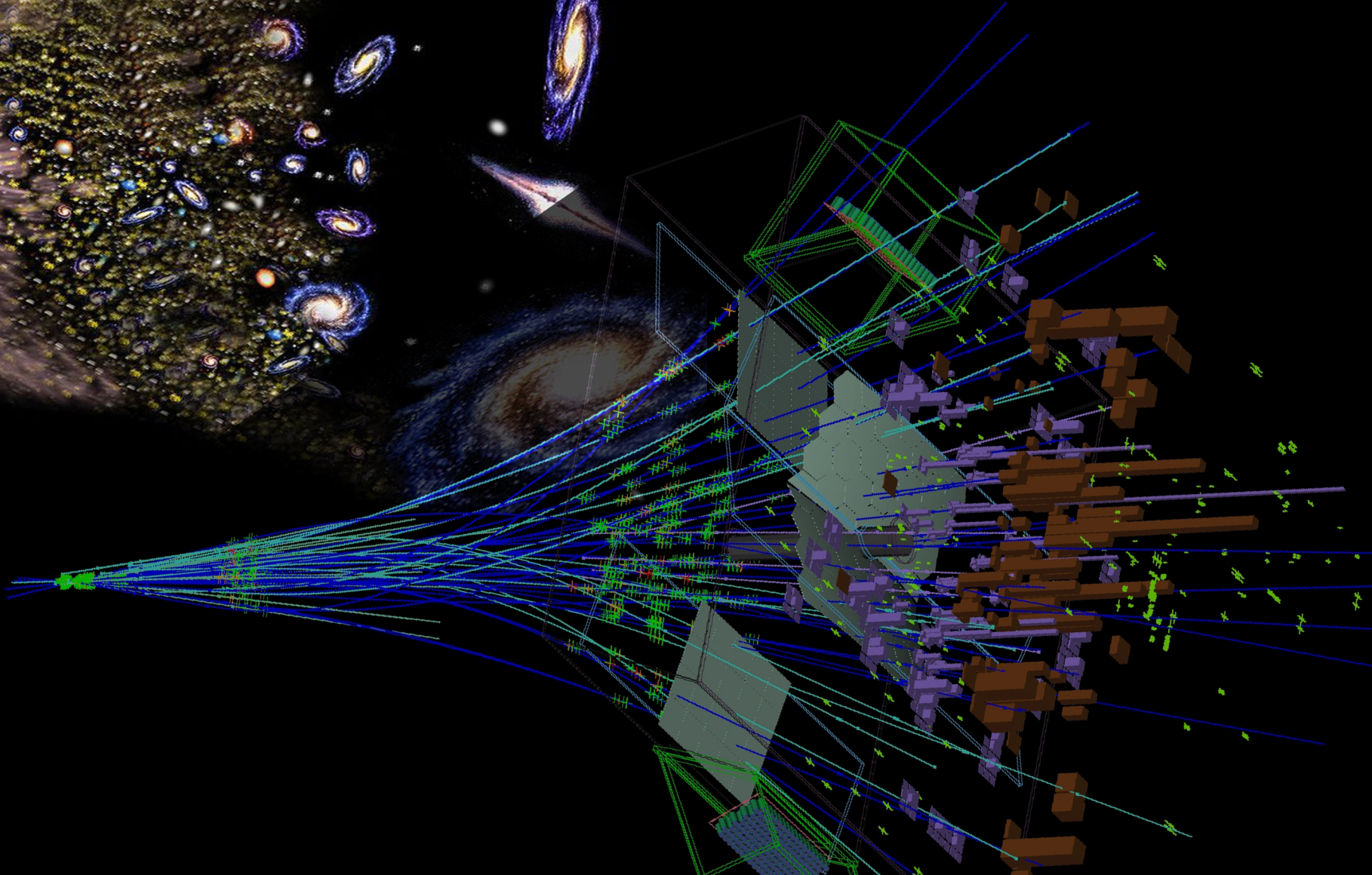


# Flavour Physics and CP Violation

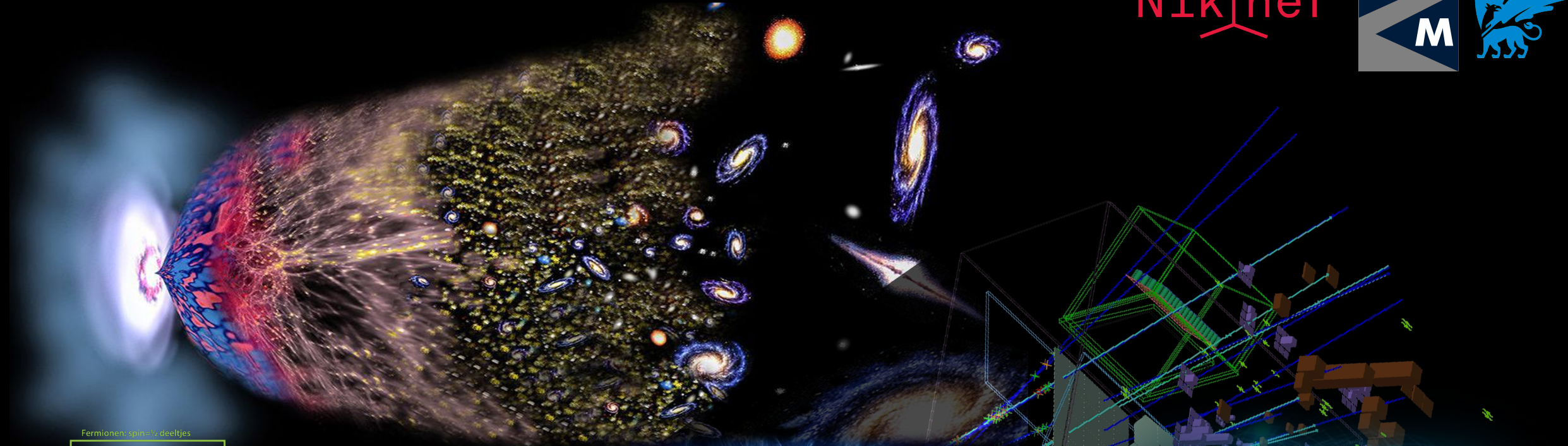
Nikhef



Marcel Merk  
Nikhef Lectures PP2

# Flavour Physics and CP Violation

Nikhef



Fermionen: spin=1/2 deeltjes

Quarks		
u	c	t
d	s	b
1	2	3
Leptonen		
$\nu_e$	$\nu_\mu$	$\nu_\tau$
e	$\mu$	$\tau$

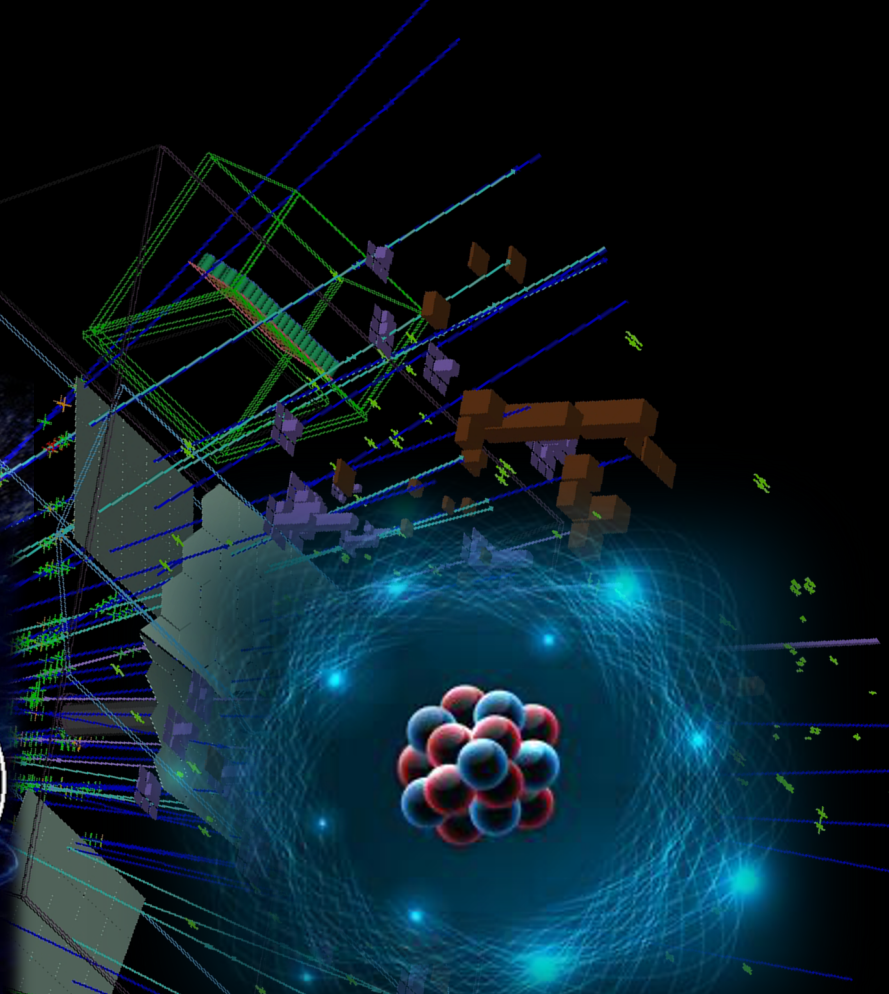
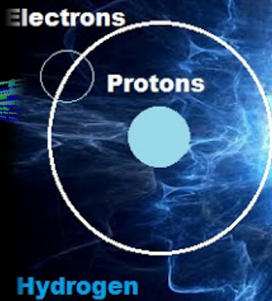
bosonen spin=1 deeltjes

Krachten	
Z	$\gamma$
W	g



**Matter**

**Antimatter**



**Why three generations of particles?**

**Why is there no antimatter?**

**Why is an atom electric neutral?**

## Lecturer:

- Marcel Merk



## Tutors:

- Jordy Butter
- Suzanne Klaver

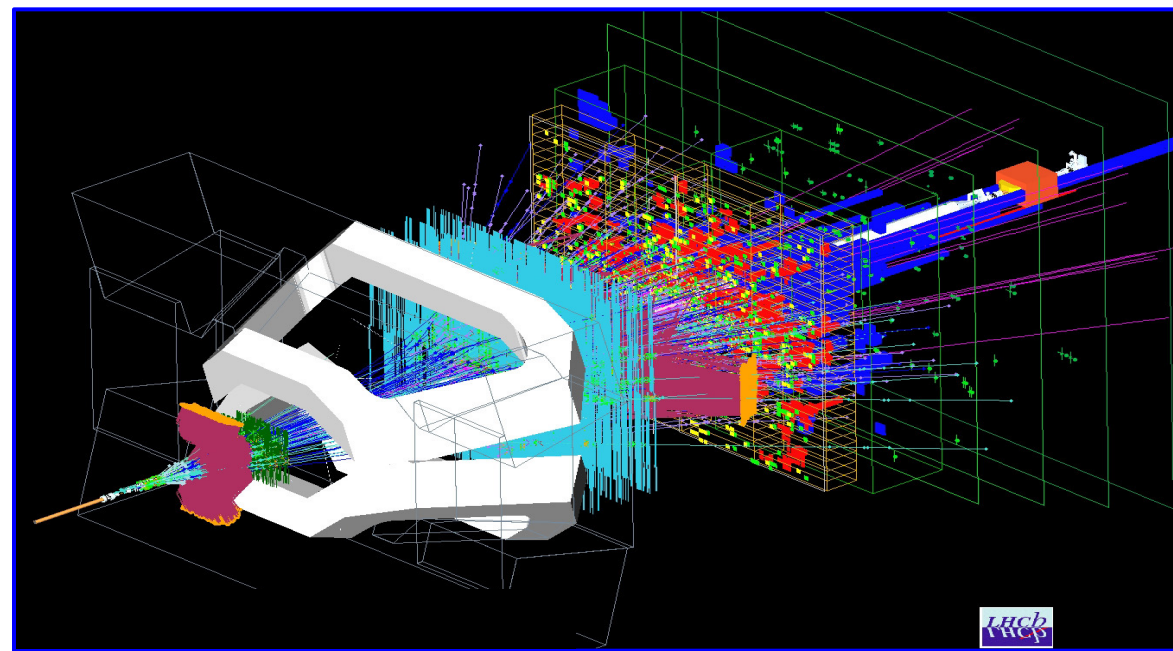
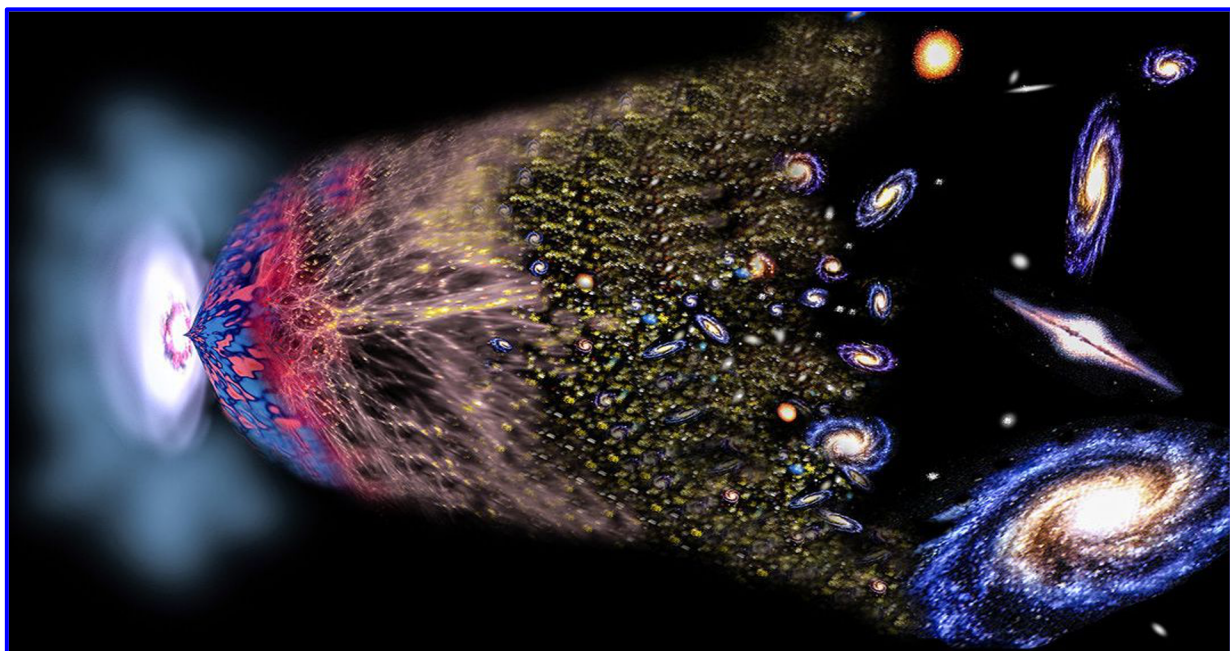


### Research ("theoretical"):

- Why a *matter-vs-antimatter asymmetry* in nature?
- Why do we have *three generations* of particles?

### Research ("experimental"):

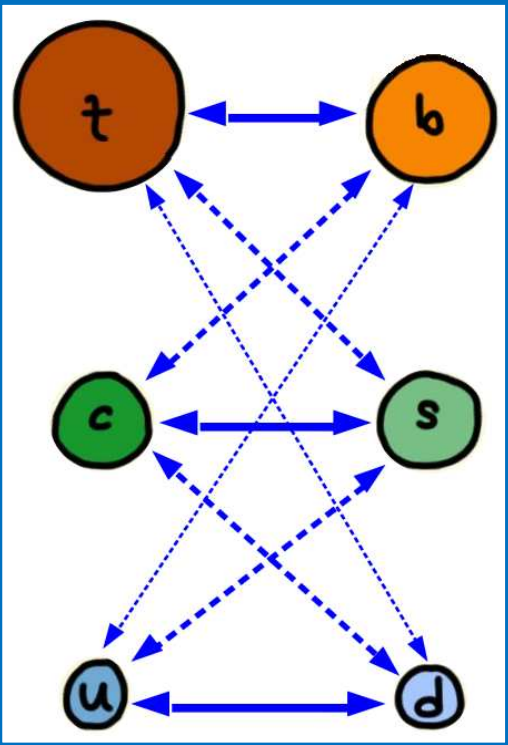
- Detector technology at the *Large Hadron Collider*.
- *Measurements of CP violation and rare b-decays*



# The Antimatter Mystery



# Flavour Physics and $CP$ Violation



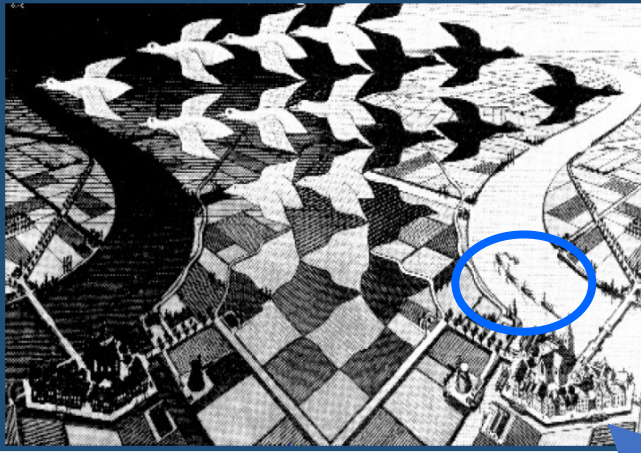
Complex amplitudes in Weak interaction?!

White

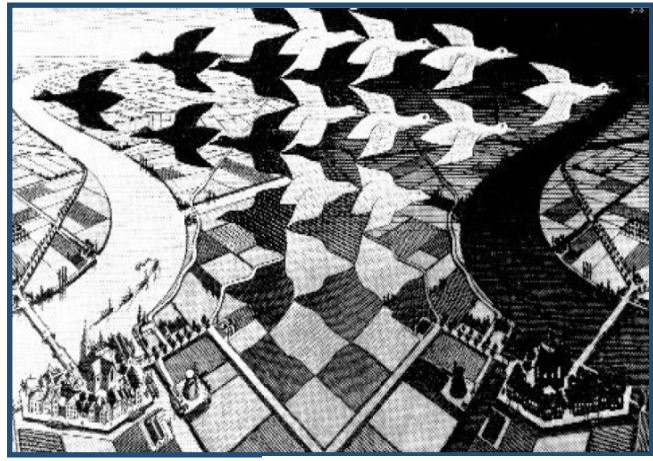
Black



“Matter world”

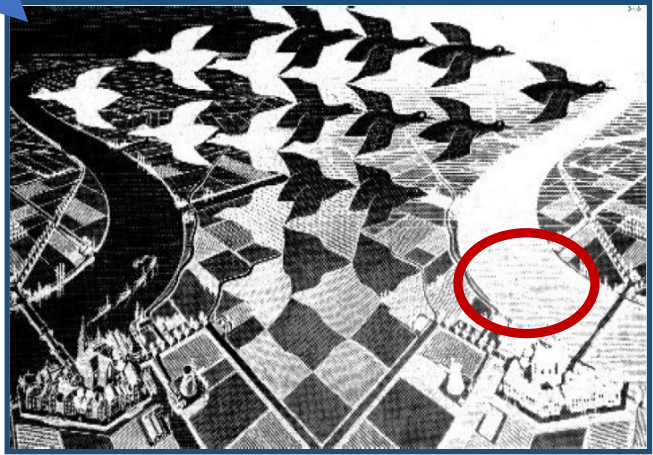
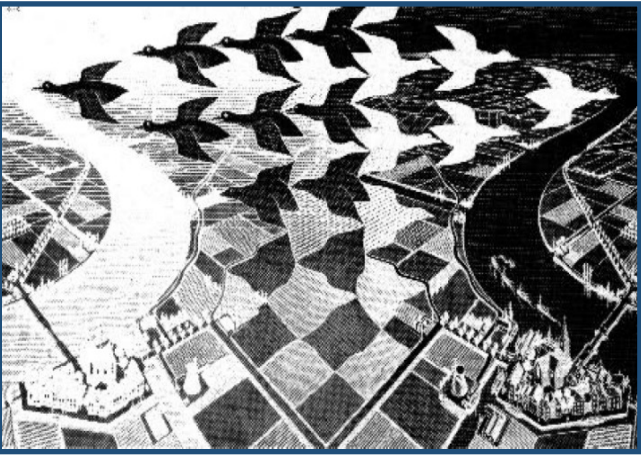


“Day and Night”, Escher, 1938



$CP$ :

$CP$  Violation!



$P$

Left

Right

“Antimatter world”

## Contents per Week:

### 1. $CP$ Violation

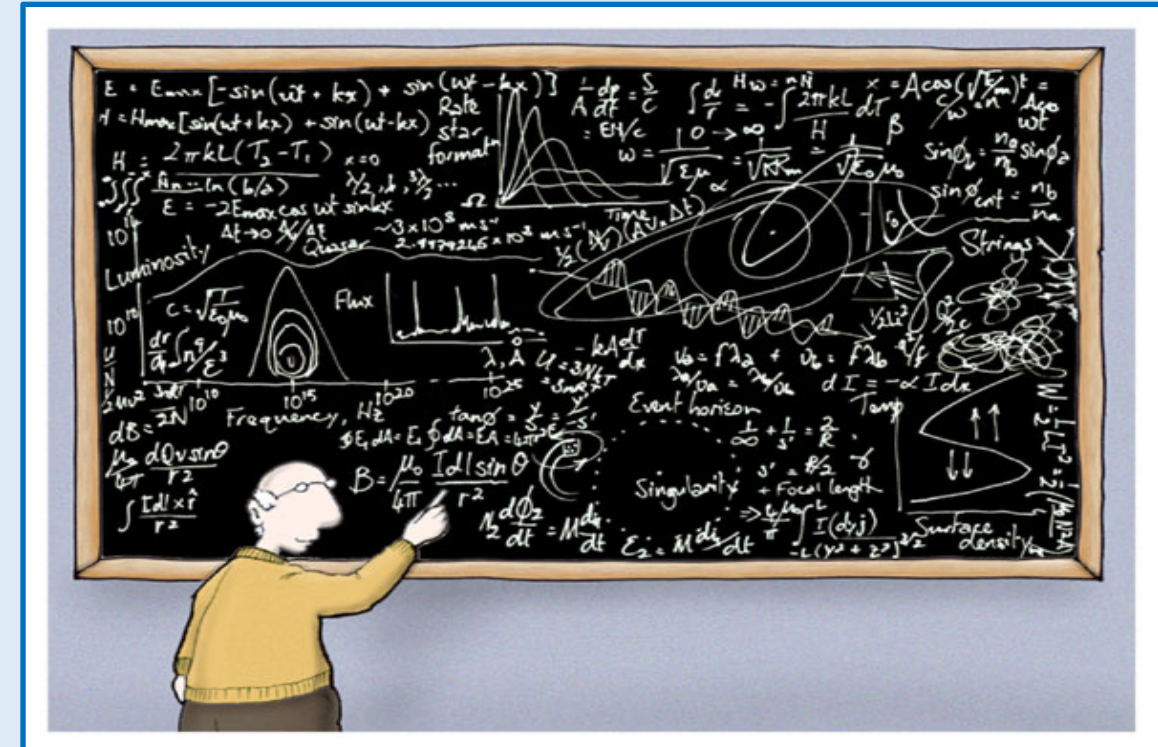
- Discrete Symmetries
- $CP$  Violation in the Standard Model
- Jarlskog Invariant and Baryogenesis

### 2. B-Mixing

- $CP$  violation and Interference
- B-mixing and time dependent  $CP$  violation
- Experimental Aspects: LHC vs B-factory

### 3. B-Decays

- Effective Hamiltonian
- Lepton Flavour Non-Universality



## Contents per Week:

### 1. $CP$ Violation

- a) Discrete Symmetries
- b)  $CP$  Violation in the Standard Model
- c) Jarlskog Invariant and Baryogenesis

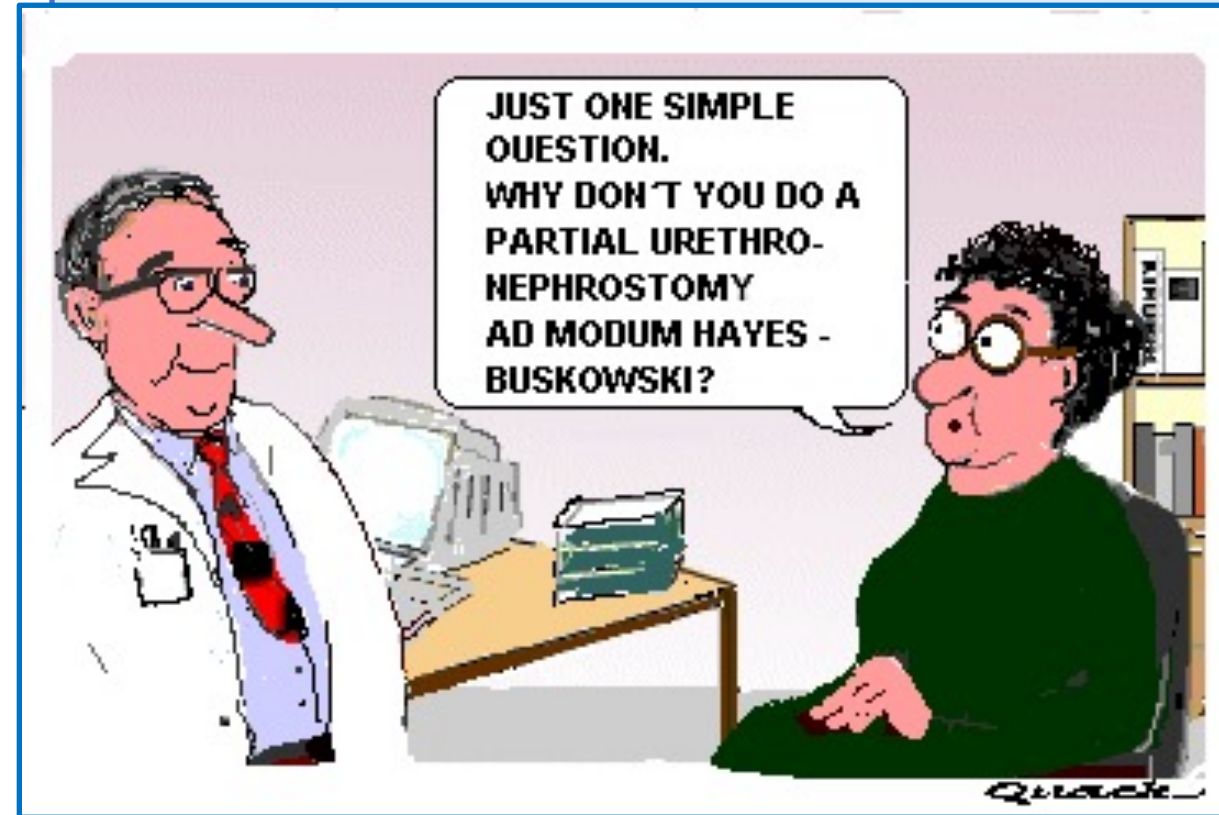
### 2. B-Mixing

- a)  $CP$  violation and Interference
- b) B-mixing and time dependent  $CP$  violation
- c) Experimental Aspects: LHC vs B-factory

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- a) Effective Hamiltonian
- b) Lepton Flavour Non-Universality

Don't be afraid to ask questions...



## Contents per Week:

### 1. $CP$ Violation

- ➔ a) **Discrete Symmetries**
- b)  $CP$  Violation in the Standard Model
- c) Jarlskog Invariant and Baryogenesis

### 2. B-Mixing

- a)  $CP$  violation and Interference
- b) B-mixing and time dependent  $CP$  violation
- c) Experimental Aspects: LHC vs B-factory

### 3. B-Decays

- a) Effective Hamiltonian
- b) Lepton Flavour Non-Universality

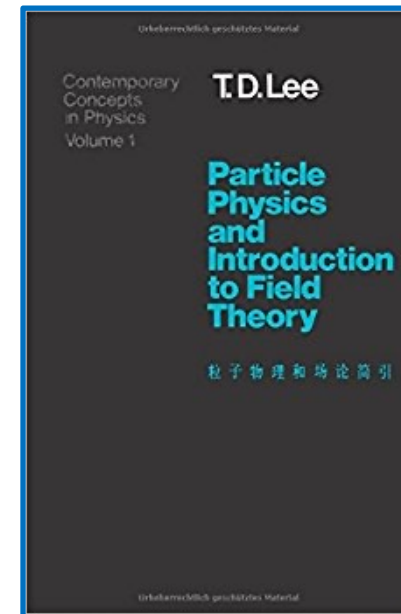




T.D.Lee: “The root to all *symmetry* principles lies in the assumption that it is impossible to observe certain basic quantities; the *non-observables*”

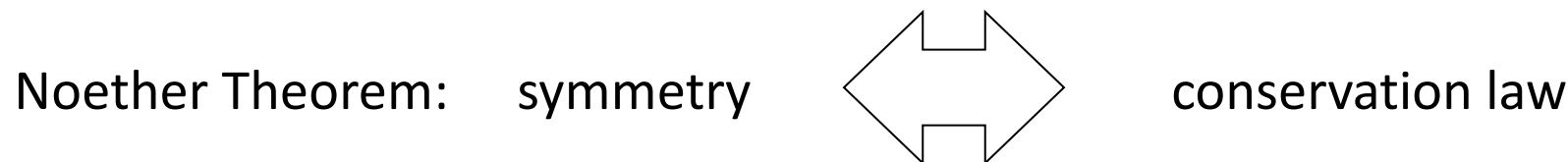
There are four main types of symmetry:

- **Permutation symmetry:**  
Bose-Einstein and Fermi-Dirac Statistics
- **Continuous space-time symmetries:**  
translation, rotation, velocity, acceleration,...
- **Discrete symmetries:**  
space inversion, time reversal, charge conjugation,...
- **Unitary symmetries: gauge invariances:**  
 $U_1$ (charge),  $SU_2$ (isospin),  $SU_3$ (color),...



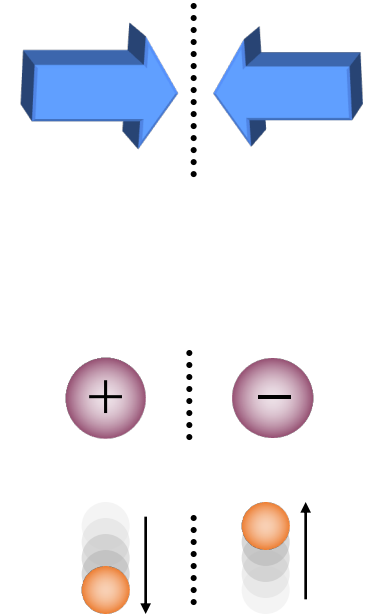
⇒ If a quantity is fundamentally non-observable it is related to an *exact symmetry*

⇒ If it could in principle be observed by an improved measurement; the *symmetry* is said to be *broken*



Non-observables	Symmetry Transformations	Conservation Laws or Selection Rule
Difference between identical particles	Permutation	B.-E. or F.-D. statistics
Absolute spatial position	Space translation: $\vec{r} \rightarrow \vec{r} + \vec{\Delta}$	momentum
Absolute time	Time translation: $t \rightarrow t + \tau$	energy
Absolute spatial direction	Rotation: $\vec{r} \rightarrow \vec{r}'$	angular momentum
Absolute velocity	Lorentz transformation	generators of the Lorentz group
Absolute right (or left)	$\vec{r} \rightarrow -\vec{r}$	parity
Absolute sign of electric charge	$e \rightarrow -e$	charge conjugation
Relative phase between states of different charge Q	$\psi \rightarrow e^{i\theta Q} \psi$	charge
Relative phase between states of different baryon number B	$\psi \rightarrow e^{i\theta B} \psi$	baryon number
Relative phase between states of different lepton number L	$\psi \rightarrow e^{i\theta L} \psi$	lepton number
Difference between different coherent mixture of p and n states	$\begin{pmatrix} p \\ n \end{pmatrix} \rightarrow U \begin{pmatrix} p \\ n \end{pmatrix}$	isospin

- Parity,  $P$ : *unobservable: (absolute handedness)*
  - Reflects a system through the origin.  
Converts right-handed to left-handed.
  - $\vec{x} \rightarrow -\vec{x}$  ,  $\vec{p} \rightarrow -\vec{p}$  (vectors) but  $\vec{L} = \vec{x} \times \vec{p}$  (axial vectors)
- Charge Conjugation,  $C$ : *unobservable: (absolute charge)*
  - Turns internal charges to opposite sign.
  - $e^+ \rightarrow e^-$  ,  $K^- \rightarrow K^+$
- Time Reversal,  $T$ : *unobservable: (direction of time)*
  - Changes direction of motion of particles
  - $t \rightarrow -t$
- $CPT$  Theorem:
  - All interactions are invariant under combined  $C, P$  and  $T$  operation
  - A particle *is* an antiparticle travelling backward in time
  - Implies e.g. **particle and anti-particle have equal masses and lifetimes**



- Parity operator  $P: \vec{x} \rightarrow -\vec{x}$

- Mass $m$	$P m = m$	: scalar
- Force $\vec{F}$ ( $\vec{F} = d\vec{p}/dt$ ); $\vec{p} = m d\vec{x}/dt$	$P \vec{F} = P d\vec{p}/dt = -d\vec{p}/dt = -\vec{F}$	: vector
- Acceleration $\vec{a}$ ( $\vec{a} = d^2\vec{x}/dt^2$ )	$P \vec{a} = -d^2x/dt^2 = -\vec{a}$	: vector
- Angular momentum $\vec{L}, \vec{S}, \vec{J}$ ( $\vec{L} = \vec{x} \times \vec{p}$ )	$P \vec{L} = -\vec{x} \times -\vec{p} = \vec{L}$	: axial vector

- Parity: Newton's law is *invariant* under  $P$ -operation (i.e. the same in the mirror world):

$$\vec{F} = m \vec{a} \xrightarrow{P} -\vec{F} = -m\vec{a} \Leftrightarrow \vec{F} = m\vec{a}$$

- Charge: Lorentz Force in the  $C$ -mirror world is *invariant*:

$$\vec{F} = q [\vec{E} + \vec{v} \times \vec{B}] \xrightarrow{C} \vec{F} = -q [-\vec{E} + \vec{v} \times -\vec{B}]$$

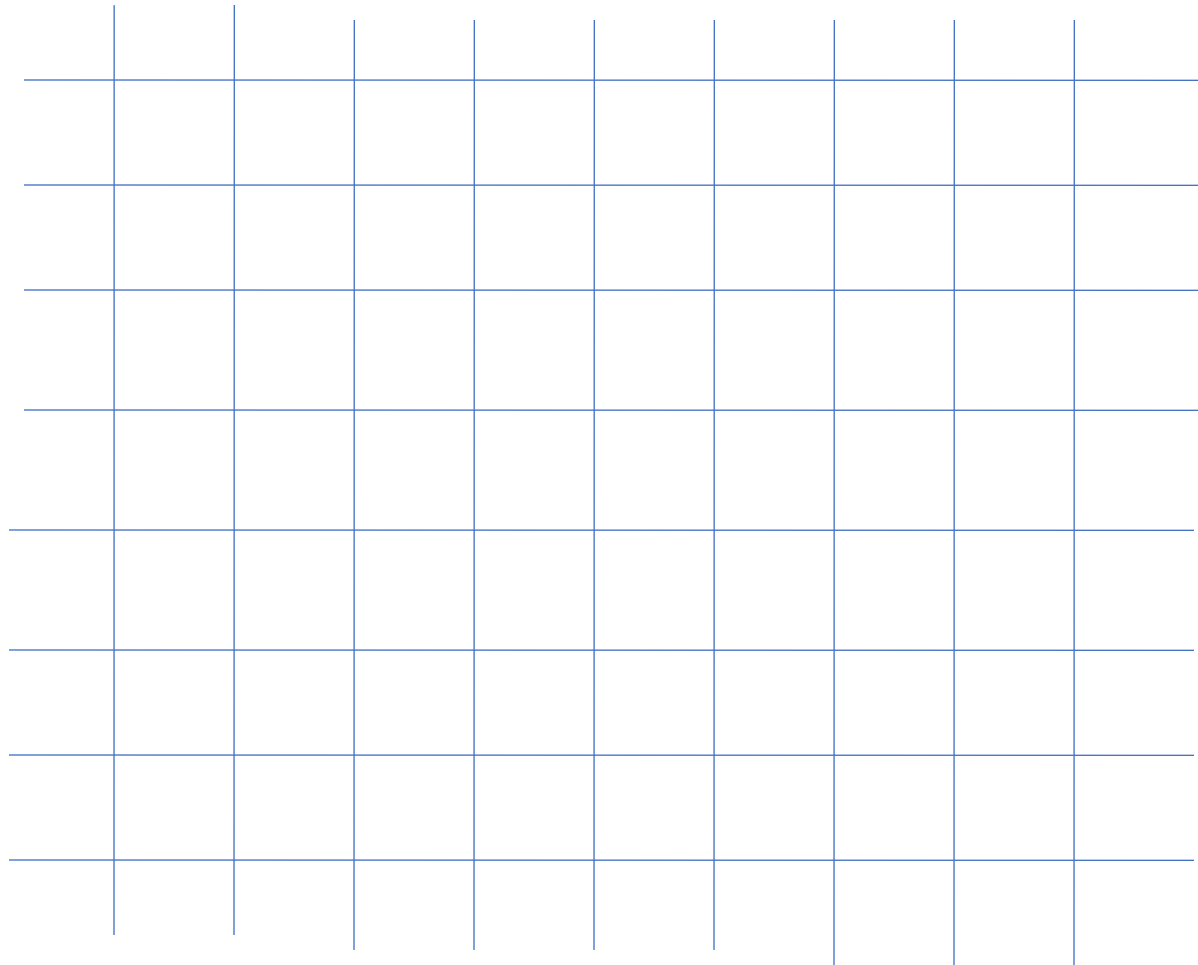
- Time: laws of physics are also *invariant* unchanged under  $T$ -reversal, since:

$$\vec{F} = m \vec{a} = m \frac{d^2\vec{x}}{dt^2} \xrightarrow{T} \vec{F} = m \frac{d^2\vec{x}}{d(-t)^2} \Leftrightarrow \vec{F} = m \vec{a}$$

- QM: Consider Schrodinger's equation ( $t \rightarrow -t$ ):  $ih \frac{\partial \psi}{\partial t} = -\frac{\vec{\nabla}^2 \psi}{2m}$

Complex conjugation of the equation is required to stay invariant:  $\psi \xrightarrow{T} \psi^*$

- Classical Theory is invariant under  $C$ ,  $P$ ,  $T$  operations; i.e. they conserve  $C$ ,  $P$ ,  $T$  symmetry
  - Newton mechanics, Maxwell electrodynamics.
- Suppose we watch some physical event. Can we determine unambiguously whether:
  - We are watching the event where all *charges are reversed* or not?
  - We are watching the event *in a mirror* or not?
    - Macroscopic biological asymmetries are considered *accidents of evolution* rather than fundamental asymmetry in the laws of physics.
  - We are watching the event in a *film running backwards* or not?
    - The arrow of time is due to thermodynamics: i.e. the realization of a macroscopic final state is *statistically more probable* than the initial state



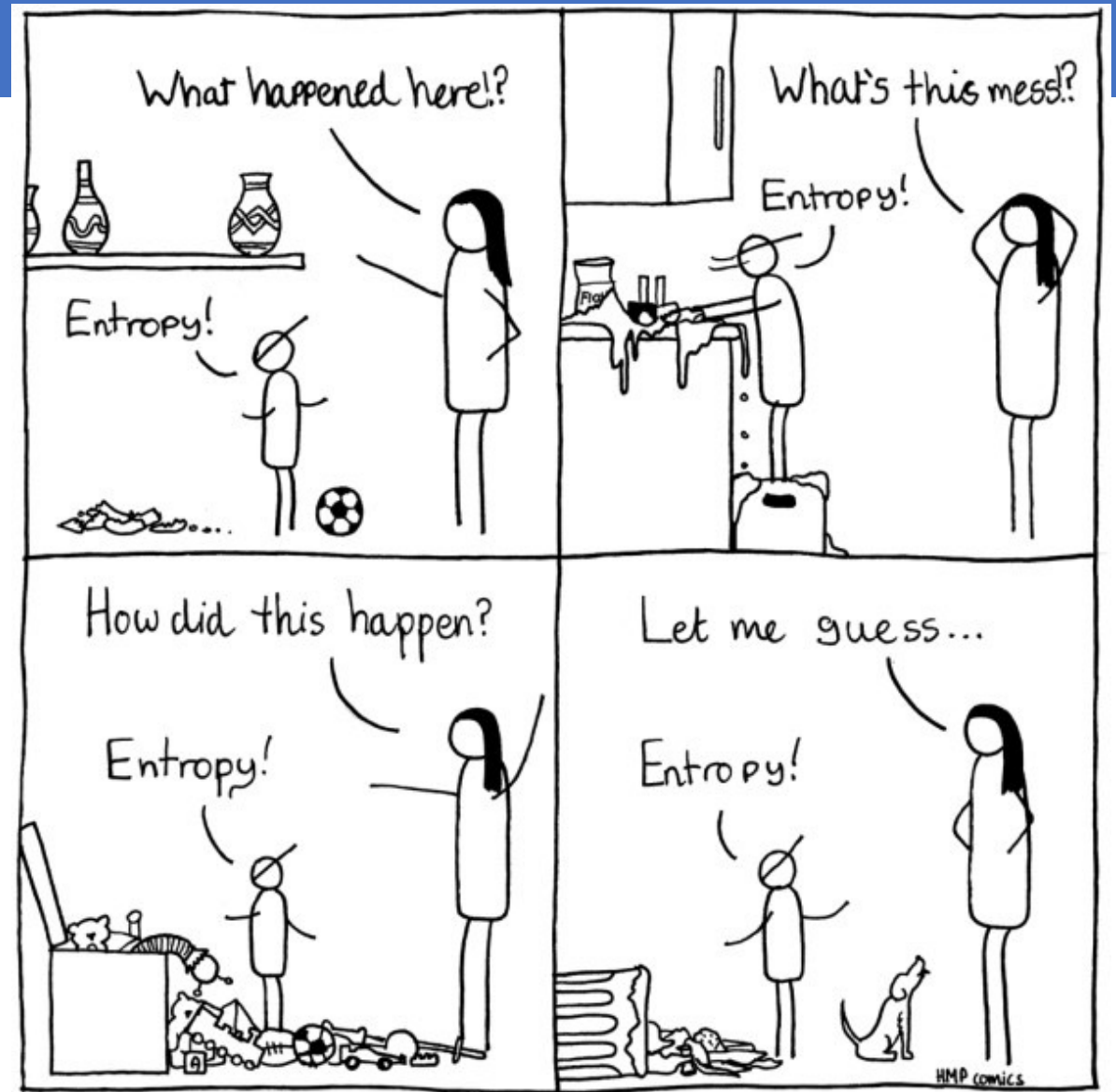
- At each crossing: 50% - 50% choice to go left or right
- After many decisions: reverse the velocity of the final state and return
- Do we end up with the initial state?

Very unlikely!



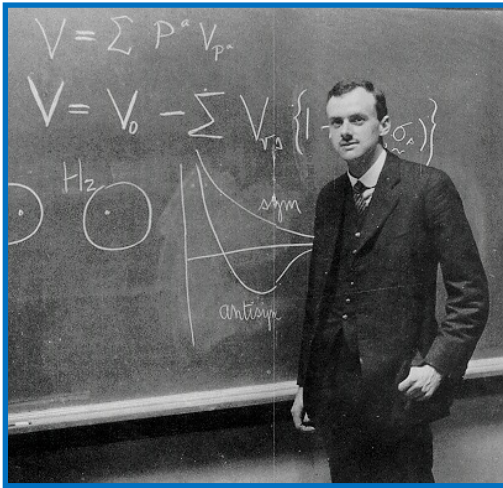
- At each crossing: 50% - 50% choice to go left or right
- After many decisions: reverse the velocity of the final state and return
- Do we end up with the initial state?

# Macroscopic time reversal



This is why we don't teach our children about entropy until much later...





- In Dirac theory particles are represented as spinors

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

$\psi_1, \psi_2$  → +1/2, -1/2 helicity solutions for the **particle**  
 $\psi_3, \psi_4$  → +1/2, -1/2 helicity solutions for the **antiparticle**

Antimatter!

- Implementation of  $P$  and  $C$  operators in Dirac theory:

See lecture notes: 1.3

$$P : \psi \rightarrow \psi' = \gamma^0 \psi(-\vec{x}, t)$$

$$C : \psi \rightarrow \psi' = i\gamma^2 \psi^*(\vec{x}, t)$$

$$\left( \begin{array}{l} [(i\gamma^0 \partial_0 - i\gamma^i \partial_{x_i}) - m] \psi(\vec{x}, t) = 0 \\ [(i\gamma^0 \partial_0 - i\gamma^i \partial_{x_i}) - m] \psi'(-\vec{x}, t) = 0 \end{array} \right) \quad \left( \begin{array}{l} \text{Elect. } \psi : [\gamma^\mu (i\partial_\mu + eA_\mu) - m] \psi = 0 \\ \text{Posit. } \psi' : [\gamma^\mu (i\partial_\mu - eA_\mu) - m] \psi' = 0 \end{array} \right)$$

- QED (Dirac theory) is symmetric under  $C, P$  conjugation. Reversing electric charges keeps electrodynamics invariant. See lecture notes for more details.

$$\begin{array}{cccc}
 \gamma^{0*} = \gamma^0 & \gamma^{1*} = \gamma^1 & \gamma^{2*} = -\gamma^2 & \gamma^{3*} = \gamma^3 \\
 \gamma^0 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, & \gamma^1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}, & \gamma^2 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix}, & \gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}
 \end{array}$$

- In Dirac equation:  $[(i\gamma^0\partial_0 - i\gamma^i\partial_{x_i}) - m]\psi(\vec{x}, t) = 0$

- Implementation of  $P$  operator in Dirac:  $\vec{x} \rightarrow -\vec{x}$  ;  $\partial x_i \rightarrow -\partial x_i$

$$P : \psi \rightarrow \psi' = \psi(-\vec{x}, t) \quad [(i\gamma^0\partial_0 - i\gamma^i\partial_{-x_i}) - m]\psi(-\vec{x}, t) = 0$$

$$[(i\gamma^0\partial_0 + i\gamma^i\partial_{x_i}) - m]\psi(-\vec{x}, t) = 0 \quad \text{Does not work!}$$

Multiply eq by  $\gamma^0$ :

$$\gamma^0[(i\gamma^0\partial_0 + i\gamma^i\partial_{x_i}) - m]\psi(-\vec{x}, t) = 0$$

Instead:  $\psi' = \gamma^0\psi(-\vec{x}, t)$  !

$$[(i\gamma^0\partial_0 - i\gamma^i\partial_{x_i}) - m]\gamma^0\psi'(-\vec{x}, t) = 0 \quad \text{OK!}$$

- Implementation of  $C$  operator in Dirac:  $C : q \rightarrow -q$  ;  $\psi \rightarrow \psi' = i\gamma^2\psi^*(\vec{x}, t)$

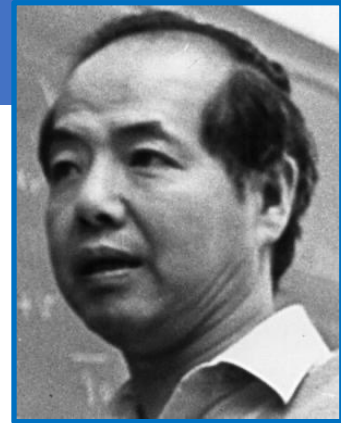
$$\psi : [\gamma^\mu(i\partial_\mu - qA_\mu) - m]\psi = 0$$

$$\psi' : i\gamma^2[-\gamma^{\mu*}(i\partial_\mu + qA_\mu) - m]\psi^* = 0$$

$$\psi'?: [\gamma^\mu(i\partial_\mu - qA_\mu) - m]^*\psi^* = 0$$

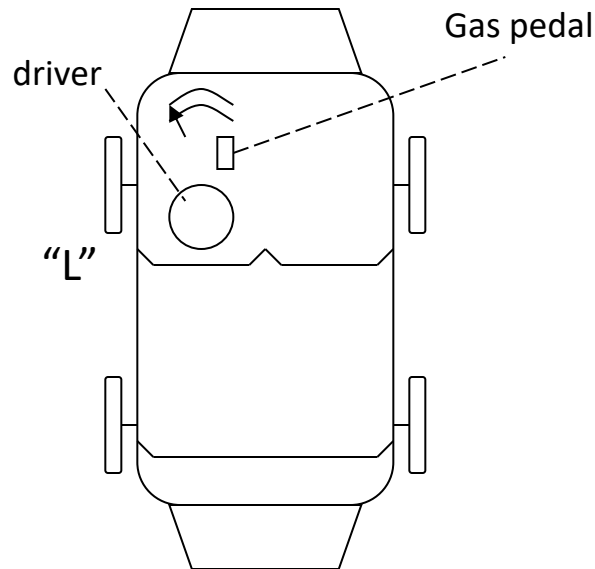
$$\psi' : [\gamma^\mu(i\partial_\mu + qA_\mu) - m]i\gamma^2\psi^* = 0 \quad \text{OK}$$

# Parity Violation



Before 1956 physicists were convinced that the laws of nature were left-right symmetric. Strange?

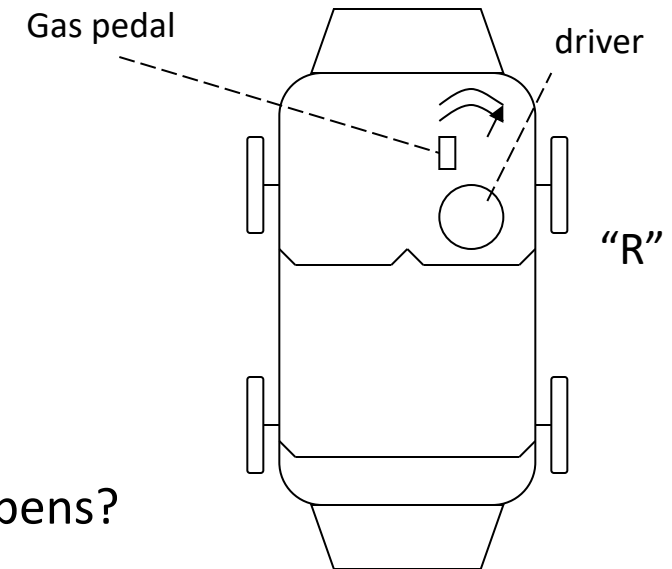
A “gedanken” experiment: consider two perfectly mirror symmetric cars:



“L” and “R” are fully symmetric,  
Each nut, bolt, molecule etc.  
However the engine is a black box

Person “L” gets in, starts, ..... 60 km/h

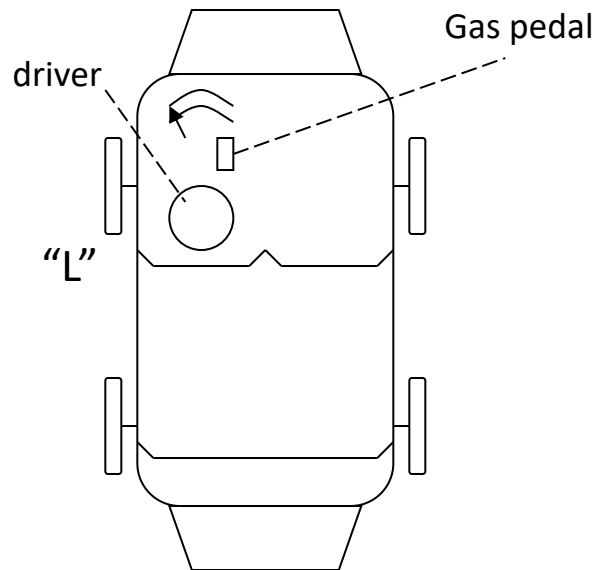
Person “R” gets in, starts, ..... What happens?



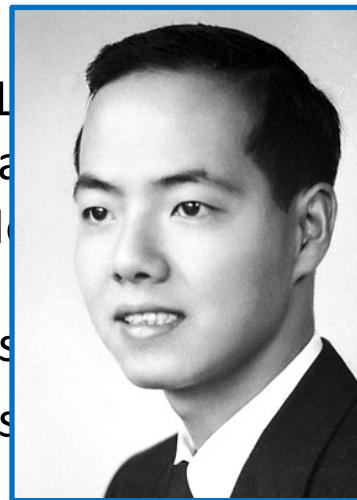
What happens in case the ignition mechanism uses, say,  $\text{Co}^{60}$   $\beta$  decay?

Before 1956 physicists were **convinced** that the laws of nature were left-right symmetric. Strange?

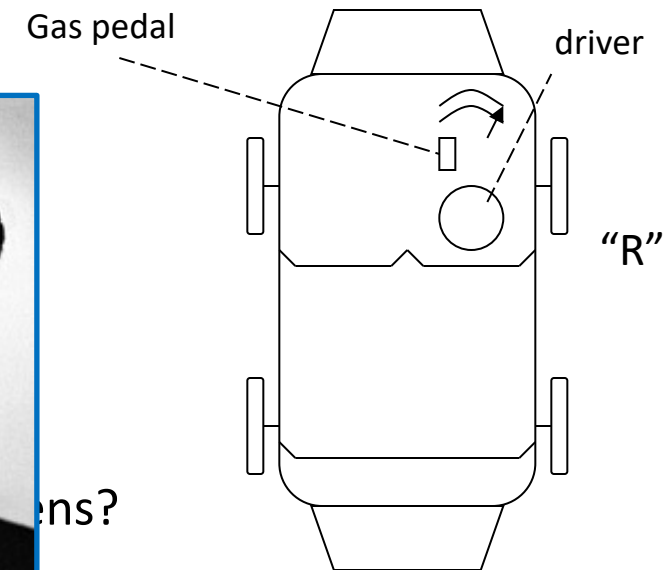
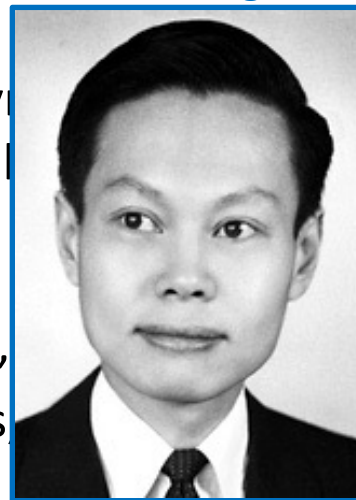
A “gedanken” experiment: consider two perfectly mirror symmetric cars:



T.D. Lee



C.N. Yang

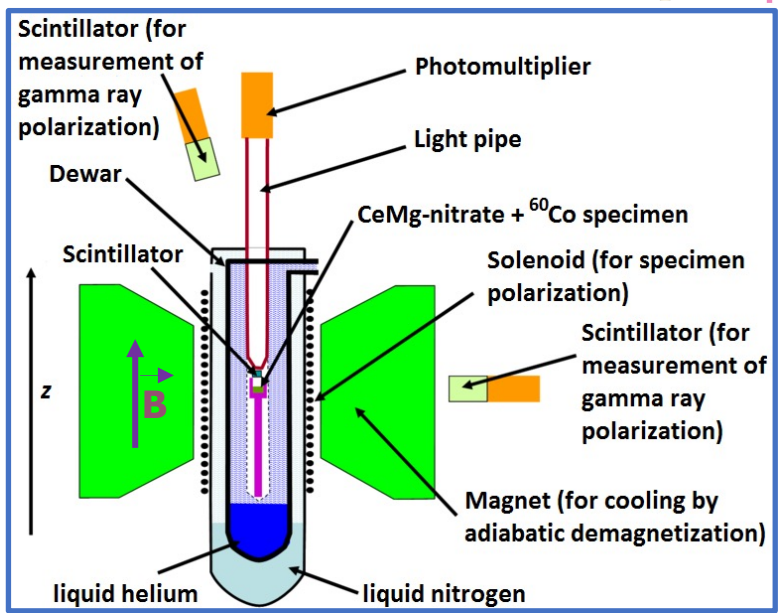
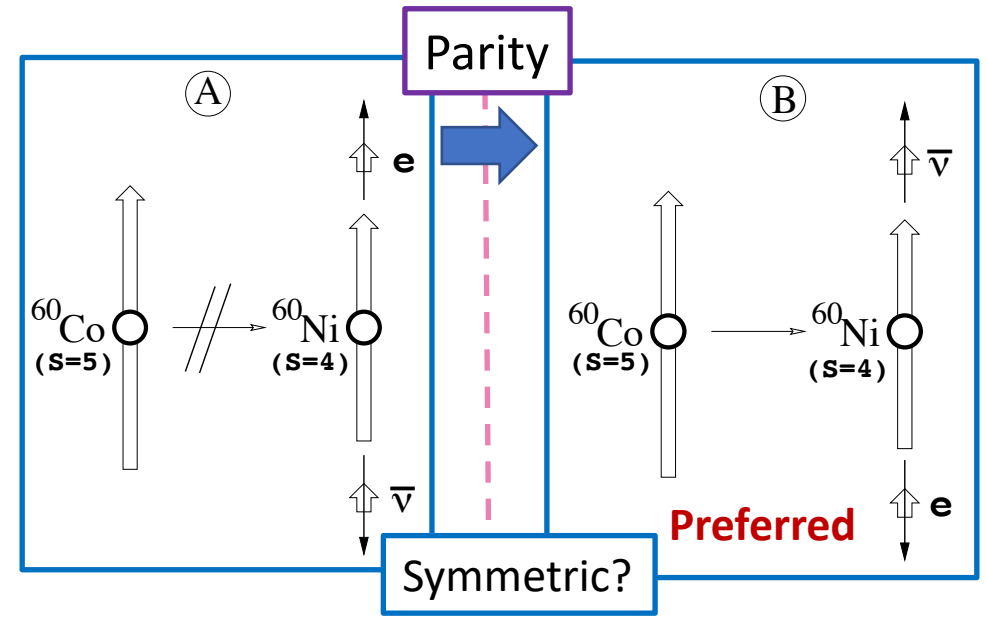
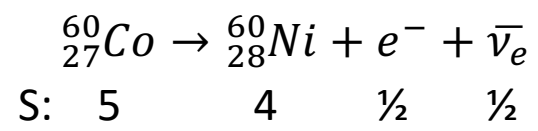
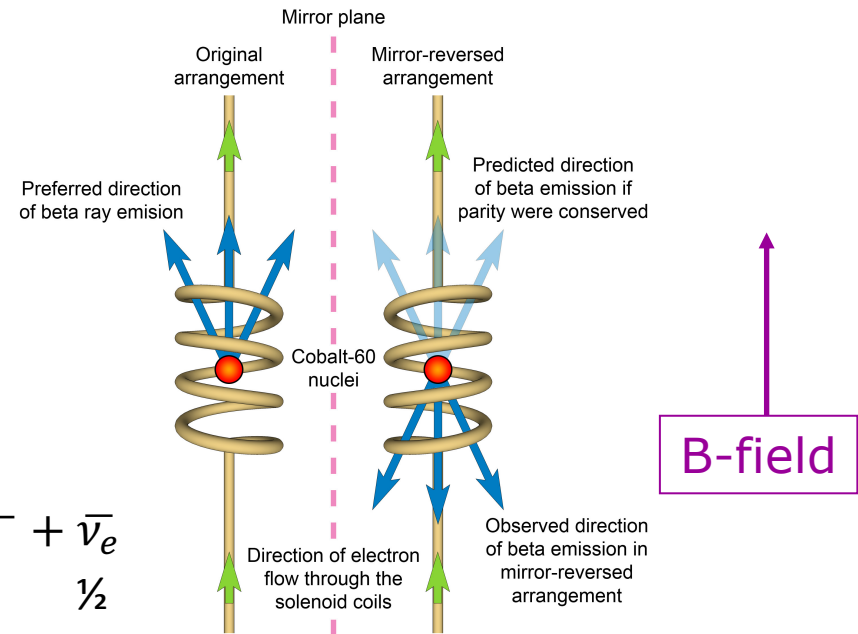


“L”  
Ea  
H  
ly sy  
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Pers  
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Pers  
starts  
ns?

What happens in case the ignition mechanism uses, say,  $\text{Co}^{60}$   $\beta$  decay?

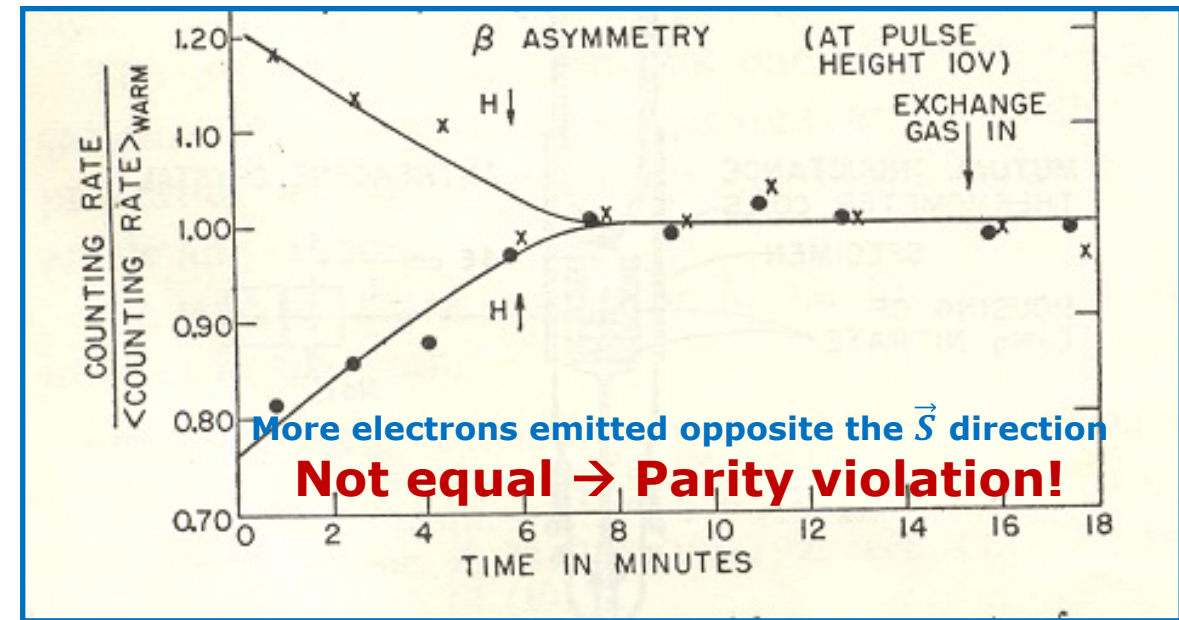
# Discovery of Parity Violation

Spin is pseudoscalar, P:  $\vec{S} \rightarrow \vec{S}$

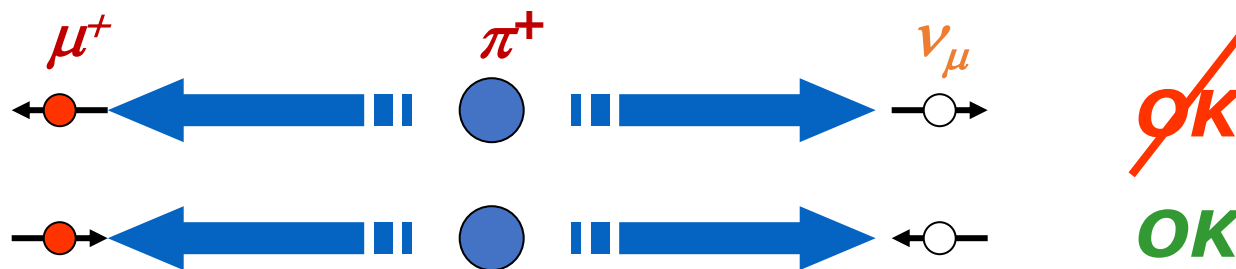


Is physics is parity invariant?

Only if electron decay rate is symmetric wrt spin direction!



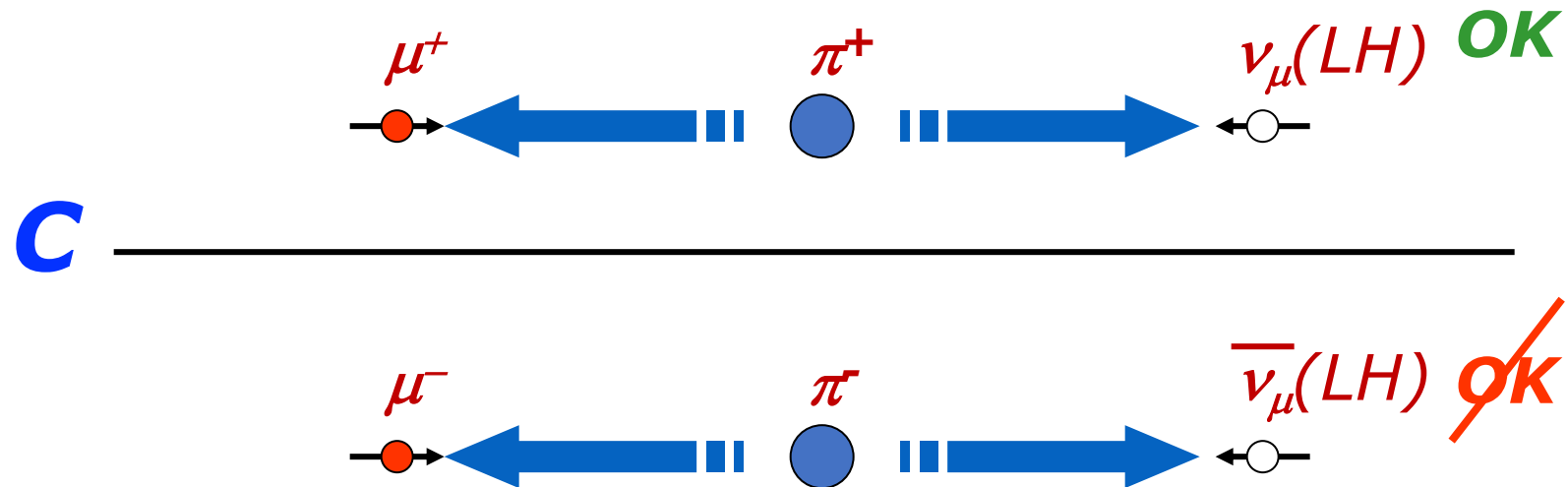
- Wu's experiment was shortly followed by another clever experiment by L. Lederman: Look at decay  $\pi^+ \rightarrow \mu^+ \nu_\mu$ 
  - Pion has spin 0, while  $\mu, \nu_\mu$  both have spin  $\frac{1}{2}$ 
    - spin of decay products must be oppositely aligned
    - Helicity of muon is same as that of neutrino.



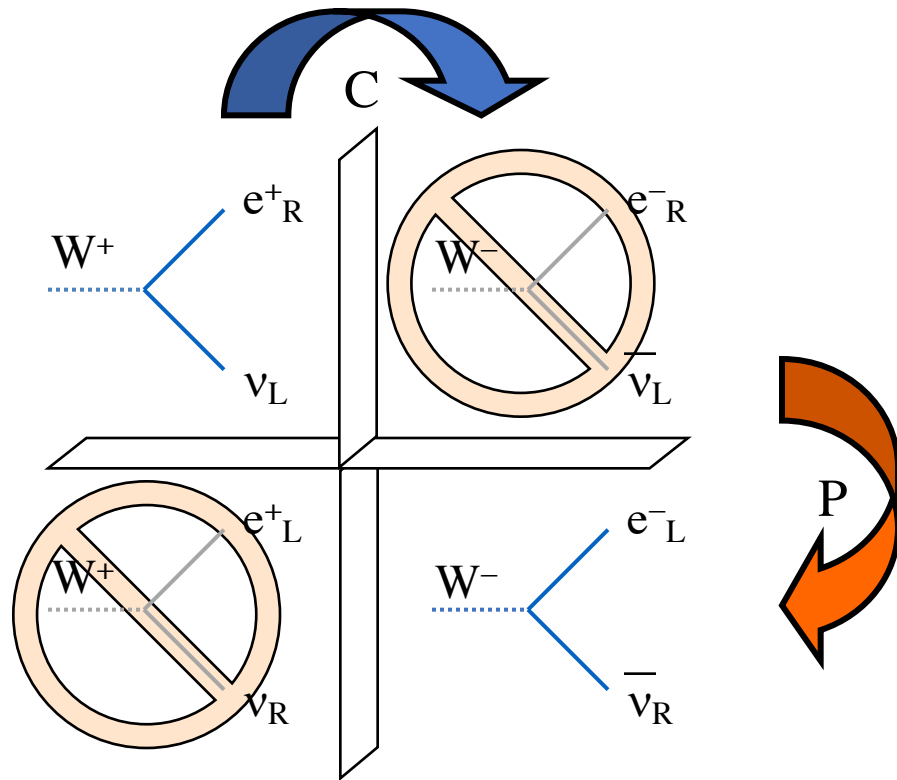
- Ledermans result: All neutrinos are left-handed and all anti-neutrinos are right-handed

- Introducing  $C$ -symmetry

- The  $C$  (harge) conjugation is the operation which exchanges **particles and anti-particles** (not just electric charge)
- It is a discrete symmetry, just like  $P$ , i.e.  $C^2 = 1$

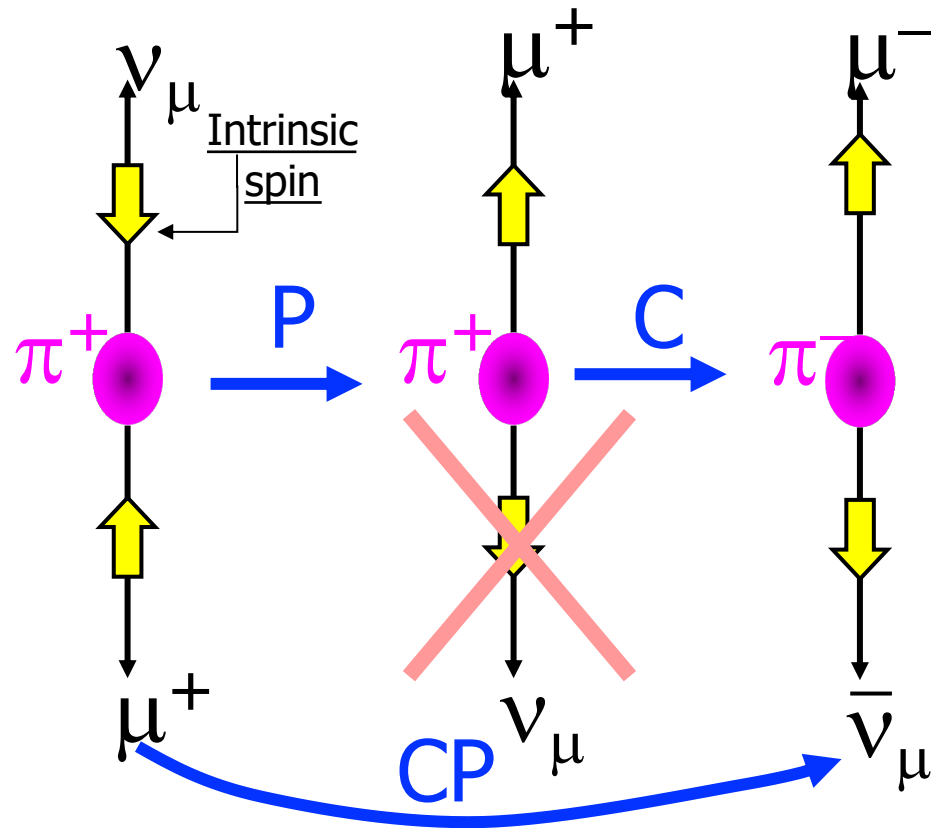


- $C$  symmetry is broken by the weak interaction
  - Just like  $P$



- Weak interaction breaks  $C$  and  $P$  symmetry maximally!
  - Nature is left-handed for matter and right-handed for antimatter.
- Despite *maximal* violation of  $C$  and  $P$ , combined  $CP$  seems *conserved*.
- Is combined  $CP$  really exactly conserved?

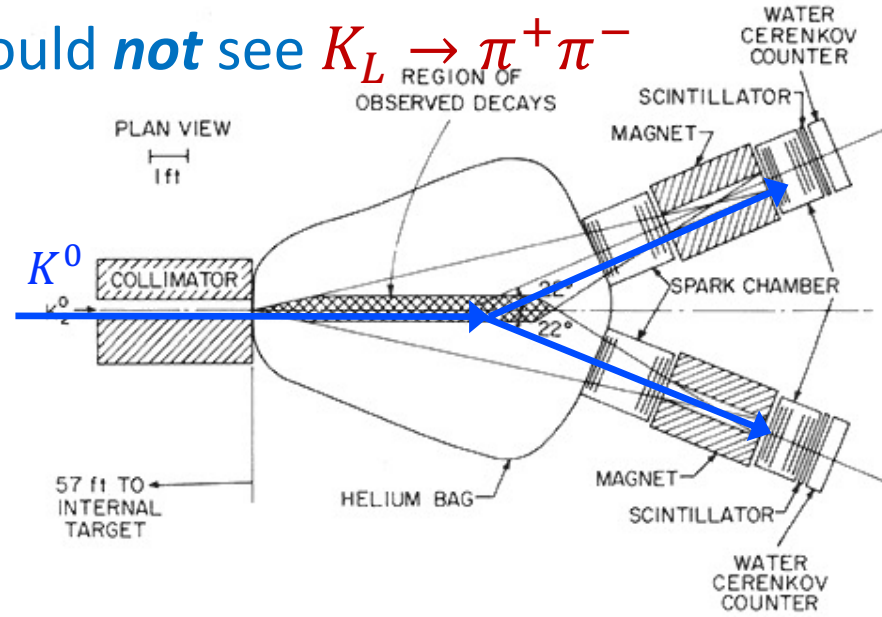
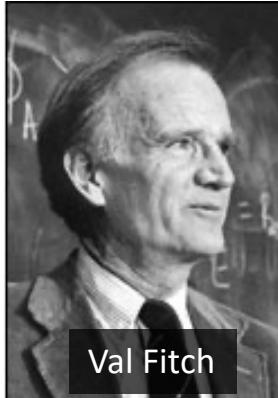




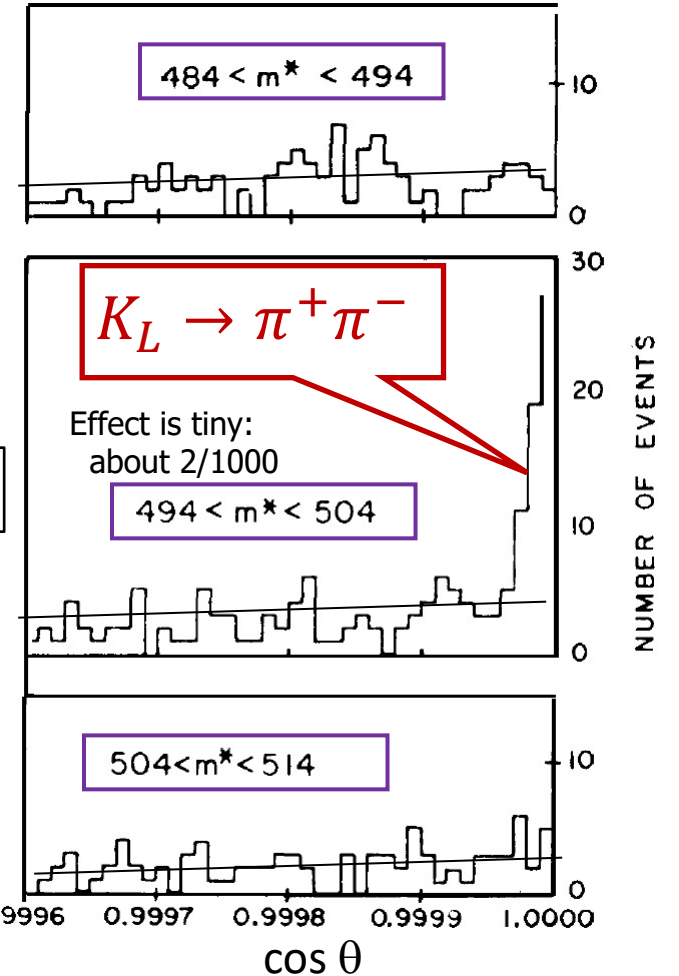
- Combined  $C + P \equiv CP$  symmetry?
  - $CP$  symmetry is parity conjugation:  $(x, y, z \rightarrow -x, -y, -z)$  followed by charge conjugation:  $(\psi \rightarrow \bar{\psi})$
- $CP$  symmetry *appears* to be preserved in the weak interaction
- But in 1964, Christenson, Cronin, Fitch and Turlay observed  $CP$  violation in decays of neutral kaons...

# Discovery of $CP$ -Violation with $K^0$ decays

- Create a pure  $K_L$  beam (“wait” for  $K_S$  to decay)
- If  $CP$  is conserved, should **not** see  $K_L \rightarrow \pi^+ \pi^-$



$K_S$ : Short-lived is  $CP$  even:  
 $K_1^0 \rightarrow \pi^+ \pi^-$  (fast)  
 $K_L$ : Long-lived is  $CP$  odd:  
 $K_2^0 \rightarrow \pi^+ \pi^- \pi^0$  (slow)



CP violating Signal:  $K_L \rightarrow \pi^+ \pi^-$

Background:  $K_L \rightarrow \pi^+ \pi^- \pi^0$

$\pi^0$  remains undetected

$M_{K_S} = 498 \text{ MeV}$

mass,  $\theta$

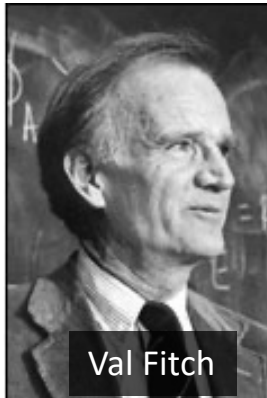
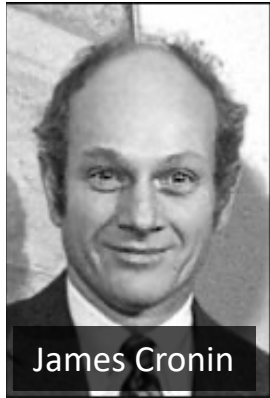
NUMBER OF EVENTS

0.9996 0.9997 0.9998 0.9999 1.0000

cos  $\theta$

# Discovery of $CP$ -Violation with $K^0$ decays

- Create a pure  $K_L$  beam ("wait" for  $K$  to decay)
- If  $CP$  is conserved,



CP violating Signal:  $K$

Background:  $K$

$\pi^0$  remains undetected

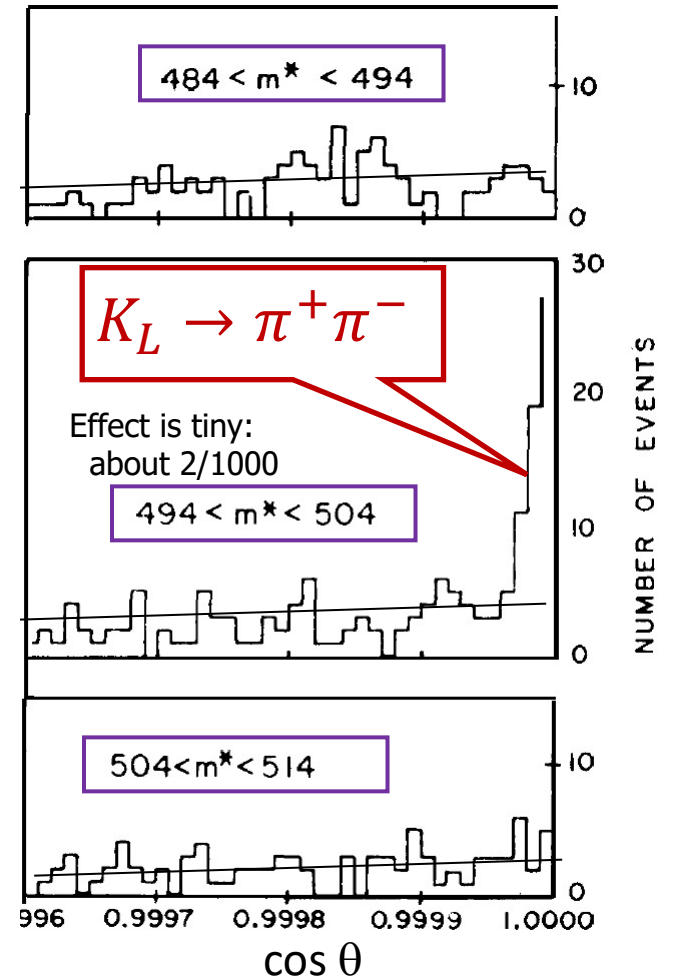


$K_S$ : Short-lived is  $CP$  even:

$$K_1^0 \rightarrow \pi^+ \pi^- \quad (\text{fast})$$

$K_L$ : Long-lived is  $CP$  odd:

$$K_2^0 \rightarrow \pi^+ \pi^- \pi^0 \quad (\text{slow})$$



# Alternative: Charge Asymmetry in $K^0$ decays

Measure  $A = \frac{N^+ - N^-}{N^+ + N^-}$  with  $N^+ = K^0 \rightarrow \pi^- e^+ \nu$  vs the  $K^0$  decay time  
 $N^- = \bar{K}^0 \rightarrow \pi^+ e^- \bar{\nu}$

$$\frac{N^+ - N^-}{N^+ + N^-} =$$

Two CP states:

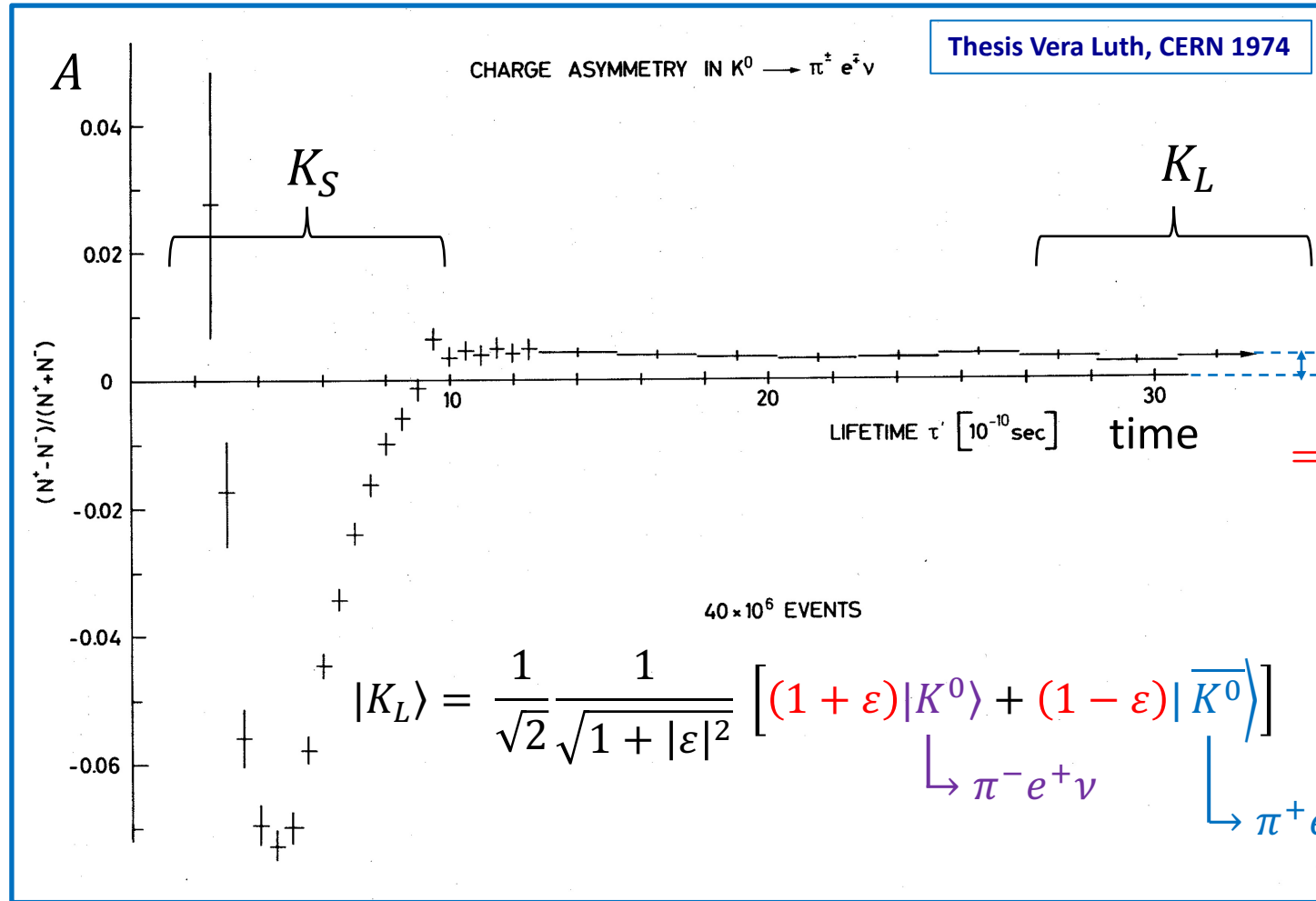
$$|K_1\rangle = \frac{1}{\sqrt{2}} [ |K^0\rangle - |\bar{K}^0\rangle ]$$

$$|K_2\rangle = \frac{1}{\sqrt{2}} [ |K^0\rangle + |\bar{K}^0\rangle ]$$

Two particles:

$$|K_S\rangle \simeq [ |K_1\rangle + \varepsilon |K_2\rangle ]$$

$$|K_L\rangle \simeq [ |K_2\rangle + \varepsilon |K_1\rangle ]$$



Kaon has  $J^P = 0^-$ :

$$P|K^0\rangle = -|K^0\rangle$$

$$P|\bar{K}^0\rangle = -|\bar{K}^0\rangle$$

$$4\Re(\varepsilon)$$

$$= \left| \frac{(1 + \varepsilon)}{(1 - \varepsilon)} \right|^2$$

*CP violation in meson mixing.*

Are they made of matter or anti-matter?



Compare  $K_L^0 \rightarrow \pi^+ e^- \bar{\nu}$  to  $K_L^0 \rightarrow \pi^- e^+ \nu$

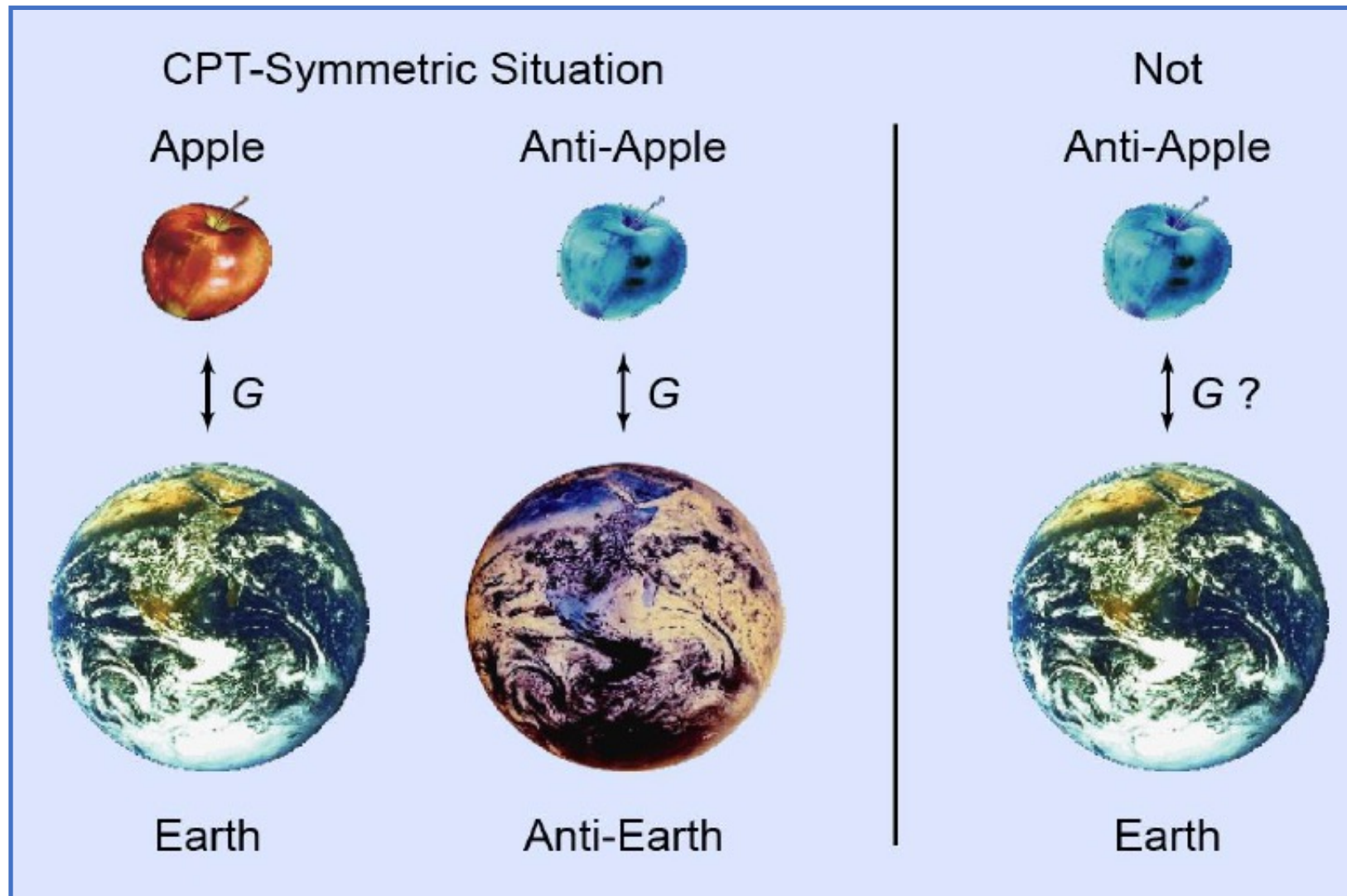
Compare the charge of the most abundantly produced electron with that of the electrons in your body:

If opposite: **matter**

If equal: **anti-matter**



*CPT* symmetry implies that an antiparticle is *identical* to a particle travelling backwards in time.



## Contents per Week:

### 1. $CP$ Violation

- ➔ a) **Discrete Symmetries**
- b)  $CP$  Violation in the Standard Model
- c) Jarlskog Invariant and Baryogenesis

### 2. B-Mixing

- a)  $CP$  violation and Interference
- b) B-mixing and time dependent  $CP$  violation
- c) Experimental Aspects: LHC vs B-factory

### 3. B-Decays

- a) Effective Hamiltonian
- b) Lepton Flavour Non-Universality





## Contents per Week:

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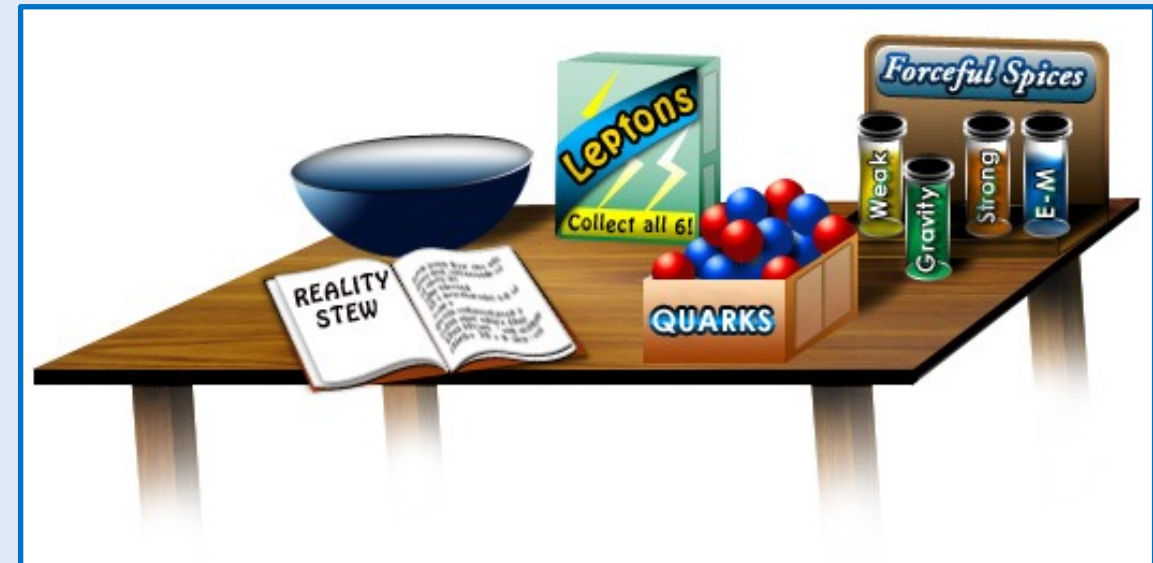
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# Weak interaction in three Flavour Generations



- Weak Interaction is 100% parity violating.
  - Wolfgang Pauli: “I cannot believe God is a weak left-hander.”

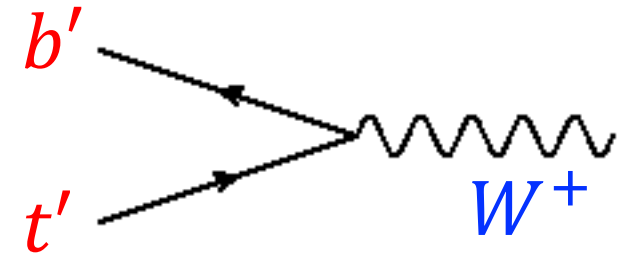
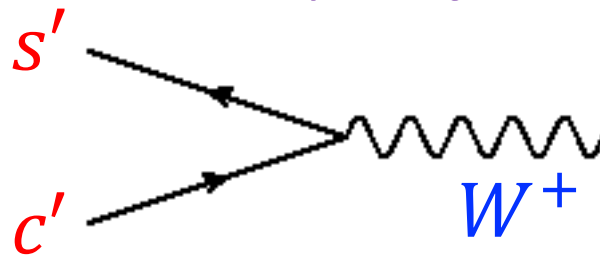
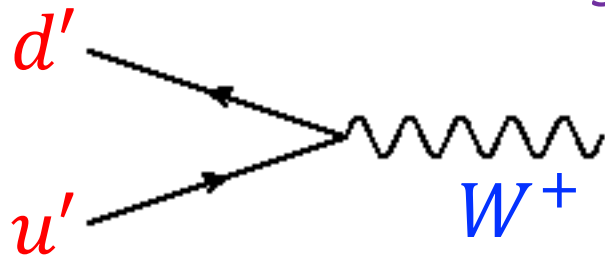
- Implement an  $SU(2)_L$  symmetry for *massless* particles:

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} u'_L \gamma_\mu W^\mu d'_L \quad \text{x3 !}$$

Note:

$$\psi_L = \frac{1}{2}(1 - \gamma^5)\psi$$

- Flavour universality: *identical interactions* in three generations.
  - In fact: *how to distinguish a massless  $d'$  quark from  $s'$  quark?*



- There is *no CP violation* in these massless interactions
  - What happens when particles acquire mass?

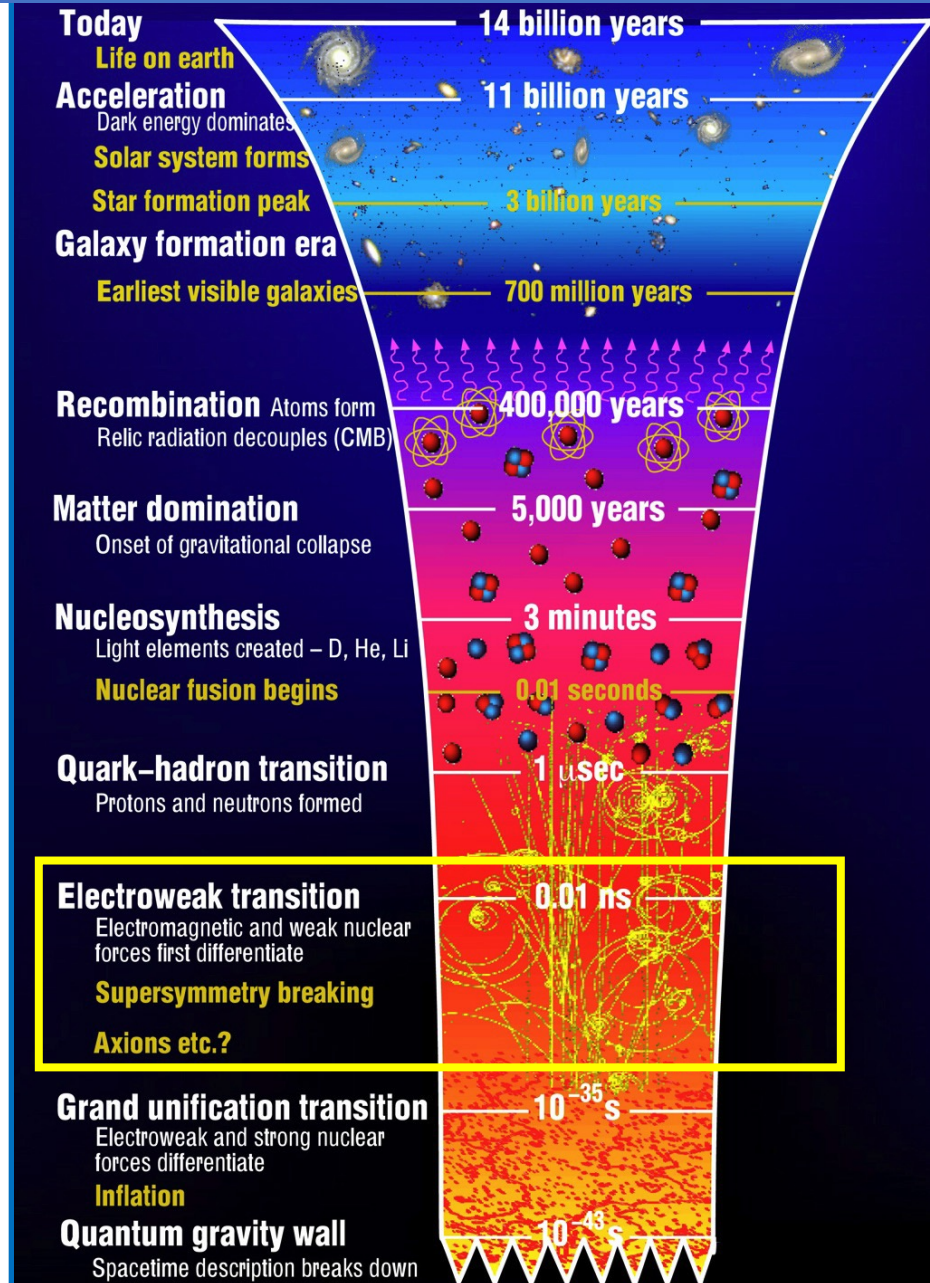
- Yukawa couplings to massless particles (Weinberg):

$$\mathcal{L}_Y = Y_{ij}^d (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} d'_{jR} + Y_{ij}^u (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} \phi^0 \\ \phi^- \end{pmatrix} u'_{jR}$$

- Yukawa interaction is *not* flavour universal!

→ *Unknown origin of Yukawa matrix acting on generations "i" and "j"*

$$\begin{pmatrix} Y_{11} \overline{(u \ d)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} & Y_{12} \overline{(u \ d)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} & Y_{13} \overline{(u \ d)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \\ Y_{21} \overline{(c \ s)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} & Y_{22} \overline{(c \ s)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} & Y_{23} \overline{(c \ s)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \\ Y_{31} \overline{(t \ b)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} & Y_{32} \overline{(t \ b)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} & Y_{33} \overline{(t \ b)}_L^I \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \end{pmatrix} \cdot \begin{pmatrix} d_R^I \\ s_R^I \\ b_R^I \end{pmatrix}$$



- Yukawa couplings to massless particles (Weinberg):

$$\mathcal{L}_Y = Y_{ij}^d (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} d'_{jR} + Y_{ij}^u (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} \phi^0 \\ \phi^- \end{pmatrix} u'_{jR}$$

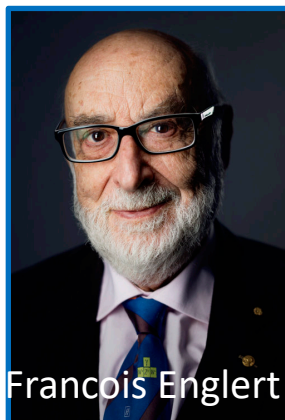
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- SSB: B-E-H Mechanism:



Robert Brout



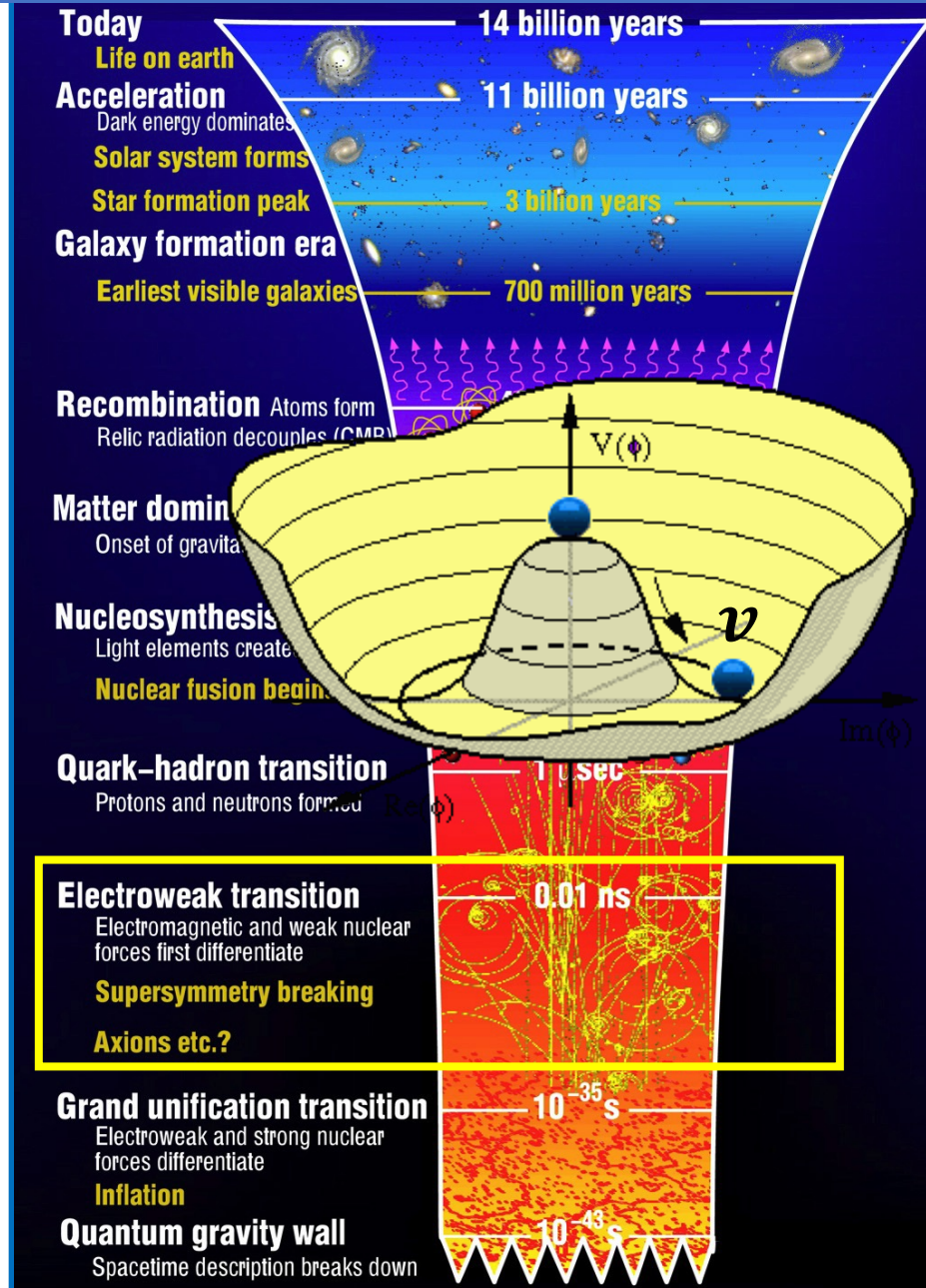
Francois Englert



Peter Higgs

$$\begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \rightarrow \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$

→ Massive W- and Z- bosons



- Yukawa couplings to massless particles (Weinberg):

$$\mathcal{L}_Y = Y_{ij}^d (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} d'_{jR} + Y_{ij}^u (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} v/\sqrt{2} \\ 0 \end{pmatrix} u'_{jR}$$

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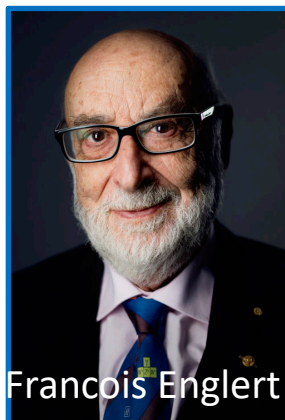


→ Massive fermions

- SSB: B-E-H Mechanism:



Robert Brout



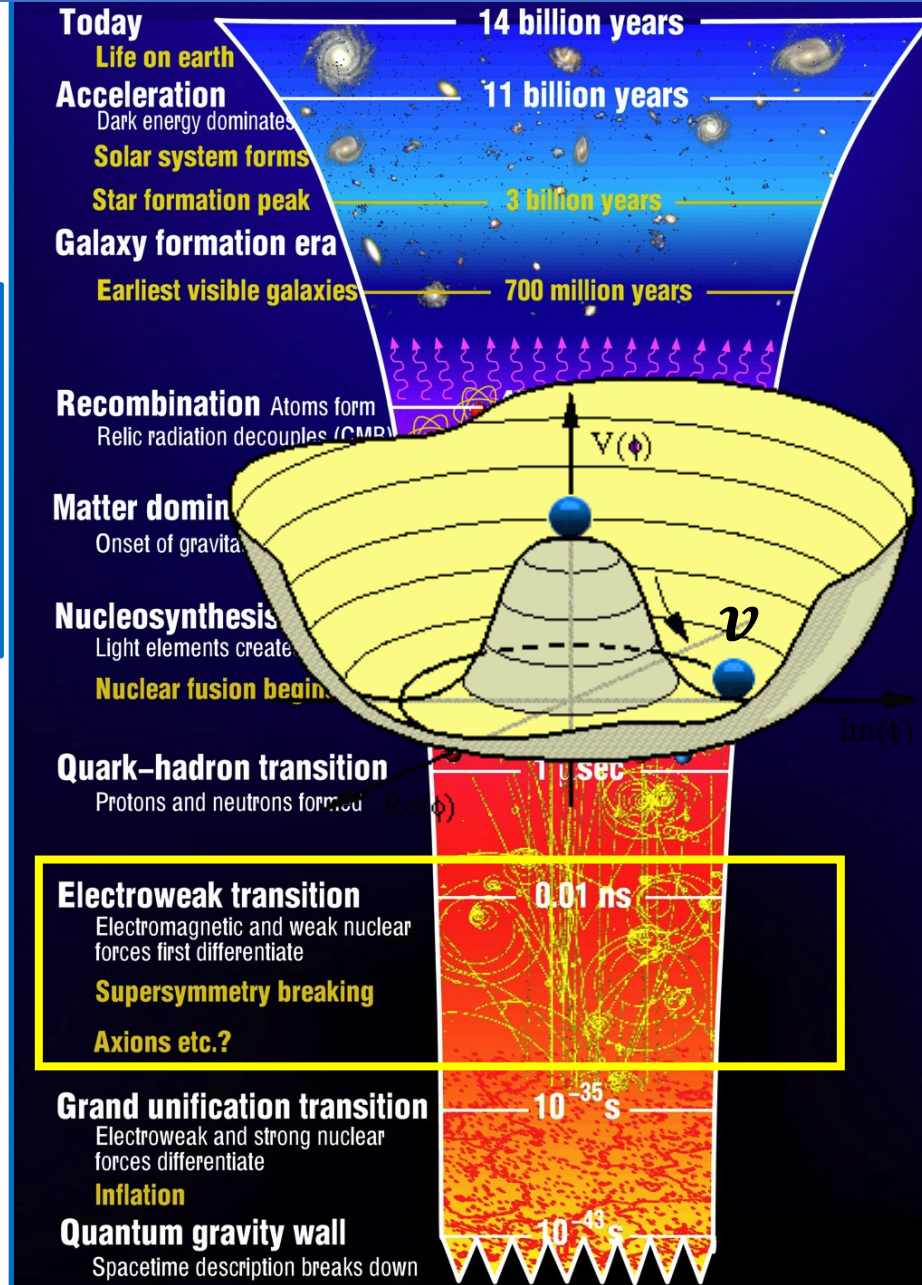
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$$\begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \rightarrow \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$

→ Massive W- and Z- bosons



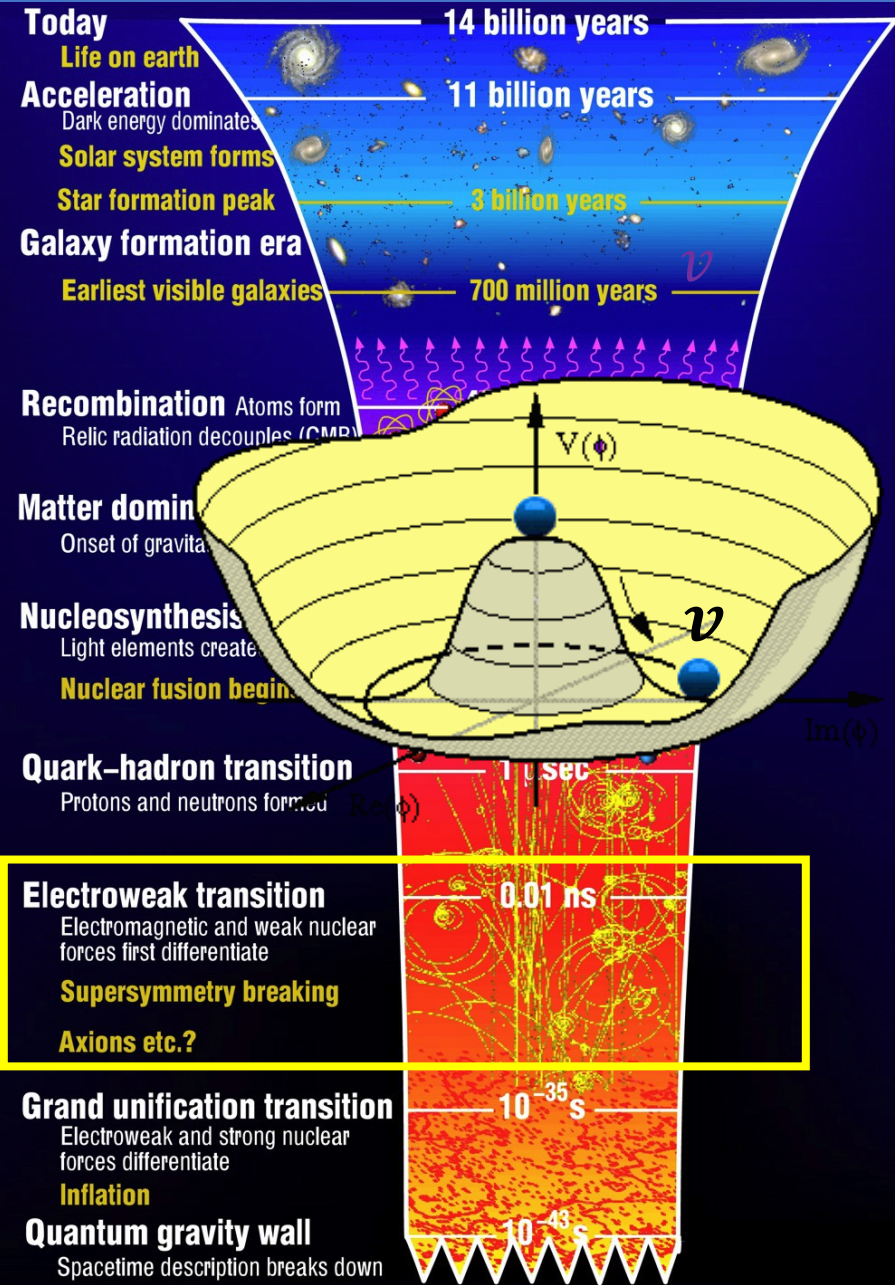
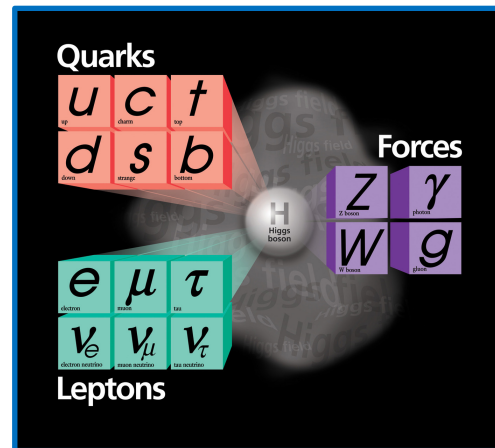
- Yukawa couplings to massless particles:

$$\mathcal{L}_Y = Y_{ij}^d (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} d'_{jR} + Y_{ij}^u (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} v/\sqrt{2} \\ 0 \end{pmatrix} u'_{jR}$$

- Diagonalize  $Y_{ij}$  :

$$u_i = (V^u)_{ij} u'_j \quad \text{and} \quad d_i = (V^d)_{ij} d'_j$$

→ mass and flavour eigenstates



- Yukawa couplings to massless particles:

$$\mathcal{L}_Y = Y_{ii}^d (\bar{u}_i, \bar{d}_i)_L \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} d_{iR} + Y_{ii}^u (\bar{u}_i, \bar{d}_i)_L \begin{pmatrix} v/\sqrt{2} \\ 0 \end{pmatrix} u_{iR}$$

- Diagonalize  $Y_{ij}$  :

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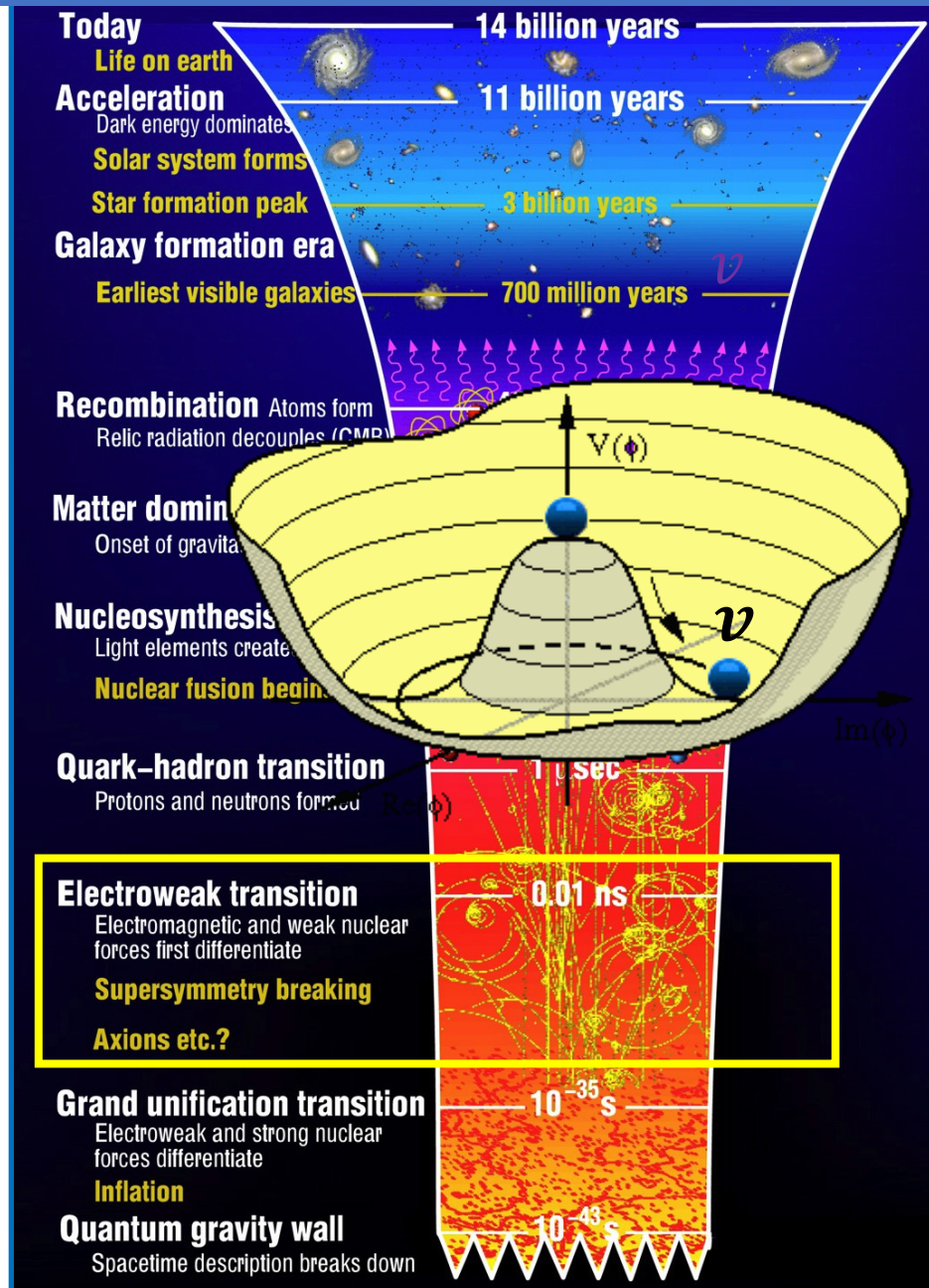
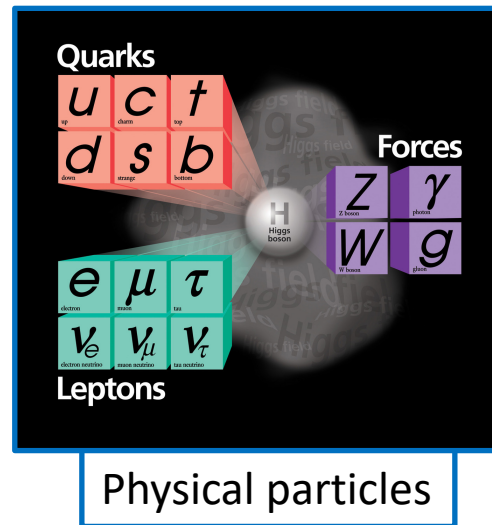
- Mass terms:  $M_{ij} = Y_{ij} v/\sqrt{2}$

$$\mathcal{L}_Y \rightarrow \mathcal{L}_H = m_d d_L d_R + m_u u_L u_R$$

- Top quark mass:  $m_{top} = 1.0 v/\sqrt{2}$

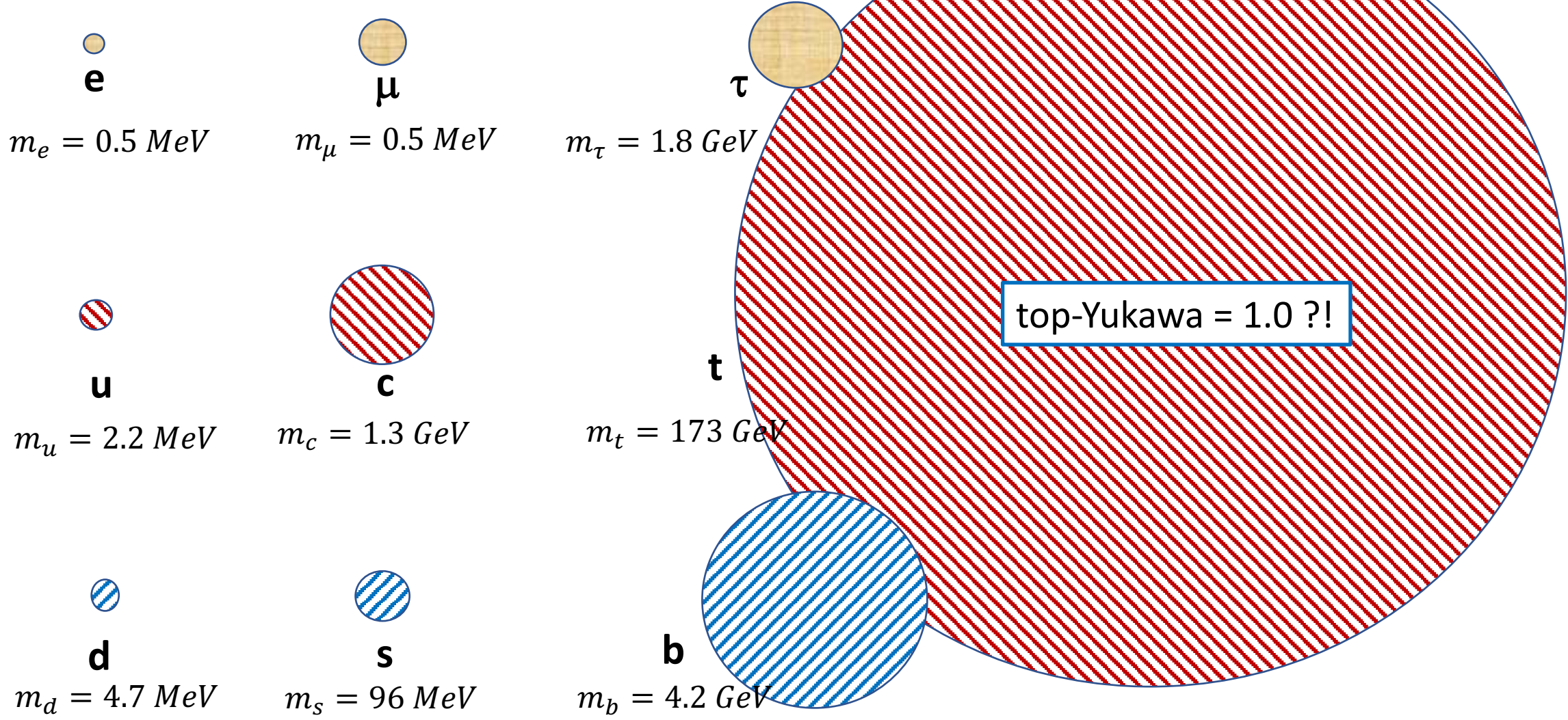
• To first order Higgs couples only to top with coupling strength 1.0 !

- Very flavour non-universal



# Flavour Puzzle: particle masses? Origin Yukawa couplings?

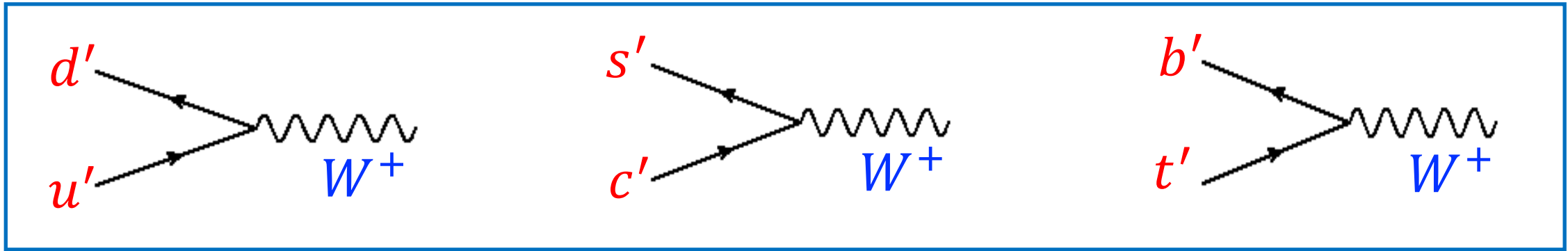
- Weak interaction flavour universal
- Higgs interaction almost purely 3<sup>rd</sup> generation





$$\mathcal{L}_W = \frac{g}{\sqrt{2}} u'_L \gamma_\mu W^\mu d'_L$$

- *No CP violation*



Redefine:  $u'_i = (V^u)_{ij} u_i$  and:  $d'_i = (V^d)^\dagger_{ij} d_i$ , such that:  $V_{CKM} = (V^u V^{d\dagger})_{ij} \dots$

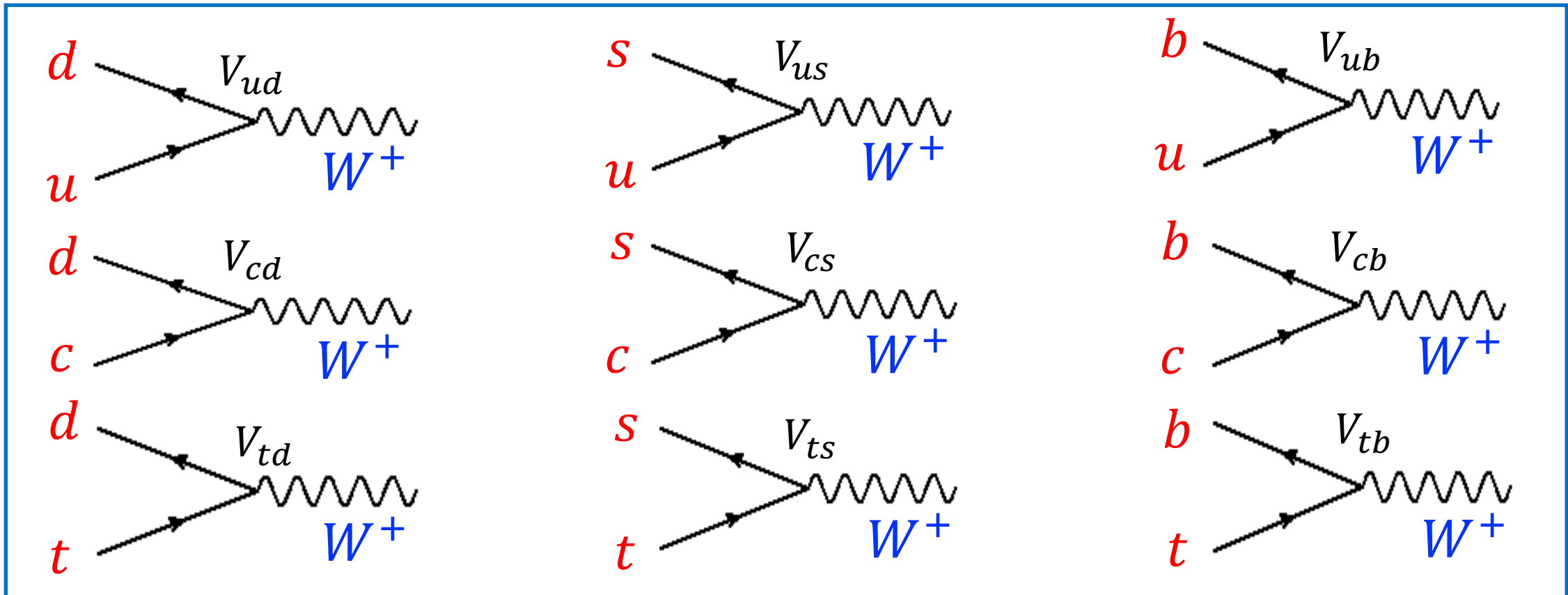
(Interaction basis)

(Mass basis)

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} u'_L \gamma_\mu W^\mu d'_L \longrightarrow \mathcal{L}_W = \frac{g}{\sqrt{2}} V_{CKM} u_L \gamma_\mu W^\mu d_L$$

Redefine:  $u'_i = (V^u)_{ij} u_i$  and:  $d'_i = (V^d)^\dagger_{ij} d_i$ , such that:  $V_{CKM} = (V^u V^{d\dagger})_{ij} \dots$

Generation structure of weak interaction, *now includes CP violation*.

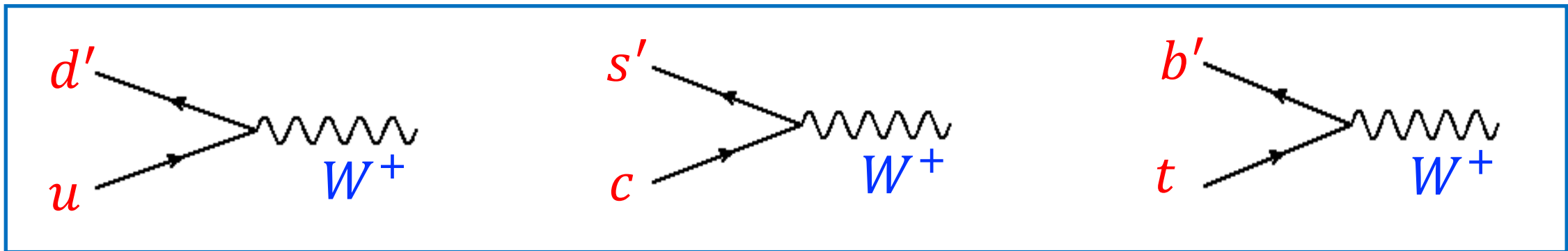


$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \overbrace{u'_L \gamma_\mu W^\mu d'_L}^{\text{(Interaction basis)}} \longrightarrow \mathcal{L}_W = \frac{g}{\sqrt{2}} \overbrace{V_{CKM} u_L \gamma_\mu W^\mu d_L}^{\text{(Mass basis)}}$$

Redefine:  $u'_i = (V^u)_{ij} u_i$  and:  $d'_i = (V^d)^\dagger_{ij} d_i$ , such that:  $V_{CKM} = (V^u V^{d\dagger})_{ij} \dots$

Generation structure of weak interaction, *now includes CP violation.*

Convention: instead, we do as if:  $u'_i = u_i$  and  $d'_i = (V_{CKM})_{ij} d_j$



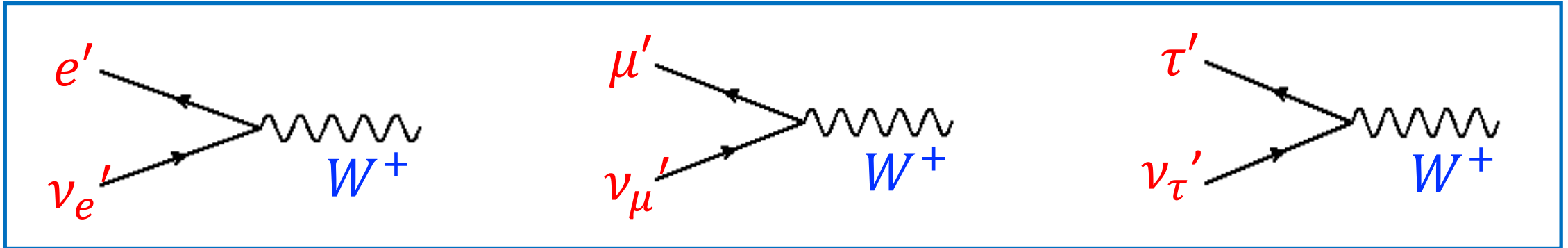
$$|d'\rangle = V_{ud} |d\rangle + V_{us} |s\rangle + V_{ub} |b\rangle$$

$$|s'\rangle = V_{cd} |d\rangle + V_{cs} |s\rangle + V_{cb} |b\rangle$$

$$|b'\rangle = V_{td} |d\rangle + V_{ts} |s\rangle + V_{tb} |b\rangle$$

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \nu'_{eL} \gamma_\mu W^\mu e'_{L}$$

- *No CP violation*



Redefine:  $\nu'_i = (U^\nu)_{ij} \nu_i$  and:  $l'_i = (U^l)^\dagger_{ij} l_i$ , such that:  $U_{MNS} = (U^\nu U^{l\dagger})_{ij} \dots$

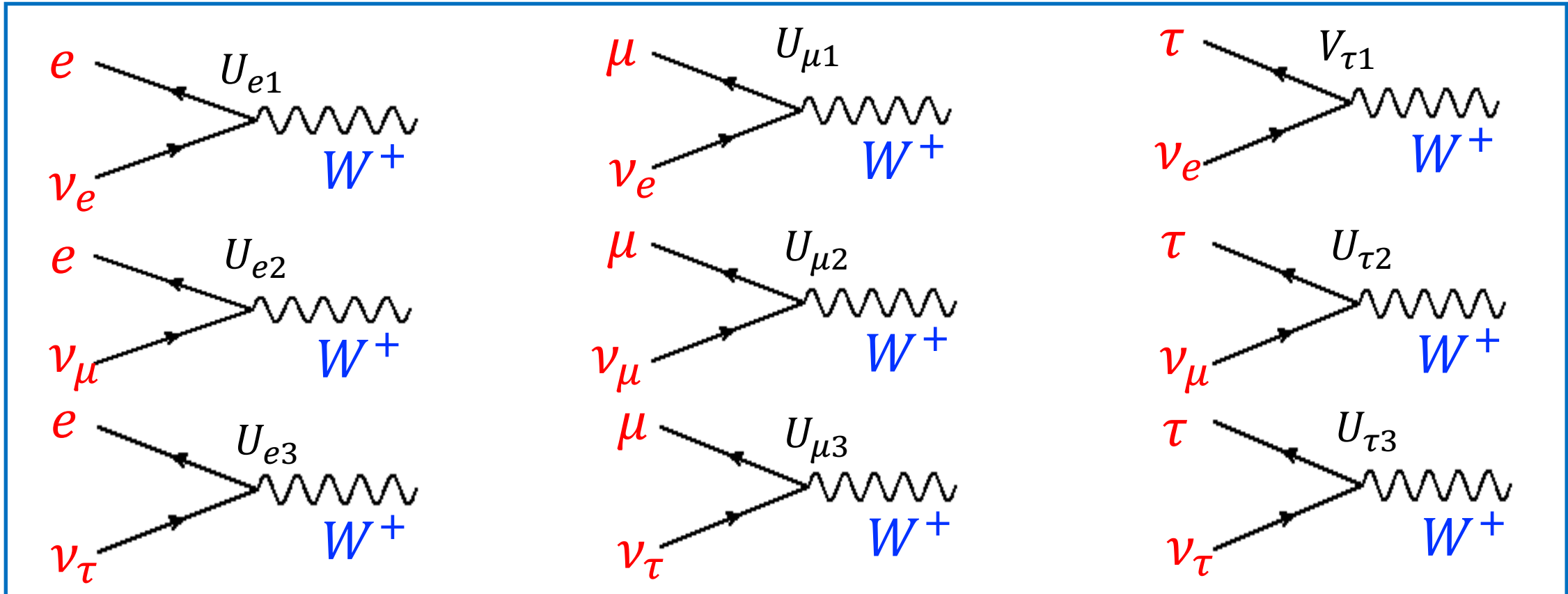
(Interaction basis)

(Mass basis)

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \mathbf{v}'_L \gamma_\mu W^\mu \mathbf{e}'_L \longrightarrow \mathcal{L}_W = \frac{g}{\sqrt{2}} U_{MNS} \mathbf{v}_L \gamma_\mu W^\mu \mathbf{e}_L$$

Redefine:  $\mathbf{v}'_i = (U)_{ij} \mathbf{v}_i$  and:  $\mathbf{l}'_i = (U^d)^\dagger_{ij} \mathbf{l}_i$ , such that:  $U_{MNS} = (U^u U^d)_{ij} \dots$

Generation structure of weak interaction, *now includes CP violation.*

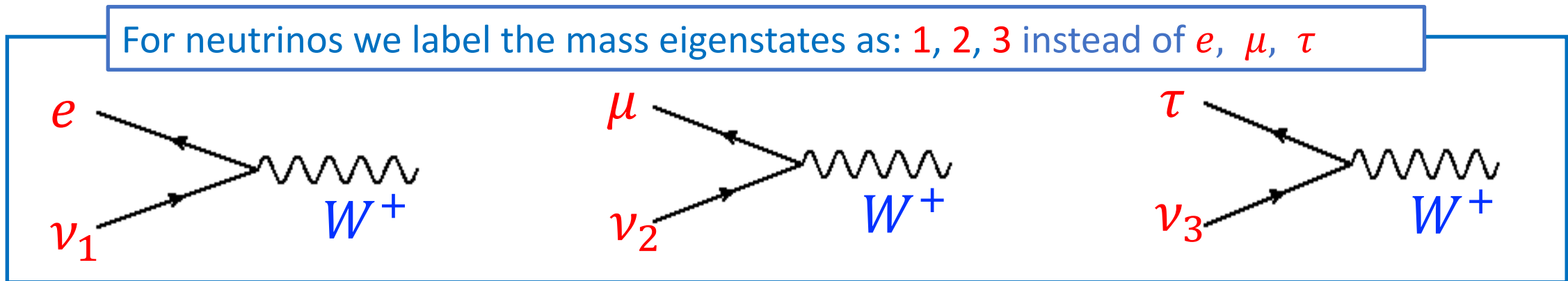


$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \overset{\text{(Interaction basis)}}{\nu'_L} \gamma_\mu W^\mu e'_L \longrightarrow \mathcal{L}_W = \frac{g}{\sqrt{2}} \overset{\text{(Mass basis)}}{U_{MNS} \nu_L} \gamma_\mu W^\mu e_L$$

Redefine:  $\nu'_i = (U)_{ij} \nu_i$  and:  $l'_i = (U^d)^\dagger_{ij} l_i$ , such that:  $U_{MNS} = (U^u U^{d\dagger})_{ij} \dots$

Generation structure of weak interaction, *now includes CP violation.*

Convention: instead we do as if:  $\nu_{1,2,3} = (U_{MNS})_{ij} \nu_{e,\mu,\tau}$  and  $l'_i = l_i$



$$|\nu_1\rangle = U_{e1} |\nu_e\rangle + U_{\mu 1} |\nu_\mu\rangle + U_{\tau 1} |\nu_\tau\rangle$$

$$|\nu_2\rangle = U_{e2} |\nu_e\rangle + U_{\mu 2} |\nu_\mu\rangle + U_{\tau 2} |\nu_\tau\rangle$$

$$|\nu_3\rangle = U_{e3} |\nu_e\rangle + U_{\mu 3} |\nu_\mu\rangle + U_{\tau 3} |\nu_\tau\rangle$$

- Quarks:  $\begin{pmatrix} u \\ d' \end{pmatrix} = \begin{pmatrix} u \\ V_{ud} d + V_{us} s + V_{ub} b \end{pmatrix}$ ; We say “the down-type quarks mix”.
- Leptons:  $\begin{pmatrix} \nu_1 \\ e \end{pmatrix} = \begin{pmatrix} U_{e1} \nu_e + U_{\mu 1} \nu_\mu + U_{\tau 1} \nu_\tau \\ e \end{pmatrix}$ ; We say “the neutrinos mix.”
- Why the “down-types” in one case and the “up-types” in another?
- Answer: it is convention! Both mix individually (in an unknown way).
  - The interaction is always:  $\mathcal{L}_W = \frac{g}{\sqrt{2}} V_{CKM} u_L \gamma_\mu W^\mu d_L$
  - i.e up and down-type combined!
- Paradox question: does this mean neutrino mixing is unphysical??

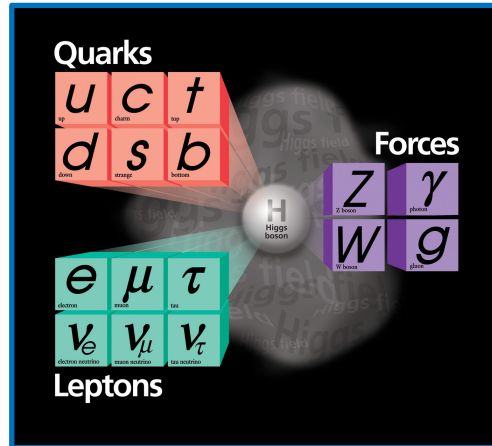
- Yukawa couplings to massless particles:

$$\mathcal{L}_Y = Y_{ij}^d (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix} d'_{jR} + Y_{ij}^u (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} v/\sqrt{2} \\ 0 \end{pmatrix} u'_{jR}$$

- Diagonalize  $Y_{ij}$  :

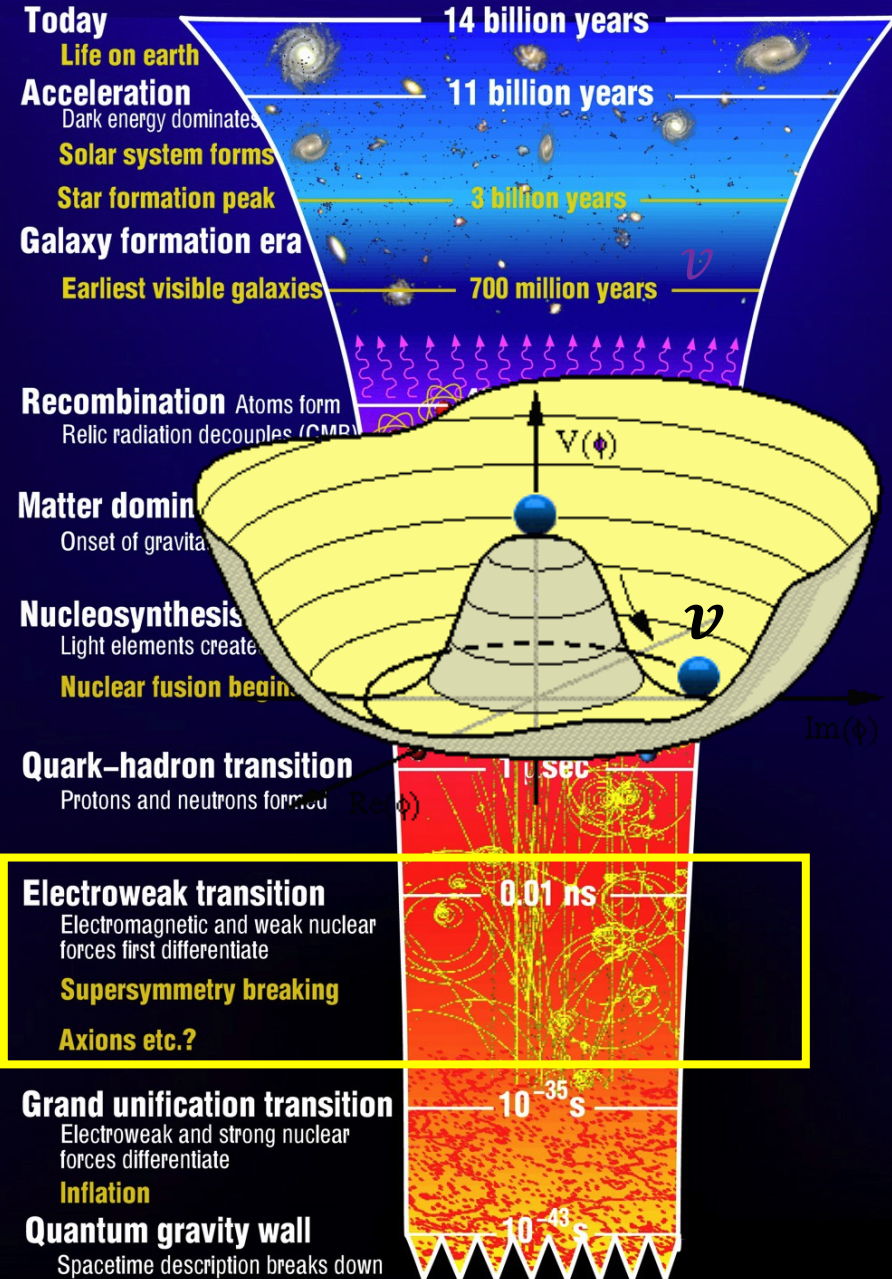
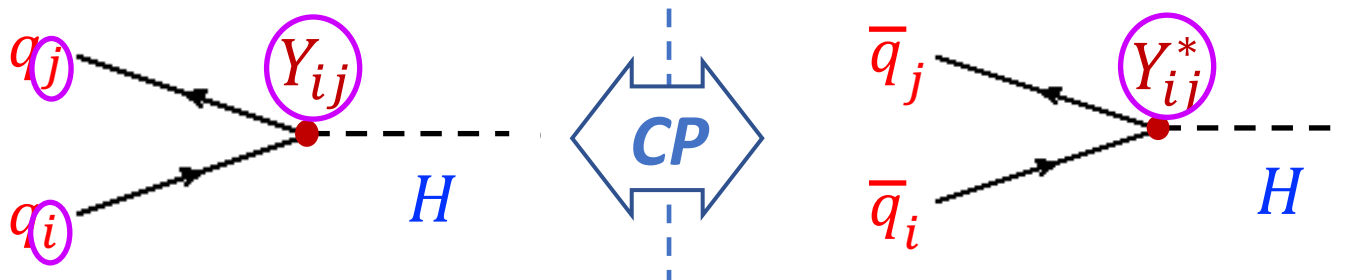
$$u_i = (V^u)_{ij} u'_j \quad \text{and} \quad d_i = (V^d)_{ij} d'_j$$

→ mass and flavour eigenstates



- Universality violation: Higgs !

- Higgs coupling is *not universal*, and mixes generations
- Complex couplings: allows for CP Violation!





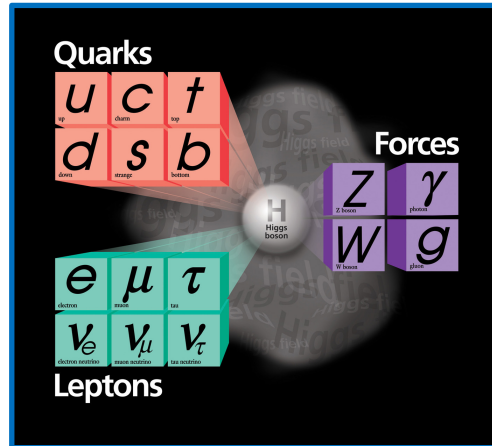
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- Diagonalize  $Y_{ij}$  :

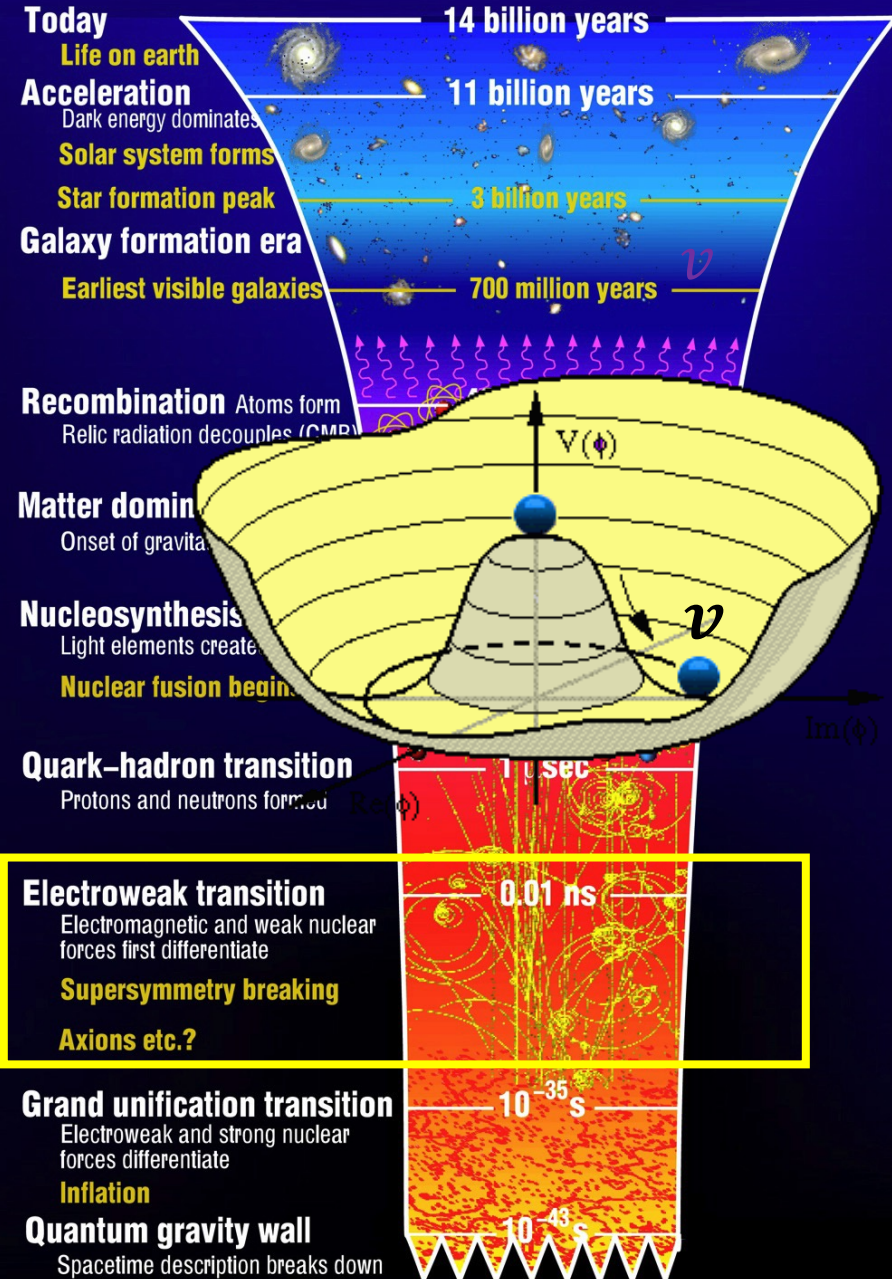
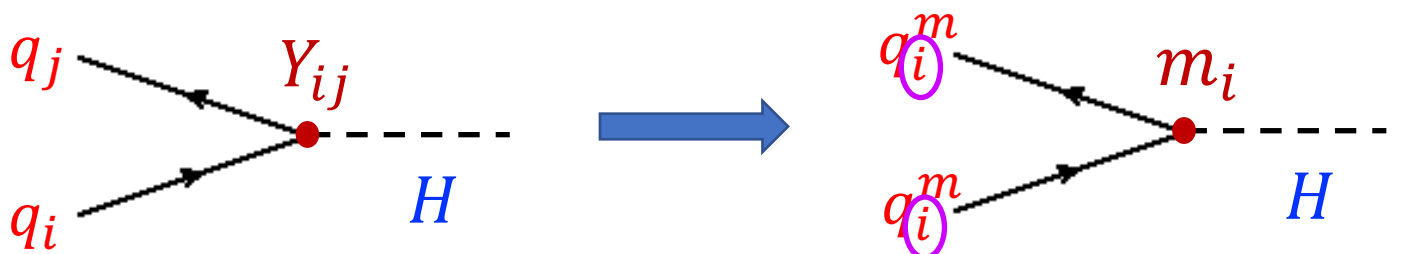
$$u_i = (V^u)_{ij} u'_j \quad \text{and} \quad d_i = (V^d)_{ij} d'_j$$

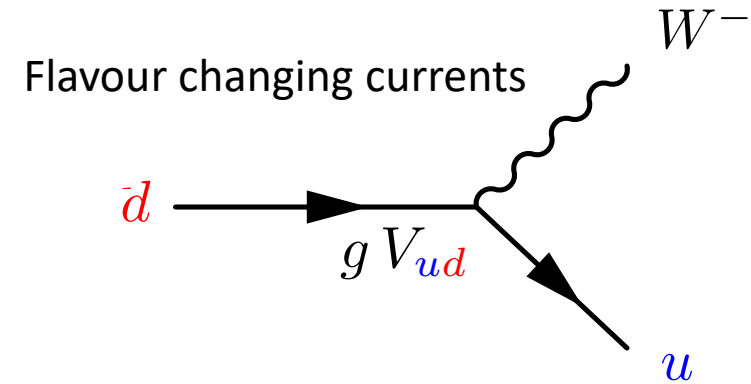
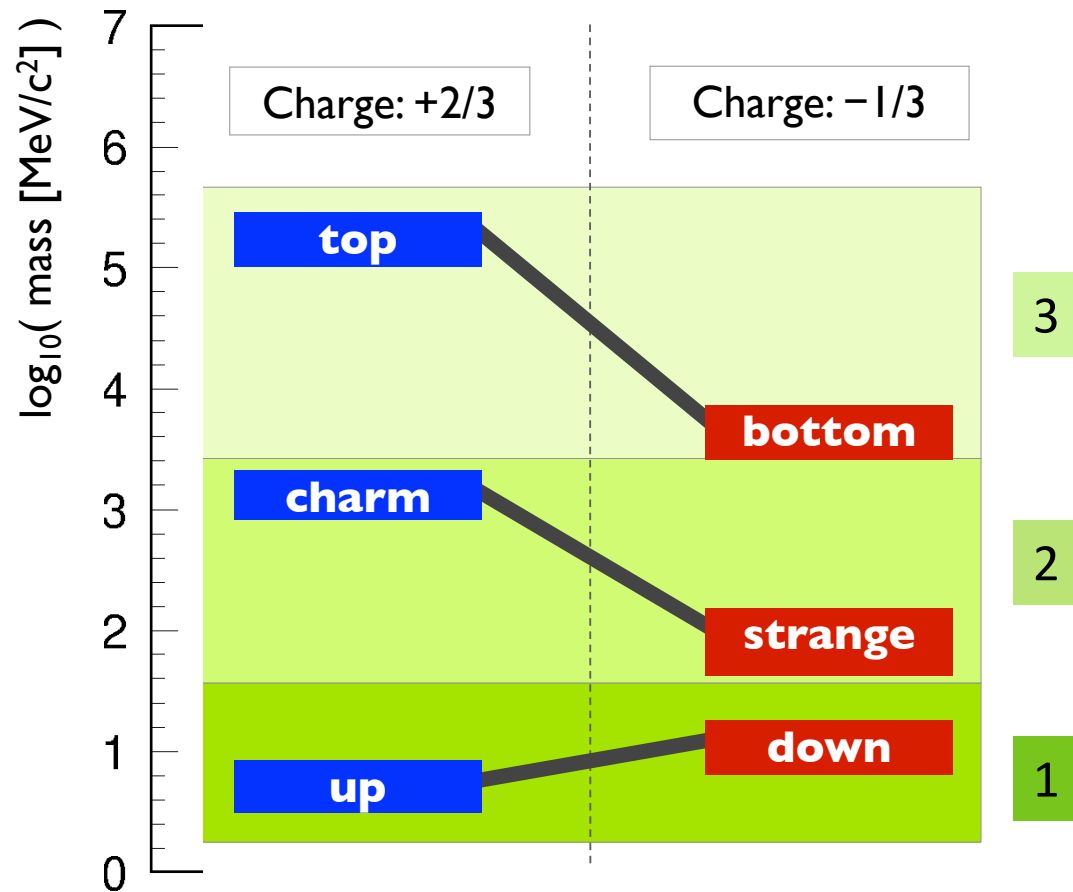
→ mass and flavour eigenstates



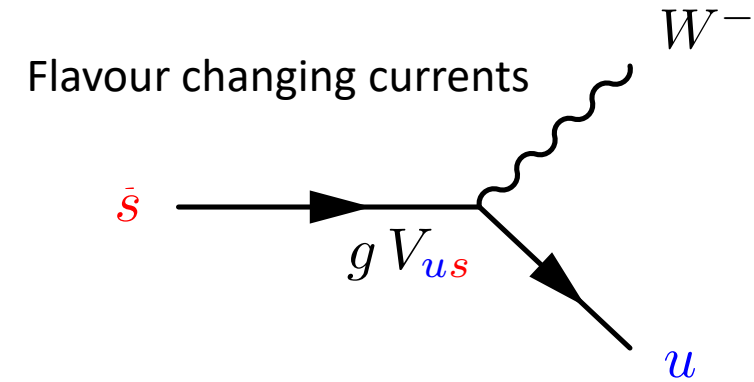
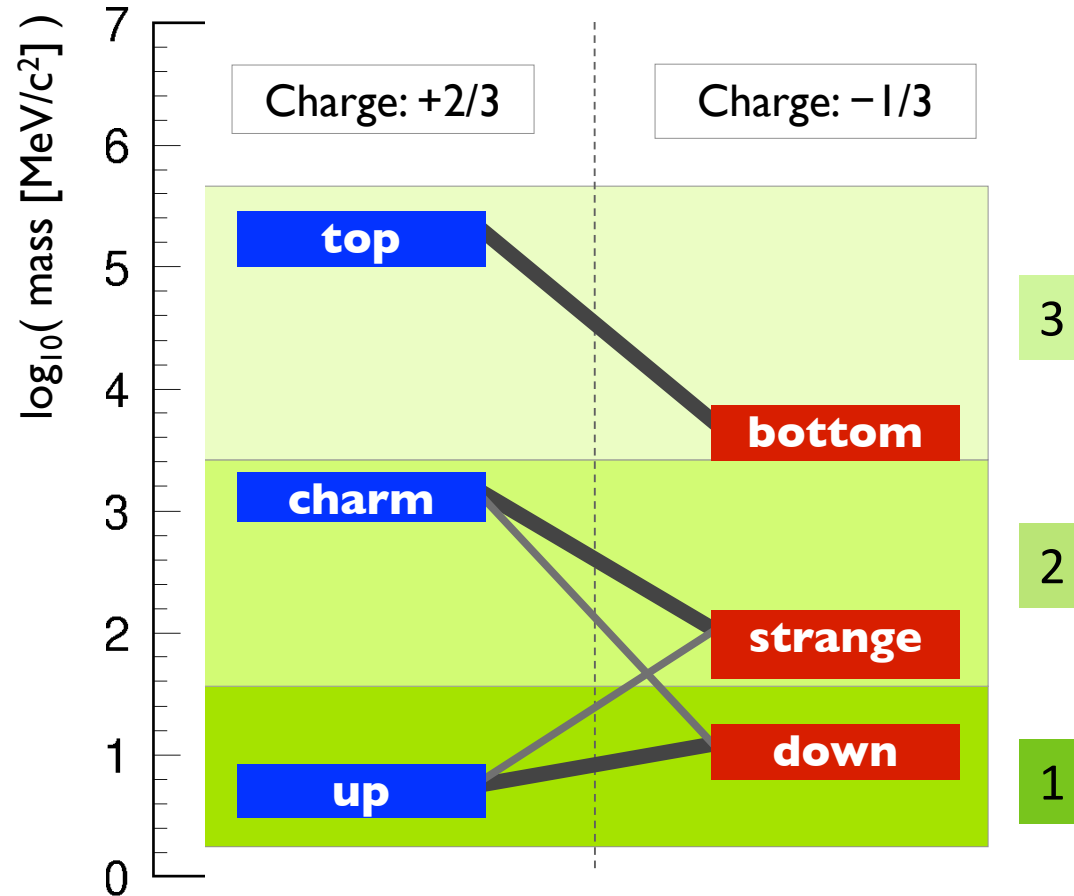
- Higgs: redefines quarks states in mass eigenstates ( $i \leftrightarrow i$ )

$m_i$  : Real couplings only! → No CP violation

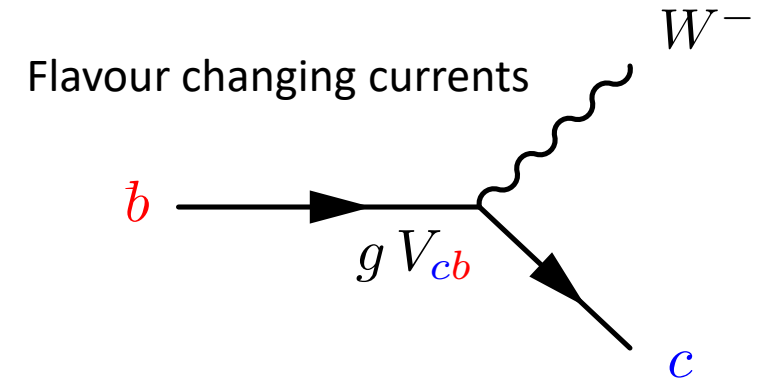
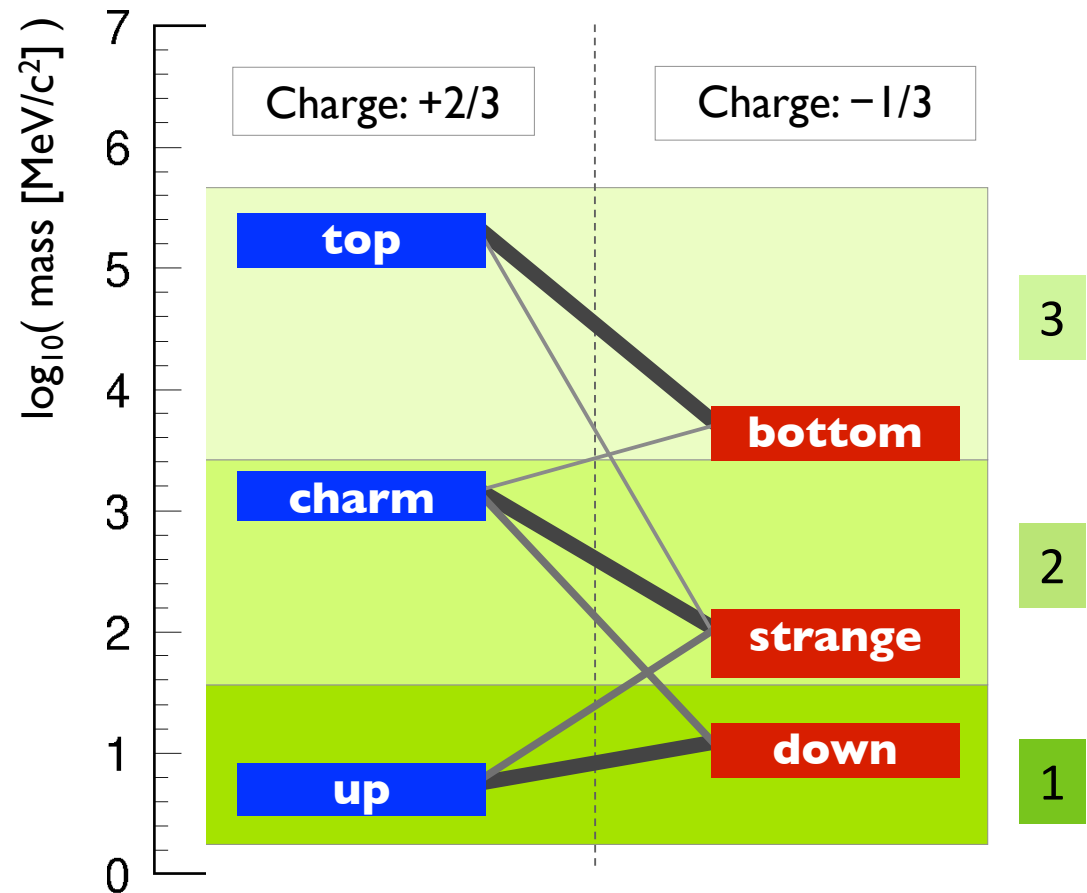




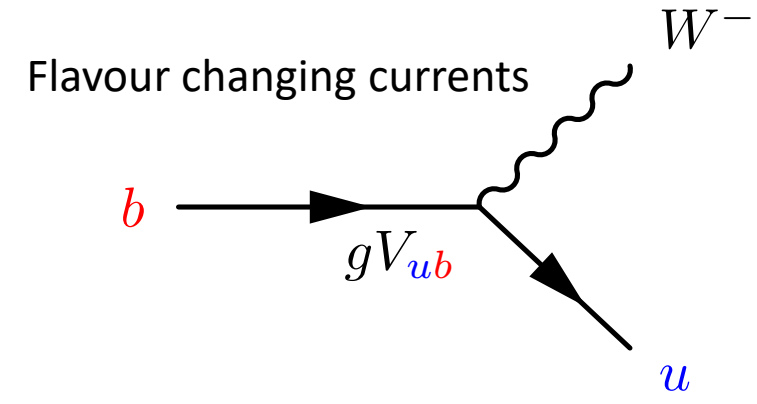
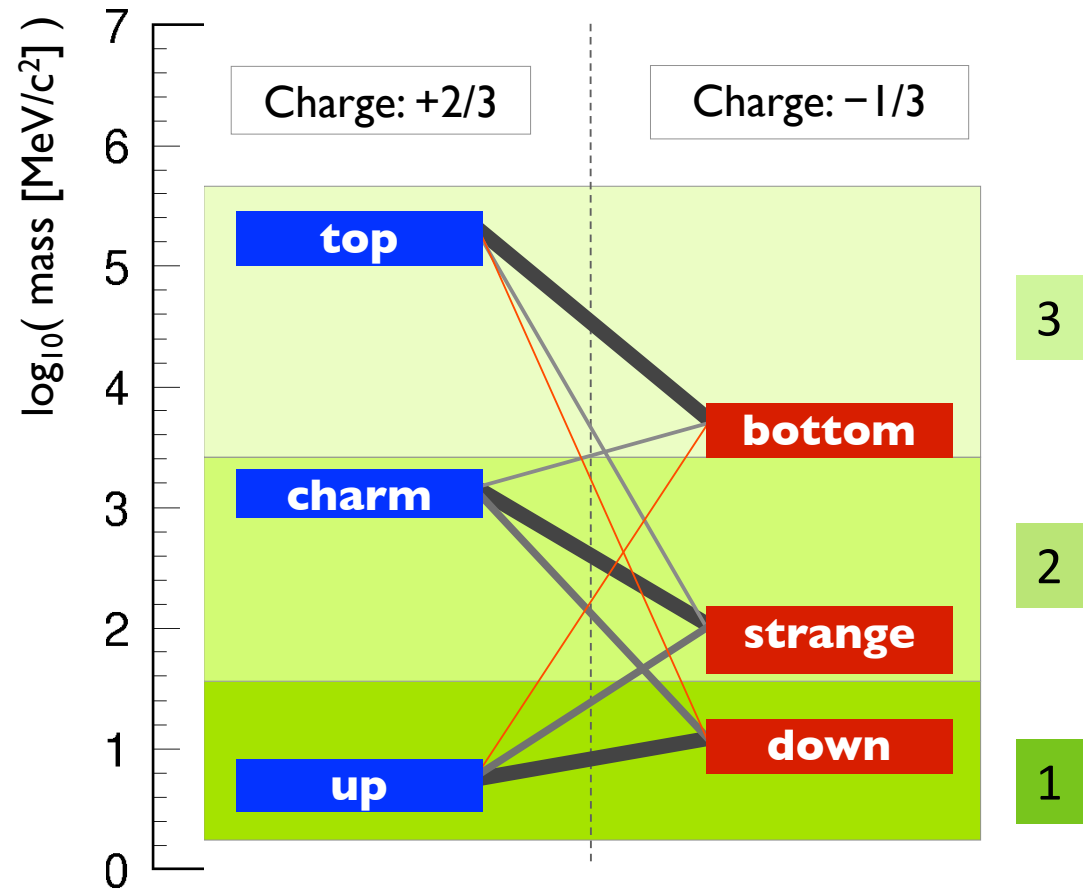
$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & & \\ & V_{cs} & \\ & & V_{tb} \end{pmatrix}$$



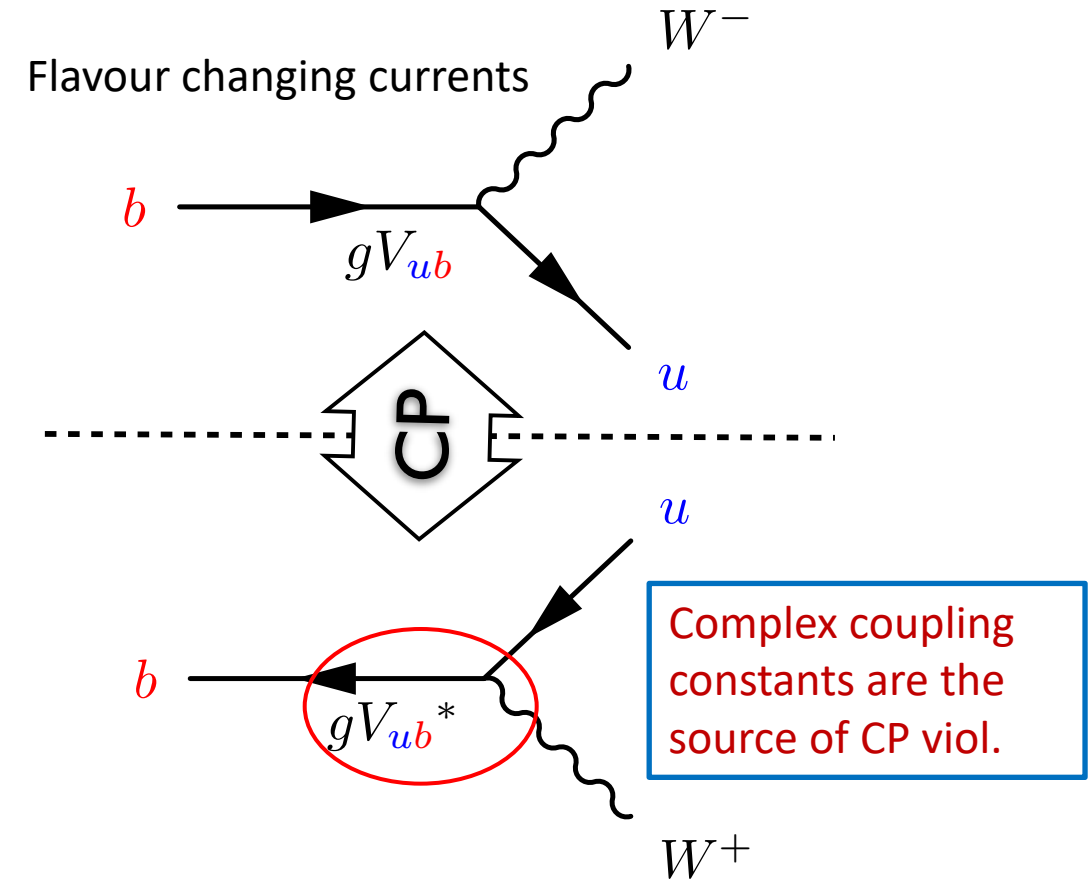
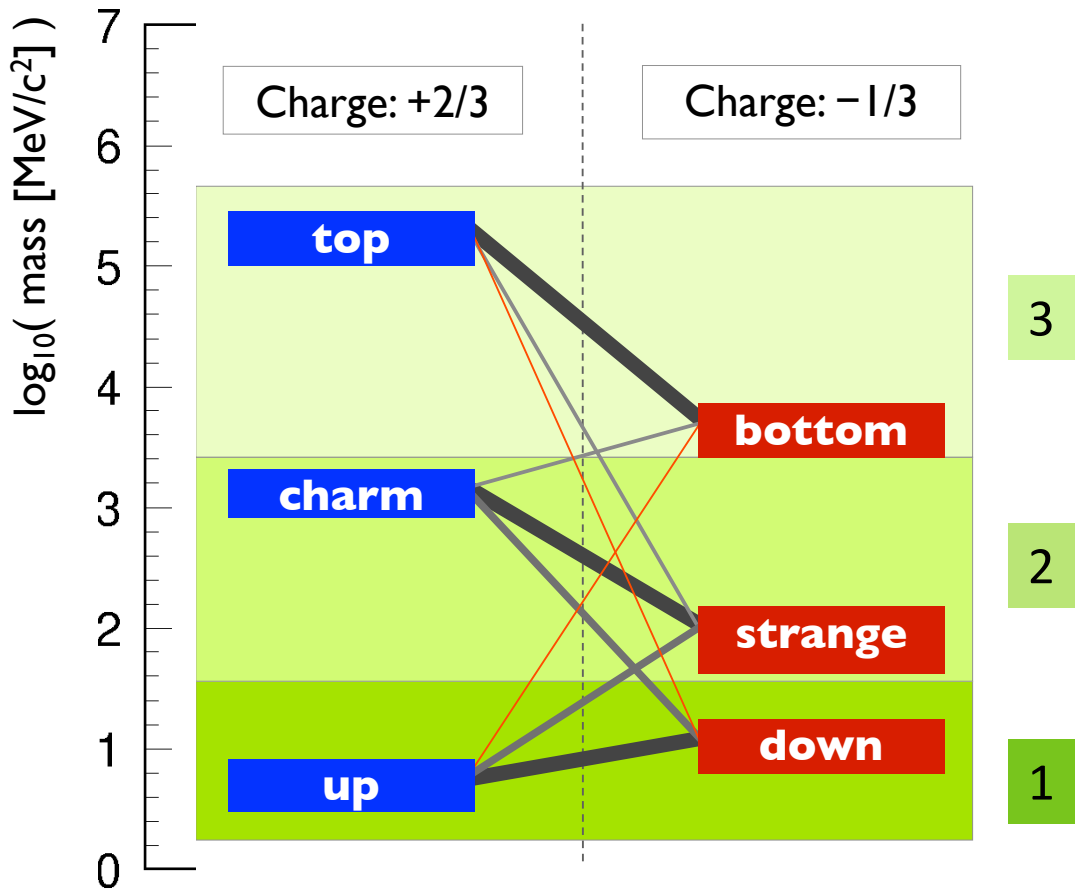
$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & \\ V_{cd} & V_{cs} & \\ & & V_{tb} \end{pmatrix}$$



$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & \\ V_{cd} & V_{cs} & V_{cb} \\ & V_{ts} & V_{tb} \end{pmatrix}$$



$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



- Particles and antiparticles have complex conjugated coupling constants

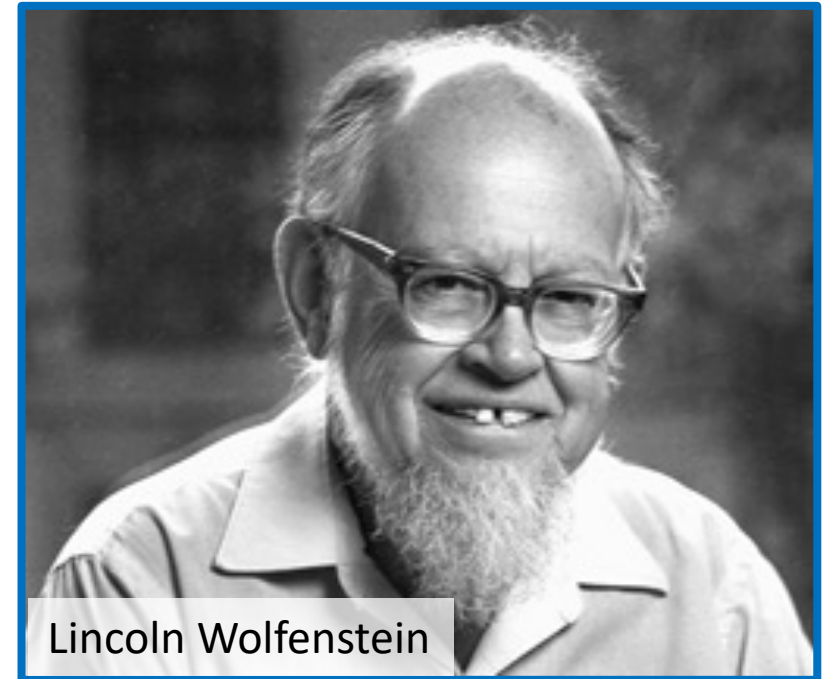
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$V_{CKM}: \begin{matrix} & d & s & b \\ \begin{matrix} u \\ c \\ t \end{matrix} & \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \end{matrix}$$

- Wolfenstein parametrization:  $V_{CKM} =$

$$\begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

- ➔ 1 complex degree of freedom
- ➔ CP violating phase



Lincoln Wolfenstein

- It follows from unitarity:

$$V_{CKM}^\dagger V_{CKM} = 1$$

- The CKM is a mixing matrix, ie. a complex rotation in 3x3 flavour space

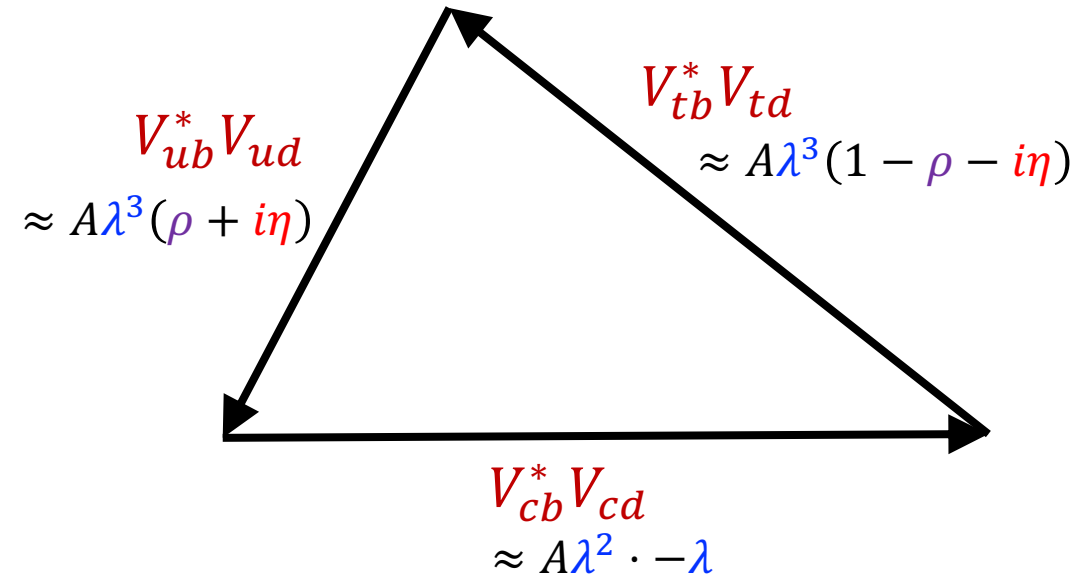
- This implies that the matrix is unitary:  $V_{CKM}^\dagger V_{CKM} = 1$

$$\begin{pmatrix} V_{ud}^* & V_{cd}^* & V_{td}^* \\ V_{us}^* & V_{cs}^* & V_{ts}^* \\ V_{ub}^* & V_{cb}^* & V_{tb}^* \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Triangle in the complex plane:

- There are 9 orthonormality equations

- Example:  $V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$



- Wolfenstein parametrization:

$$V_{CKM} = \begin{pmatrix} 1 - \frac{1}{2} \lambda^2 & \lambda & A \lambda^3 (\rho - i \eta) \\ -\lambda & 1 - \frac{1}{2} \lambda^2 & A \lambda^2 \\ A \lambda^3 (1 - \rho - i \eta) & -A \lambda^2 & 1 \end{pmatrix}$$



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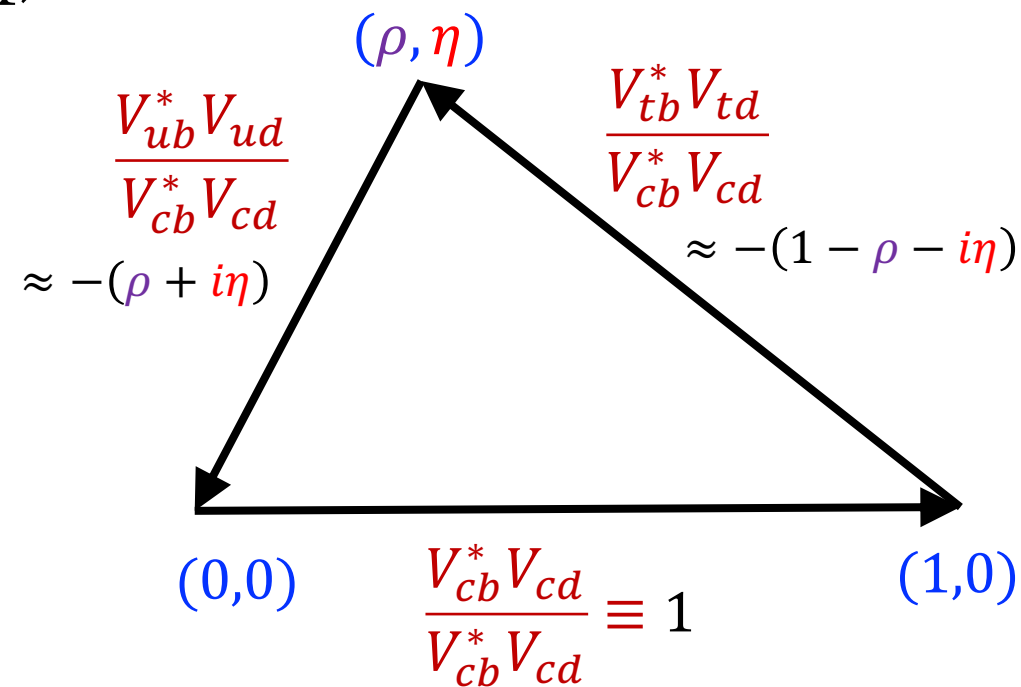
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Renormalize horizontal scale to 1

- CKM in terms of **phases**:

$$V_{CKM} = \begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}|e^{-i\gamma} \\ -|V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}|e^{-i\beta} & -|V_{ts}|e^{i\beta_s} & |V_{tb}| \end{pmatrix}$$

- There are 9 orthonormality equations

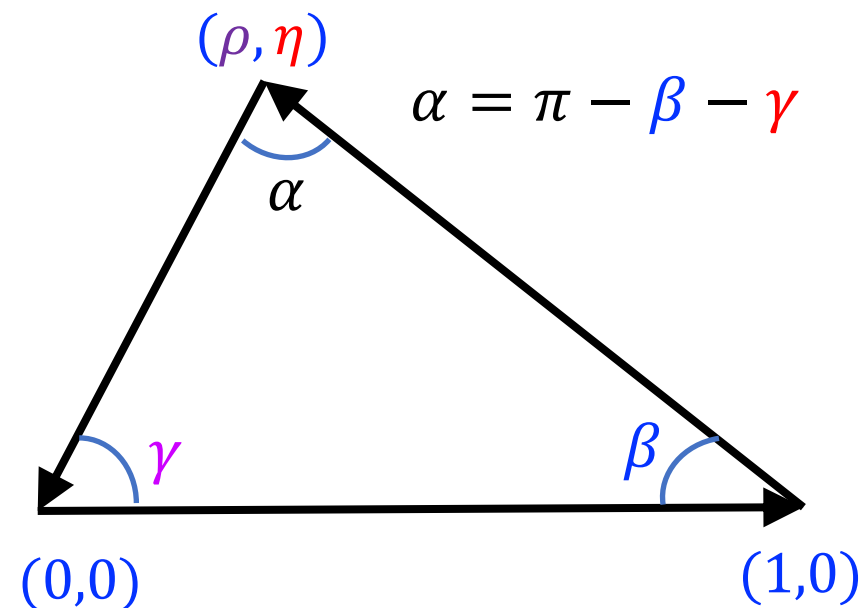
- 9 complex numbers: 9 real + 9 imaginary
- 5 unobservable *relative* quark phases:  $\psi'_i \rightarrow e^{i\phi_i}\psi_i$
- $18 - 9 - 5 = 4$  degrees of freedom

- Wolfenstein parametrization:

$$V_{CKM} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

$$V_{CKM}^\dagger V_{CKM} = 1$$

Triangle in the complex plane:



- There are 4 degrees of freedom:
  - 3 real (Euler angles) and one phase

- CKM in terms of **phases**:

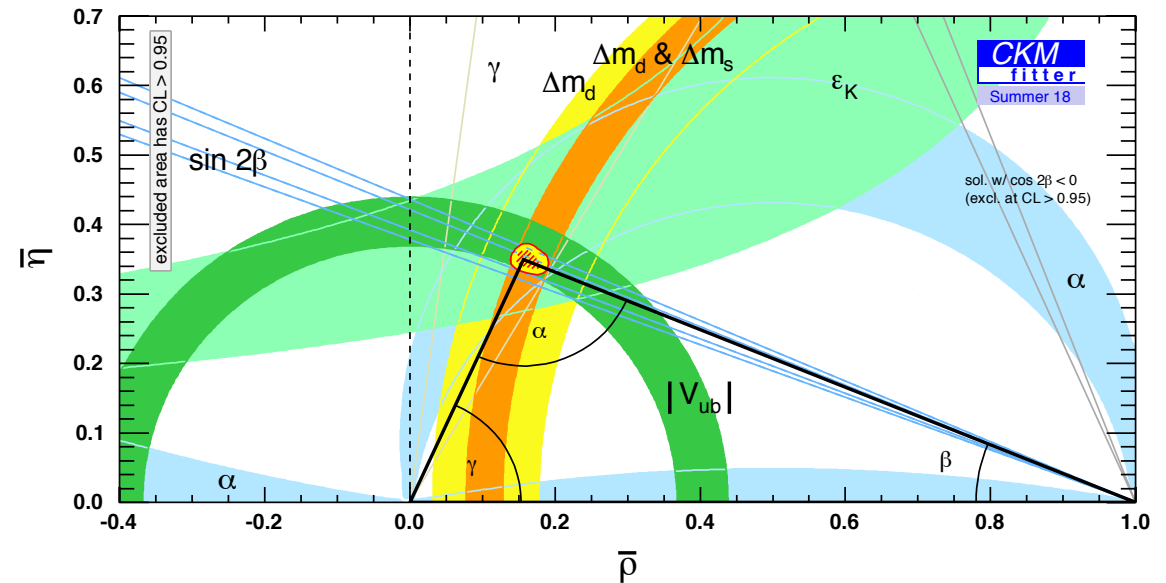
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Triangle in the complex plane:

$$V_{CKM}^\dagger V_{CKM} = 1$$



- CP Violation:

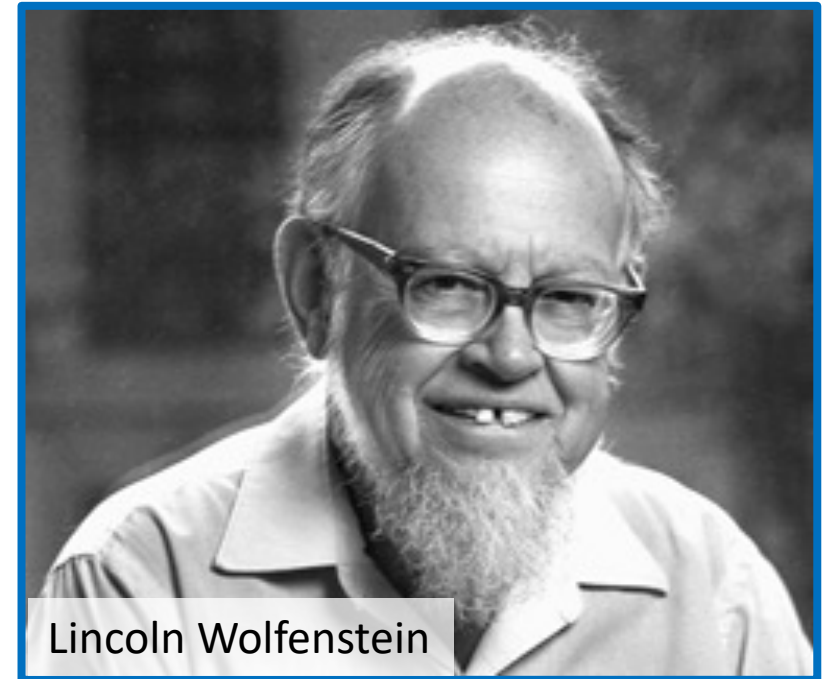
- Non-zero unitary phases
- Triangle surface  $\neq 0$ 
  - ❖ Jarlskog invariant "J"

$$V_{CKM}: \begin{matrix} & d & s & b \\ \begin{matrix} u \\ c \\ t \end{matrix} & \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \end{matrix}$$

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→ 1 CP violating phase



Lincoln Wolfenstein

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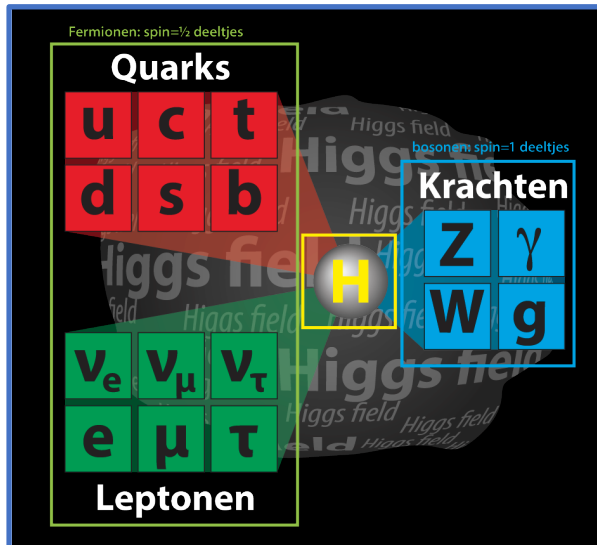
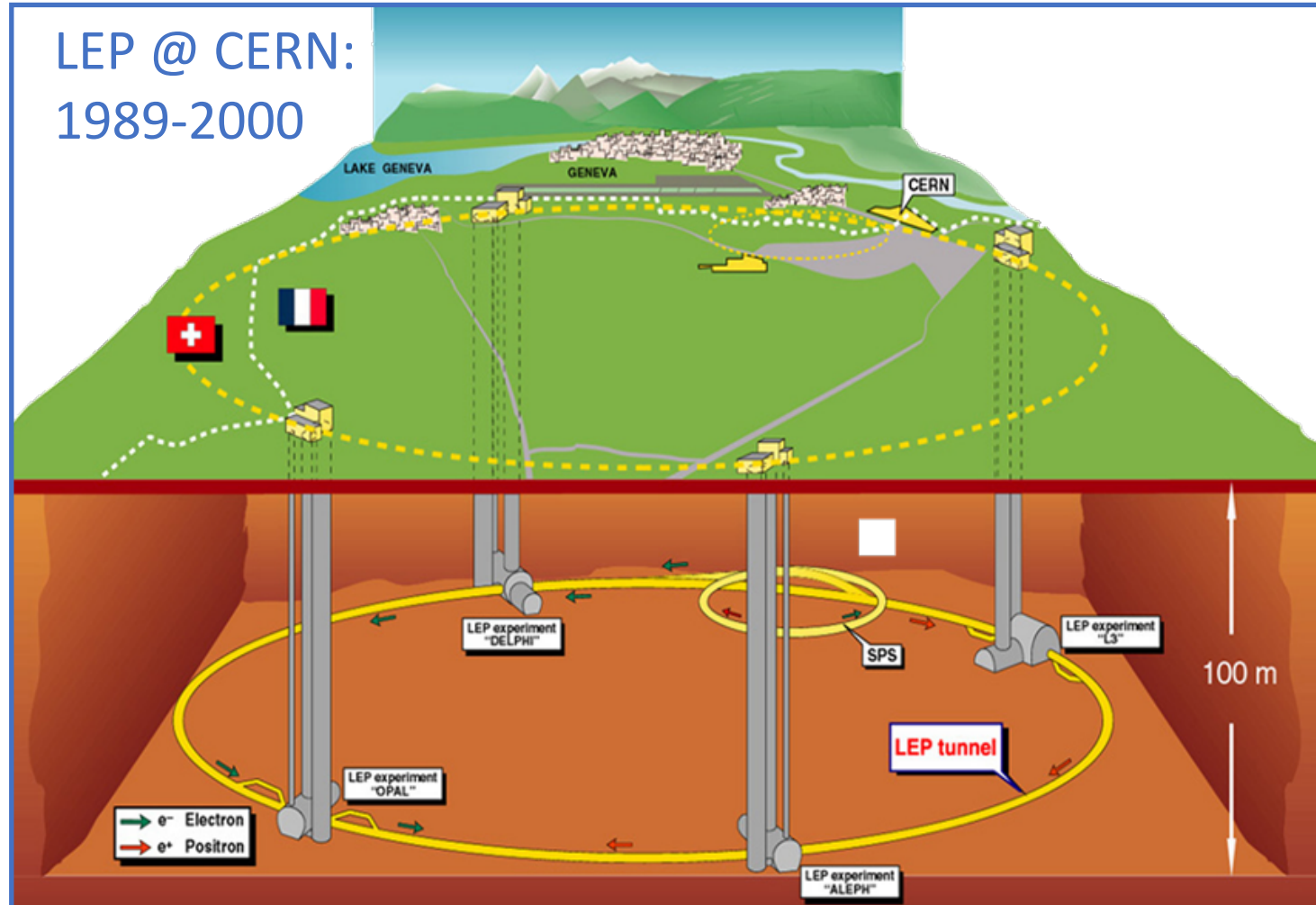
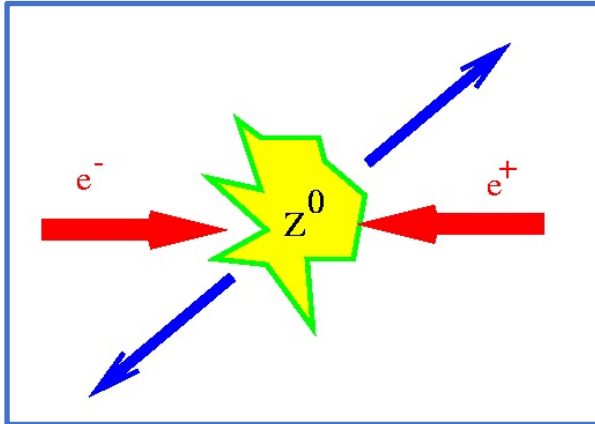
1 free variable =  
8 (4 complex)  
- 4 orthonormality  
- 3 quark phases

→ No CP violation

- 3 generations is the minimal particle content to generate CP violation (In Standard Model).

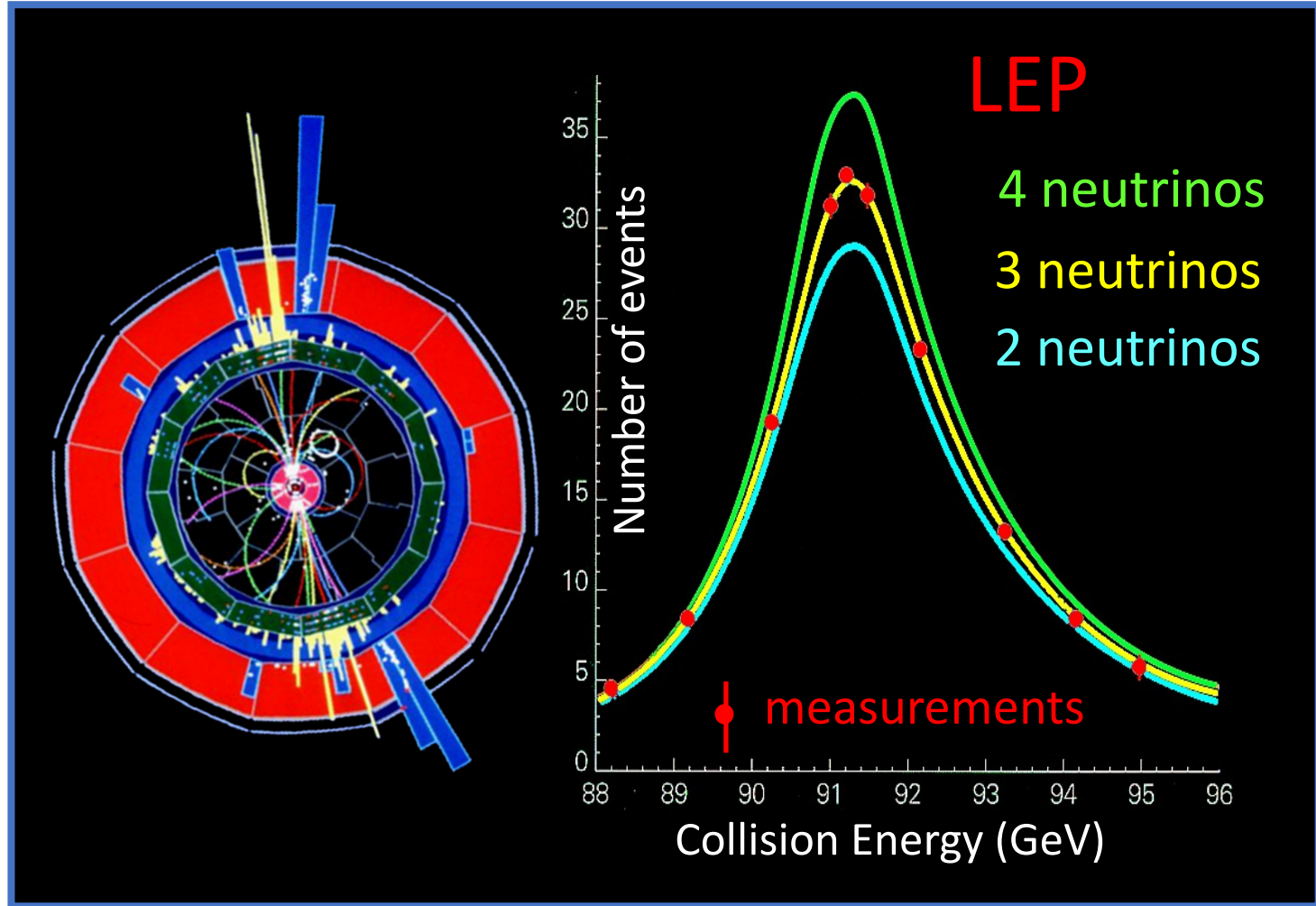
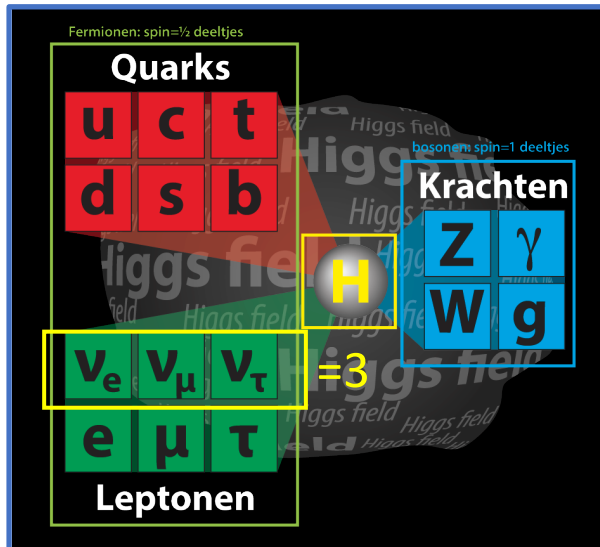
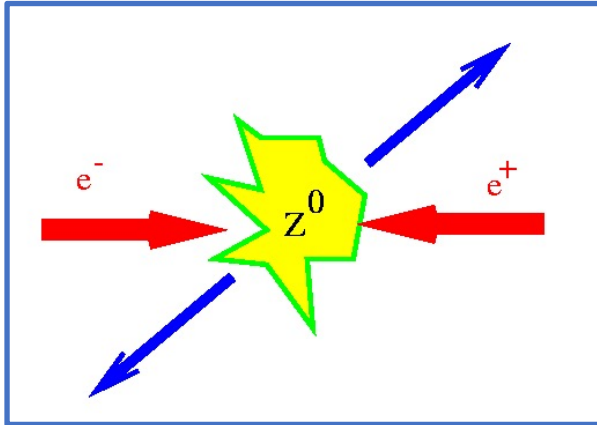
# 3 Generations of particles – How do we know?

**LEP:** The heavy Z boson decays into 3 light neutrino types.



- *No additional weakly interacting light fermion generations.*

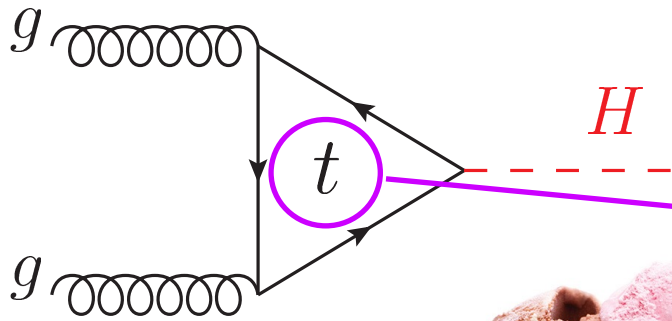
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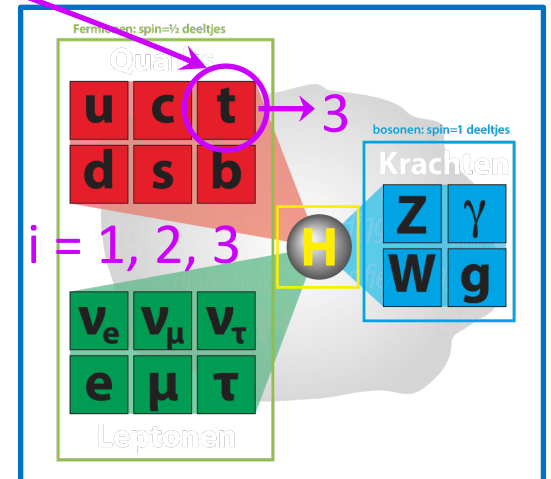
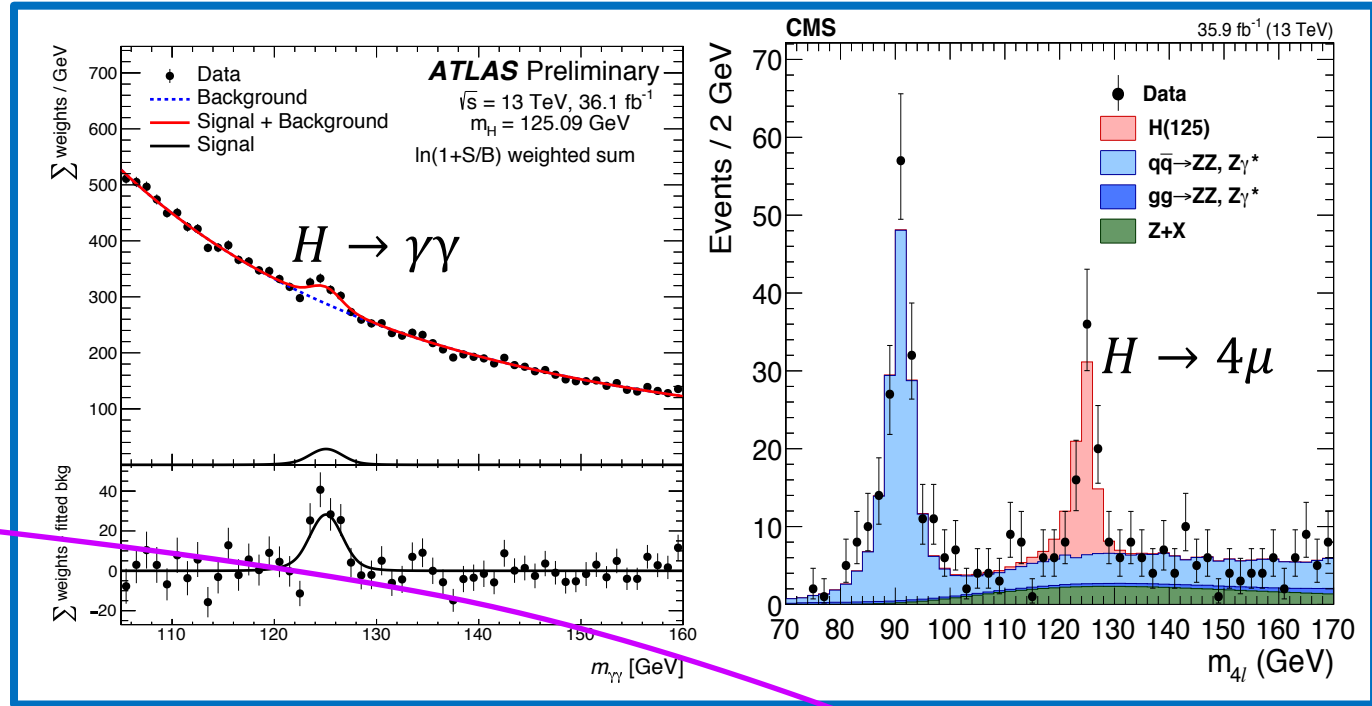
- *No additional weakly interacting light fermion generations.*

## LHC: Higgs production:

Loop diagram is proportional to the mass of the heaviest fermion.



- Top is the **heaviest fermion flavour**.
- 3 Flavour generations





- Equivalent of CKM-Matrix  $V_{CKM}$  for leptons is PMNS-Matrix
  - Pontecorvo-Maki-Nakagawa-Sakata matrix:  $U_{PMNS}$

- Neutrinos:  $U_{PMNS}$

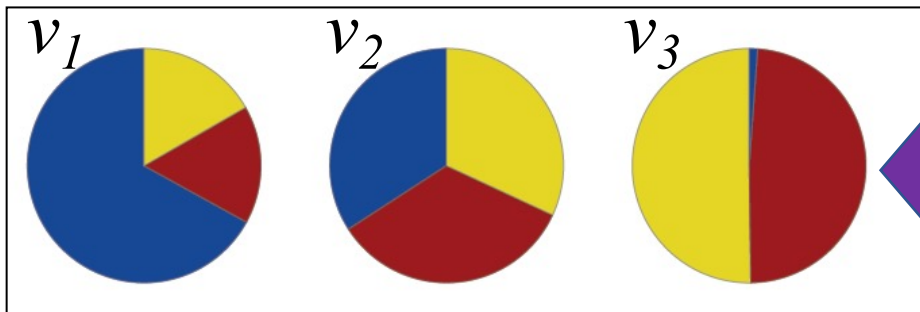
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$U_{MNSP} \approx \begin{pmatrix} 0.82 & 0.55 & 0.15 \\ 0.37 & 0.57 & 0.70 \\ 0.39 & 0.59 & 0.69 \end{pmatrix}$$

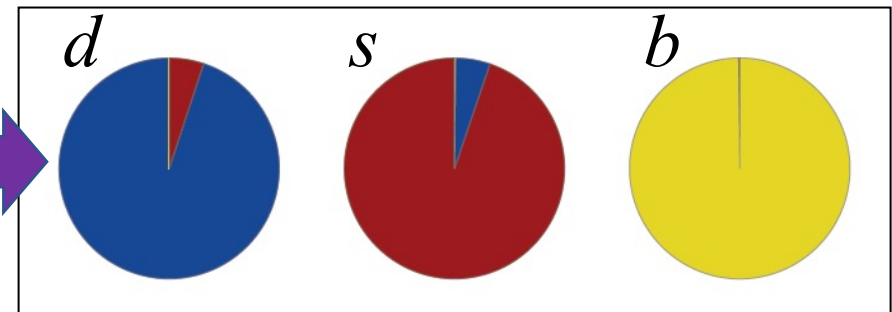
- Quarks:  $V_{CKM}$

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$V_{CKM} = \begin{pmatrix} 0.97446 & 0.22452 & 0.00365 \\ 0.22438 & 0.97359 & 0.04214 \\ 0.00896 & 0.04133 & 0.99911 \end{pmatrix}$$



Completely different hierarchy



I THINK WE'VE  
GOT ENOUGH  
INFORMATION  
NOW, DON'T  
YOU?

ALL WE HAVE  
IS ONE "FACT"  
YOU MADE UP.



THAT'S PLENTY. BY THE TIME  
WE ADD AN INTRODUCTION,  
A FEW ILLUSTRATIONS, AND  
A CONCLUSION, IT WILL  
LOOK LIKE A GRADUATE  
THESIS.



## Contents per Week:

### 1. $CP$ Violation

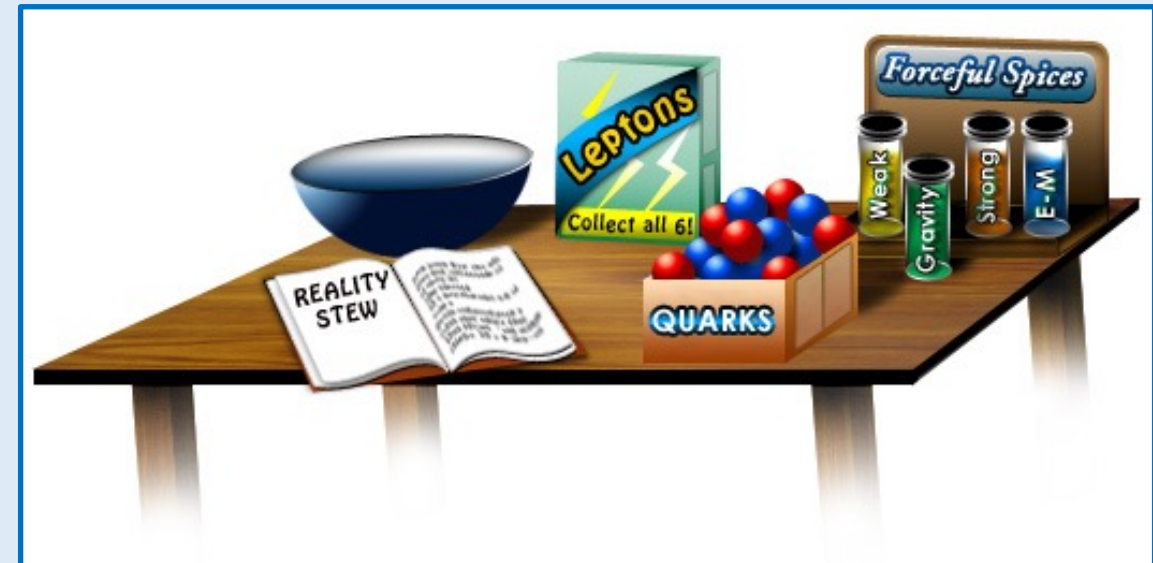
- a) Discrete Symmetries
- b)  **$CP$  Violation in the Standard Model**
- c) Jarlskog Invariant and Baryogenesis

### 2. B-Mixing

- a)  $CP$  violation and Interference
- b) B-mixing and time dependent  $CP$  violation
- c) Experimental Aspects: LHC vs B-factory

### 3. B-Decays

- a) Effective Hamiltonian
- b) Lepton Flavour Non-Universality



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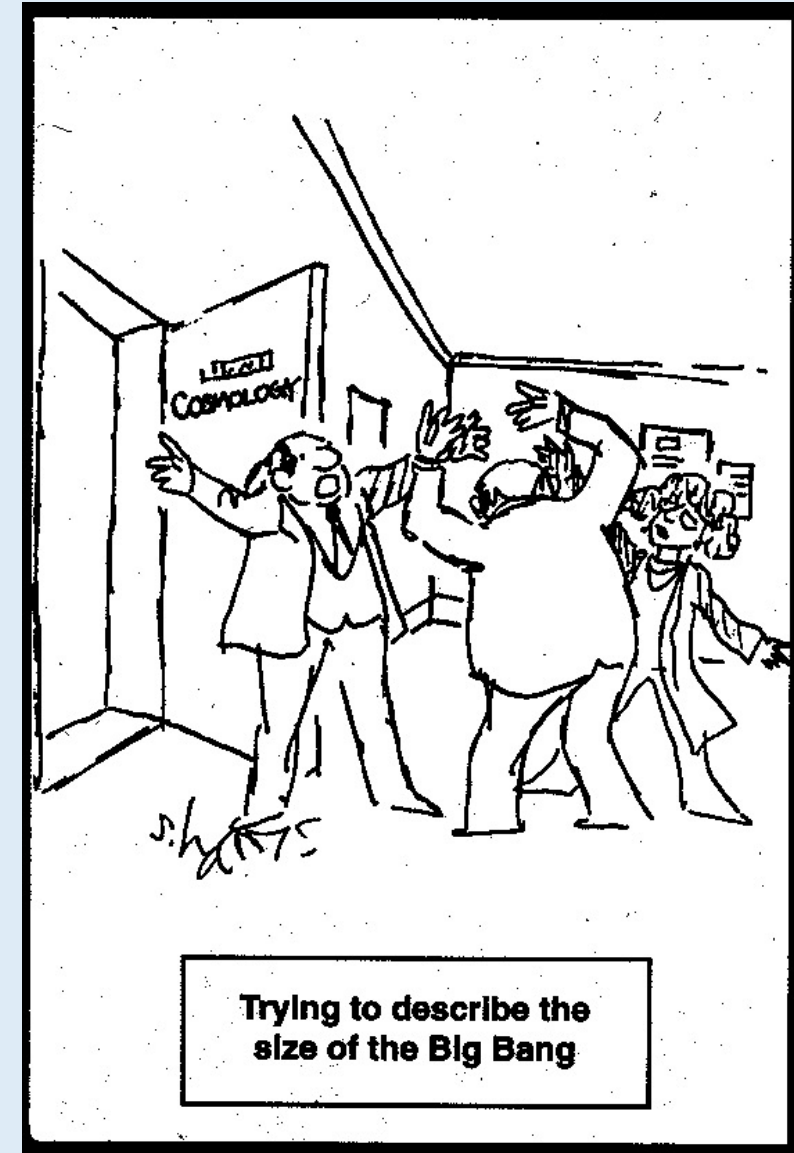
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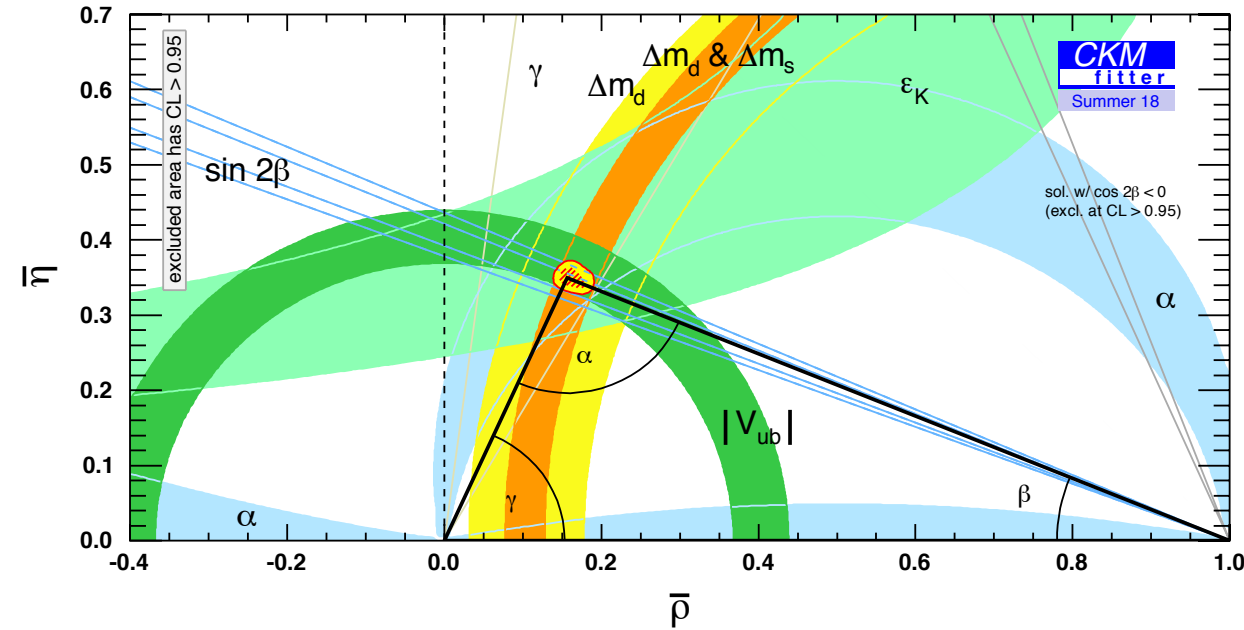
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### 3. B-Decays

- a) Effective Hamiltonian
- b) Lepton Flavour Non-Universality



- Large CP violation requires *large mixing* and *large phases* in the CKM matrix.
  - Surface of unitarity triangle
  - Jarlskog invariant:  $J = 3 \times 10^{-5}$
- CP violation also requires three generations with non-zero quark masses



- In fact, *different* masses are required:

- $m_u \neq m_c$  ;  $m_c \neq m_t$  ;  $m_t \neq m_u$
- $m_d \neq m_s$  ;  $m_s \neq m_b$  ;  $m_b \neq m_d$

- Jarlskog criterion (1987) for amount of CP violation:

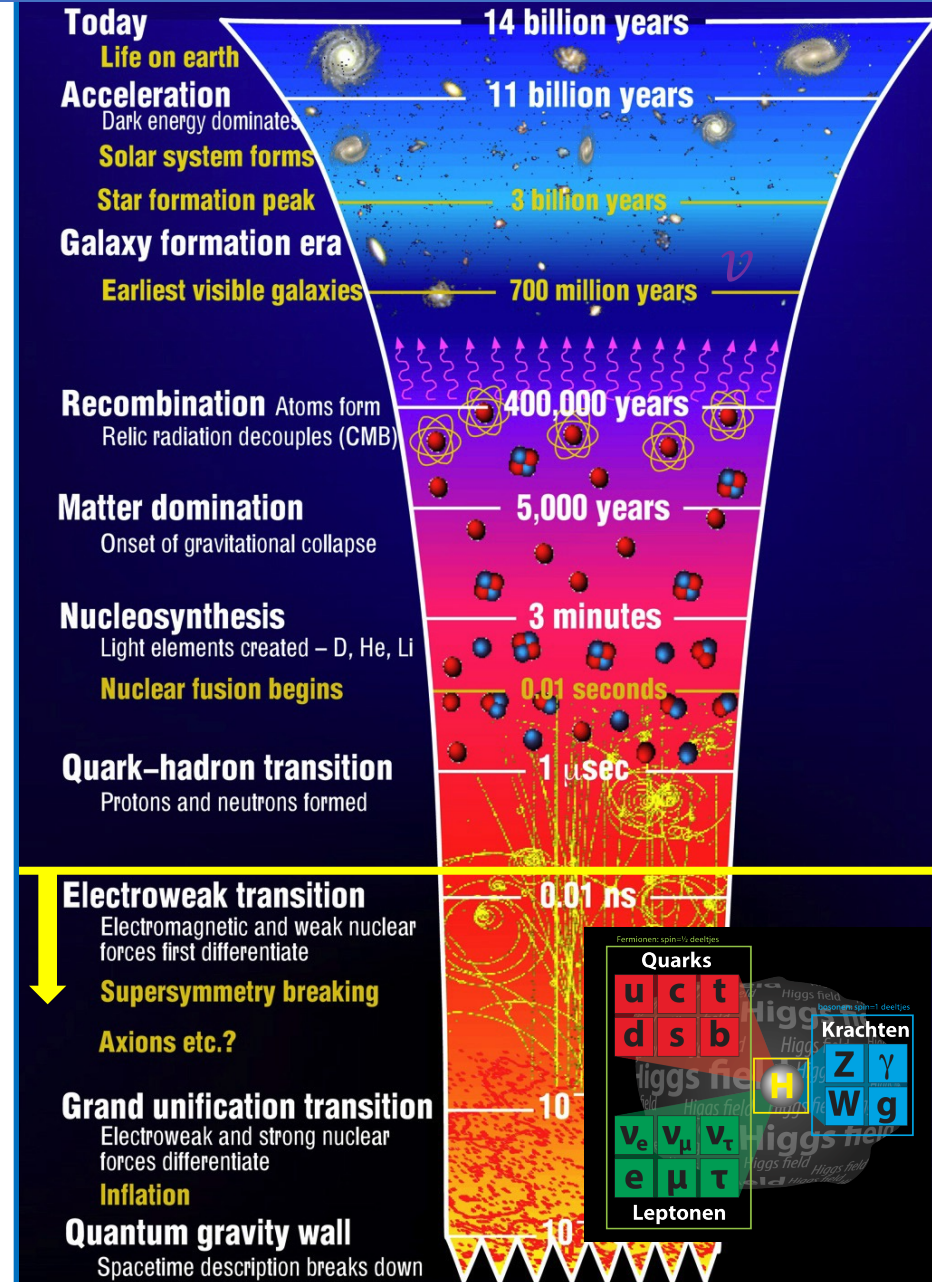
$$\det[M_u M_u^\dagger, M_d M_d^\dagger] = 2 i J (m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2) \times (m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2)$$

$$M_{ij} = Y_{ij} v / \sqrt{2}$$



- W interaction flavour universal

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} u'_L \gamma_\mu W^\mu d'_L$$

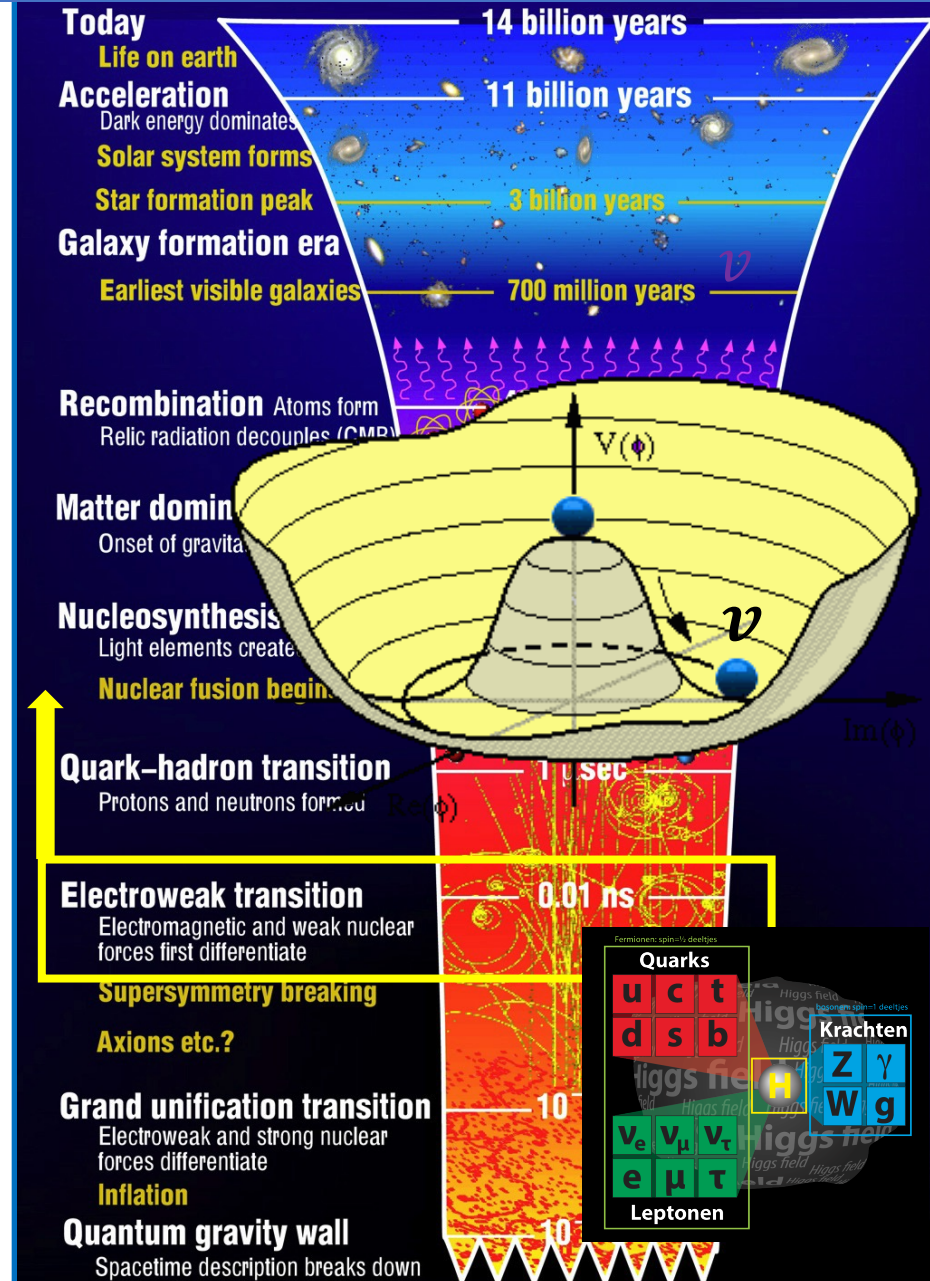


- W interaction flavour universal

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \bar{u}'_L \gamma_\mu W^\mu d'_L$$

- Higgs interaction *not* flavour universal

$$\mathcal{L}_H = Y_{ij}^d (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} 0 \\ v \end{pmatrix} d'_{jR} + Y_{ij}^u (\bar{u}'_i, \bar{d}'_i)_L \begin{pmatrix} v \\ 0 \end{pmatrix} u'_{jR}$$



# SU(2) → Higgs vev → Origin of Mass

- W interaction flavour universal

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} u'_L \gamma_\mu W^\mu d'_L$$

- Higgs interaction *not* flavour universal

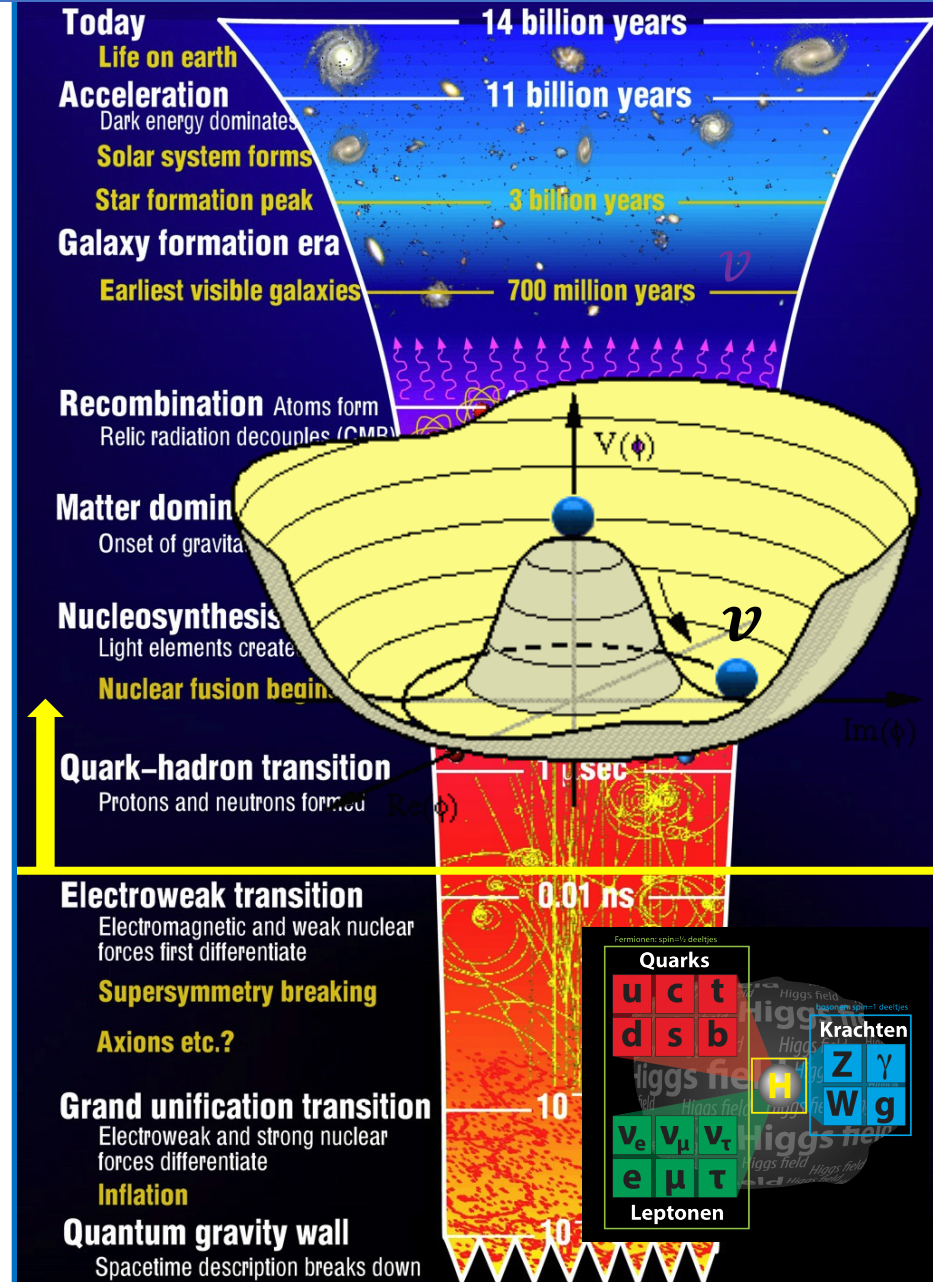
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- Mass vs Interaction states:

$$u_i = (V^u)_{ij} u'_j \quad d_i = (V^d)_{ij} d'_j$$

- Amount of CP violation:

$$\det[M_u M_u^\dagger, M_d M_d^\dagger] = 2 i J (m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2) \times (m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2)$$





# SU(2) → Higgs vev → Origin of Mass → Origin of CP violation? <sup>34</sup>

- W interaction flavour universal

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \bar{u}'_L \gamma_\mu W^\mu d'_L$$

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- Mass vs Interaction states:

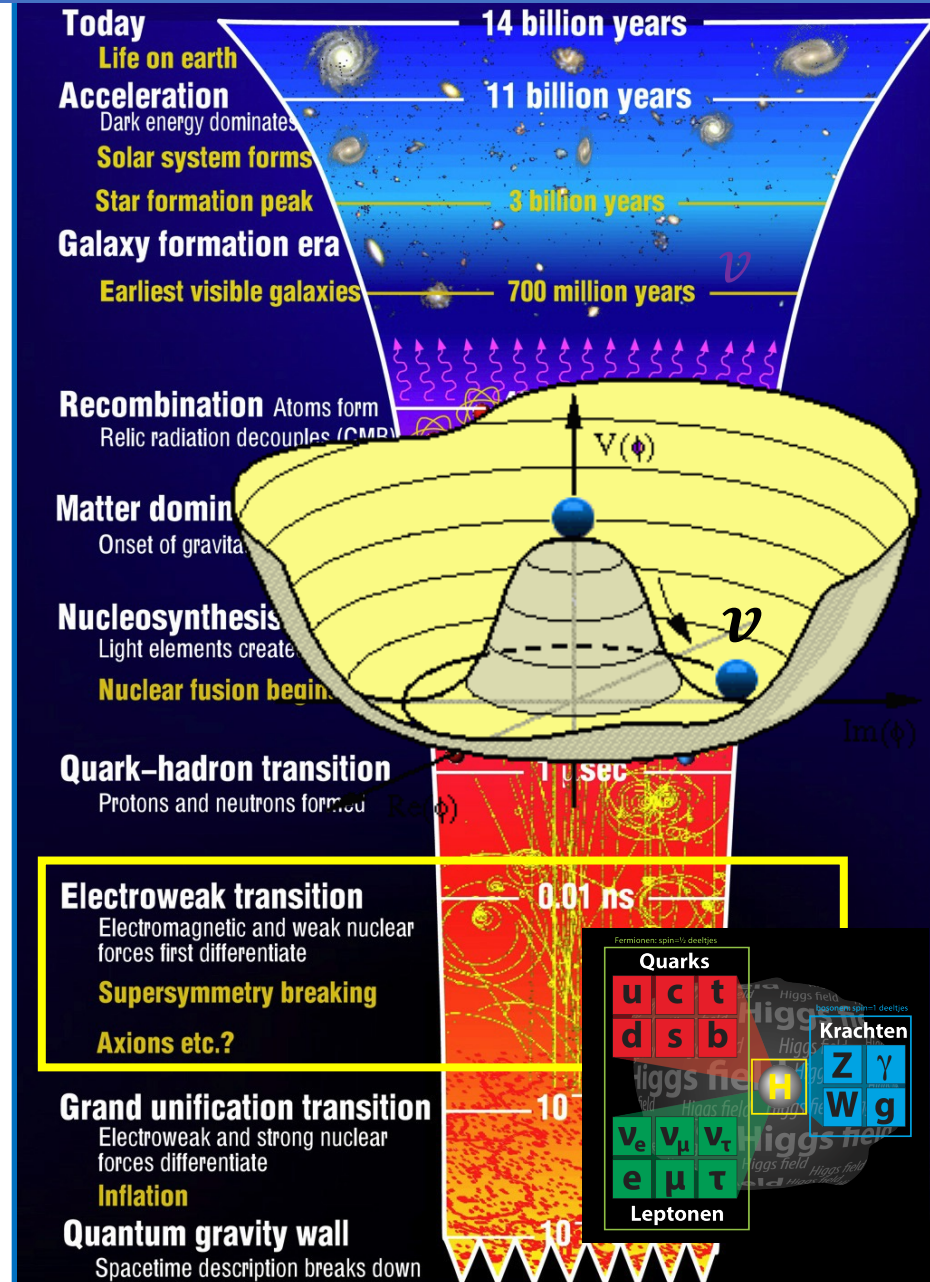
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- Amount of CP violation:

$$\det[M_u M_u^\dagger, M_d M_d^\dagger] = 2 i J (m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2) \times (m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2)$$

- Does the Standard Model include CP violation *before* symmetry breaking?

- Is CP violation perhaps an emergent phenomenon?



- Sacharov Conditions
  - ✓ All present in S.M.

**Baryon asymmetry**

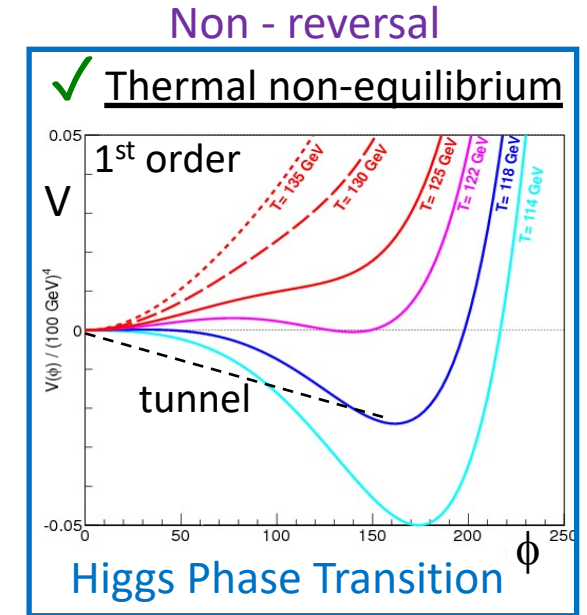
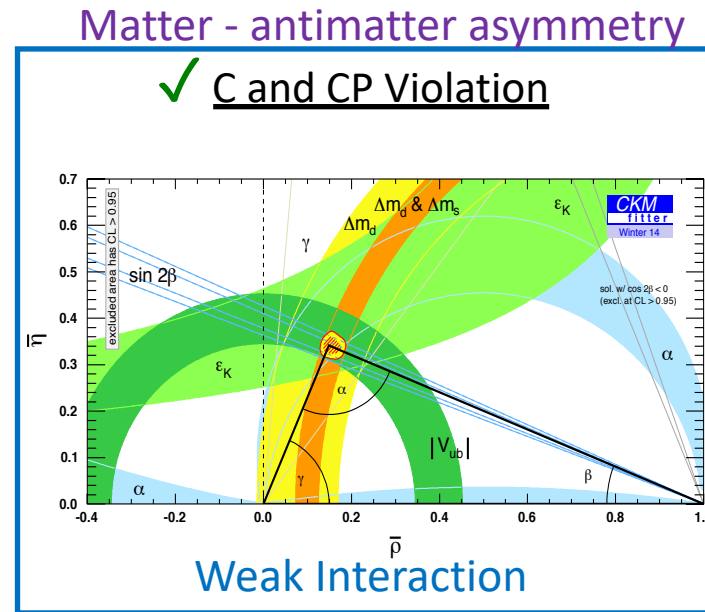
✓ Baryon Number Violation

Adler-Bell-Jackiw

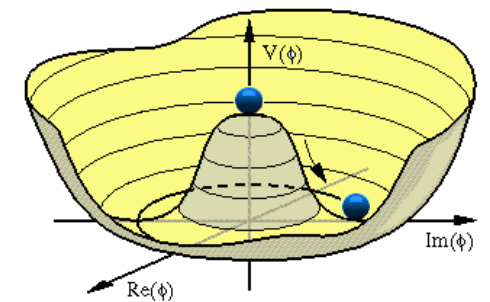
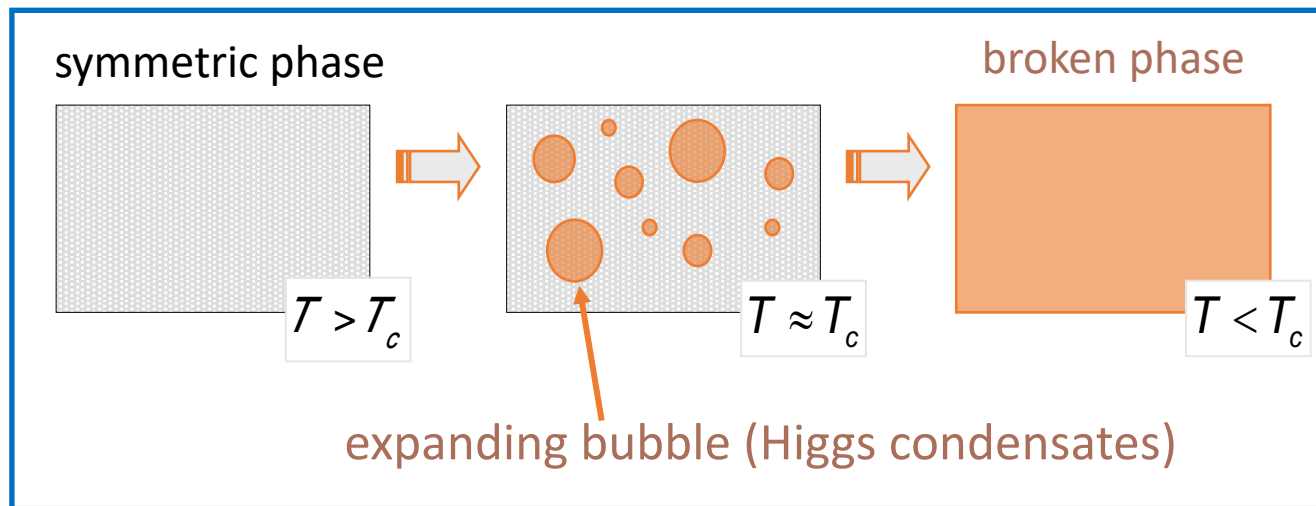
't Hooft, PRL 37 (1976) 8

Axial Anomaly:  $\partial_\mu j^{\mu 5} \neq 0$

Quantum anomaly



- Baryogenesis from Higgs symmetry breaking?



- Sacharov Conditions
  - ✓ All present in S.M.
  - ✗ Not Enough?

**Baryon asymmetry**

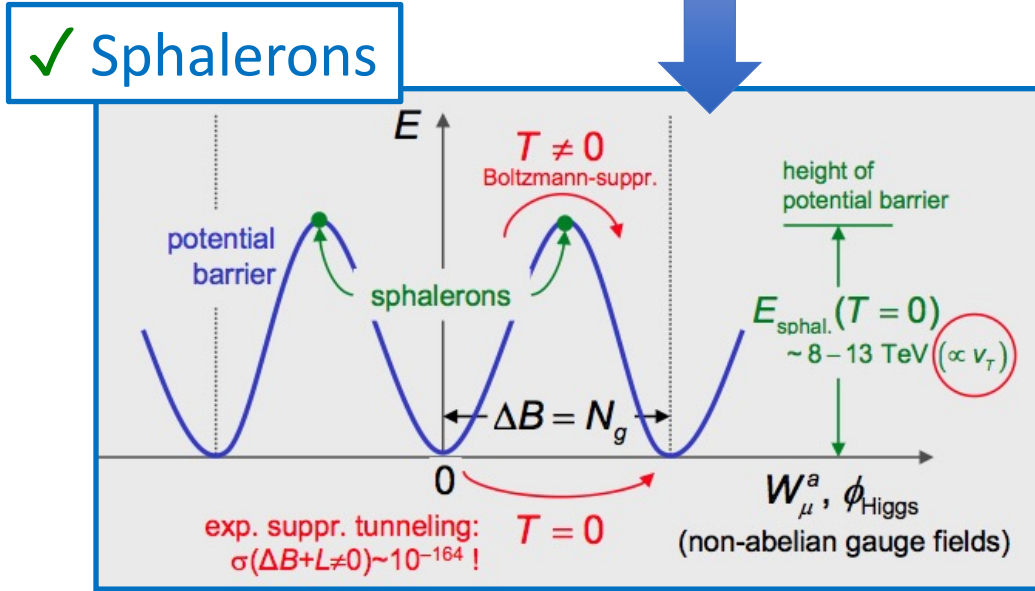
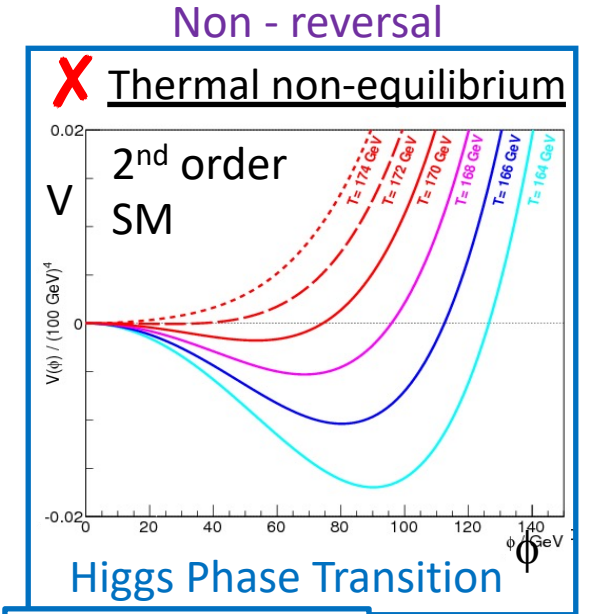
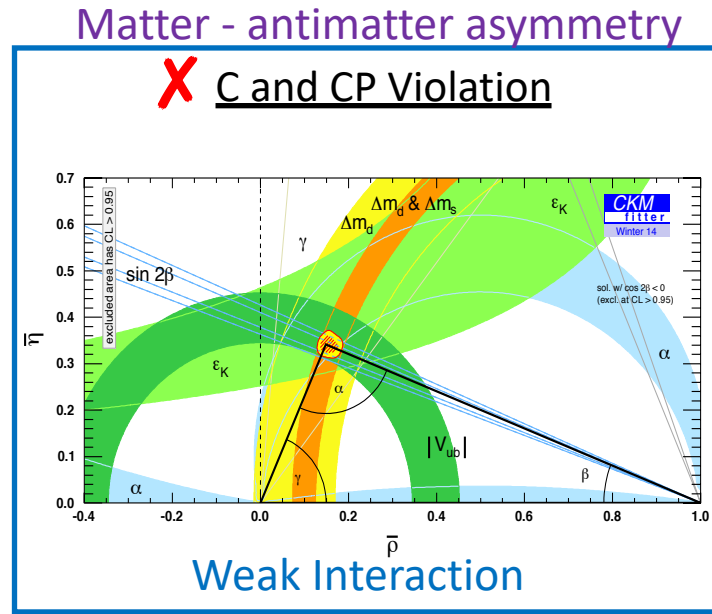
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Axial Anomaly:  
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Quantum anomaly



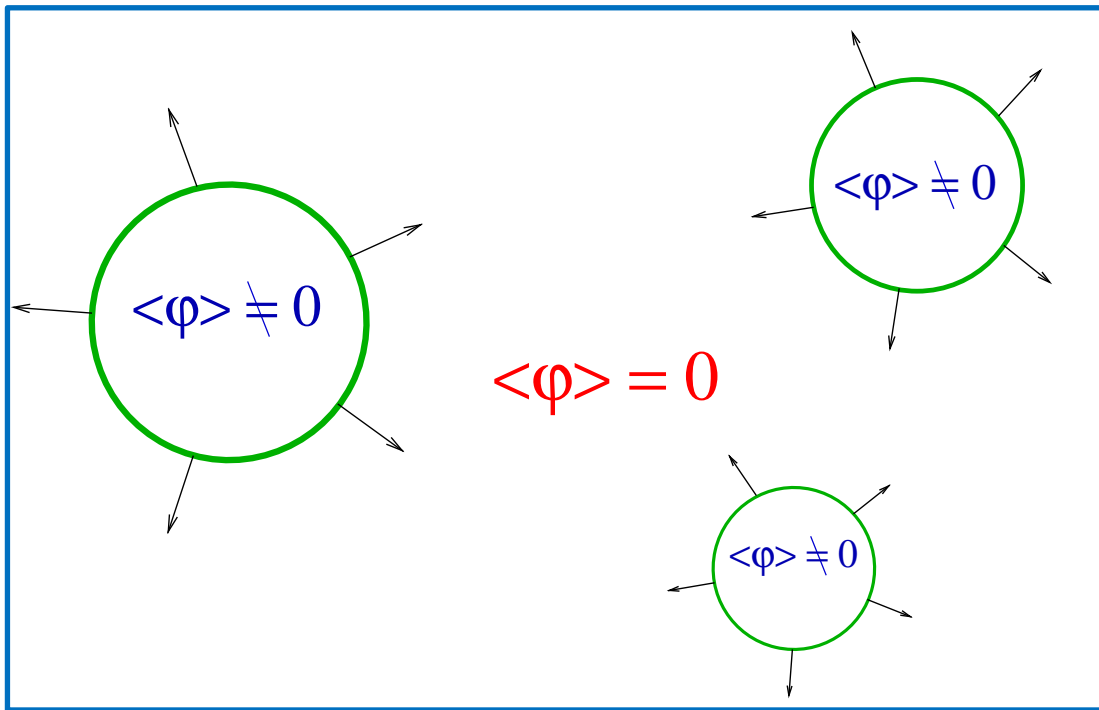
✗ 1<sup>st</sup> order?

- SM:  $M_H < \sim 70$  GeV
- THDM:  $M_H \sim 125$  OK

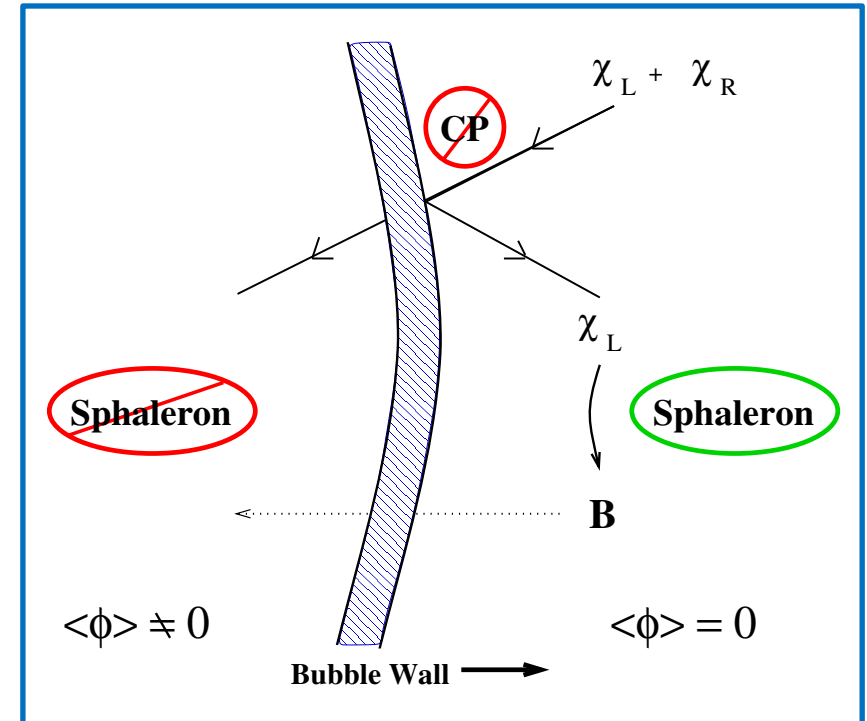
✗ CPV from CKM

- BAU:  $\frac{\Delta n_B}{n_\gamma} \approx 10^{-10}$
- $A_{CP} = J_{inv} \times (m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2) \times (m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2)$
- From CKM:  $A_{CP}/T_C^{12} \approx 10^{-20} \rightarrow$  Too small
- Used  $T_C \sim 100$  GeV

Expanding bubbles of broken phase  
In a medium of symmetric phase



Baryon production in  
front of bubble wall



→ Was the phase transition in the early universe of 1<sup>st</sup> order?  
→ Higgs potential?

→ If new physics is abundant in thermal plasma of early universe:  
→ Likely to be of TeV energy scale.

# Alternative Explanation...



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