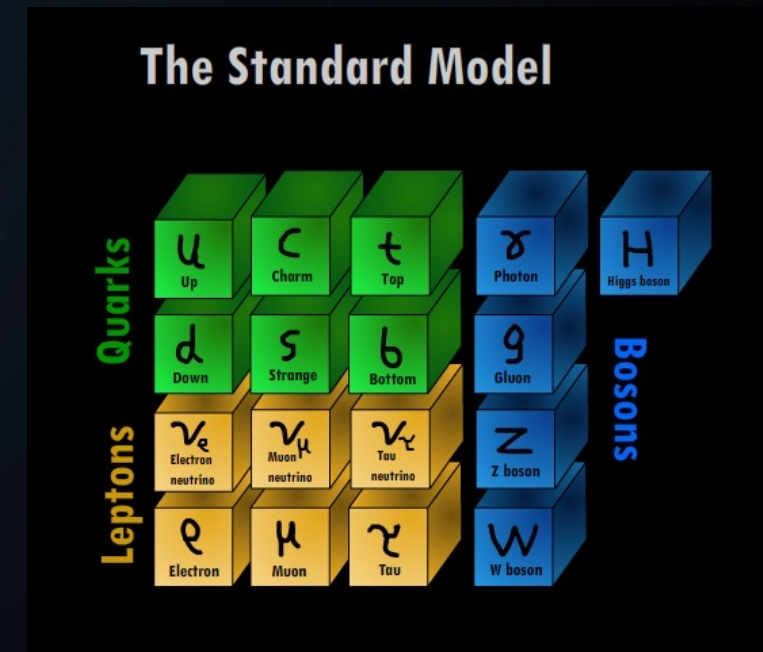
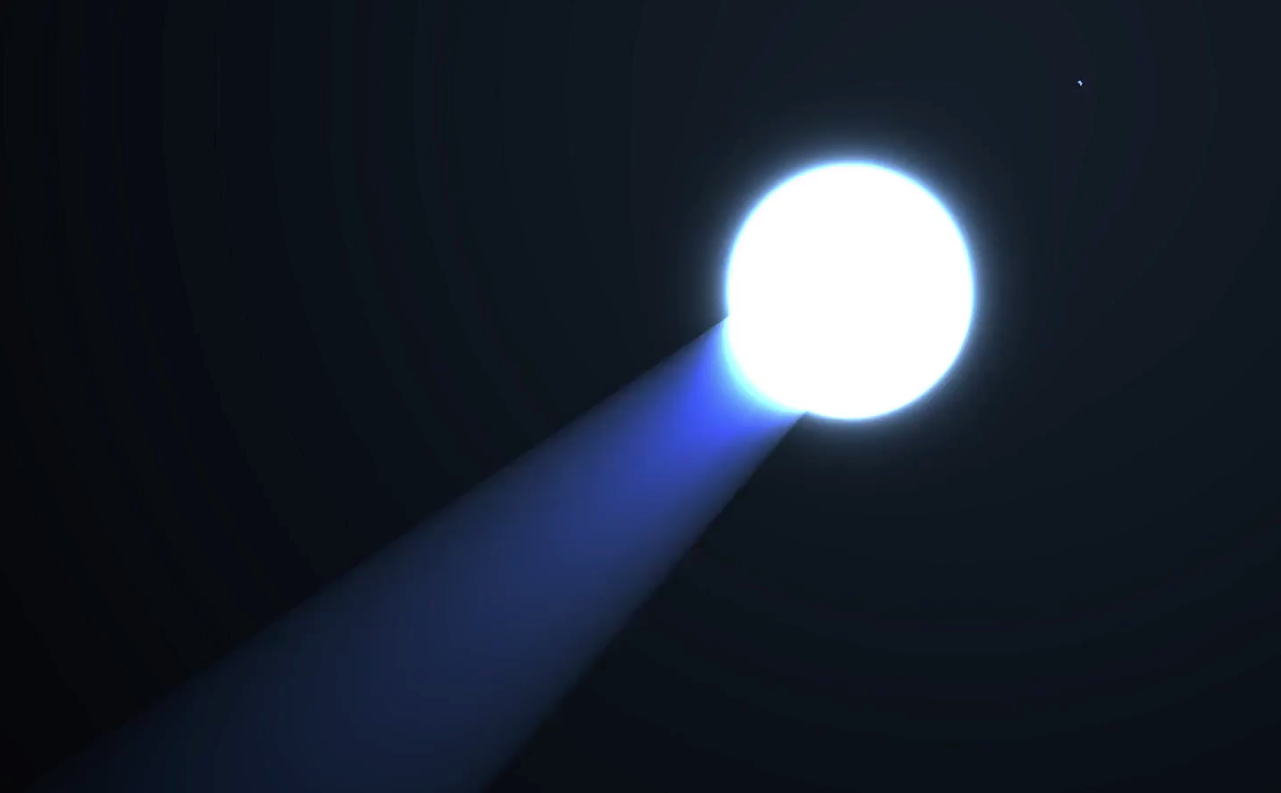




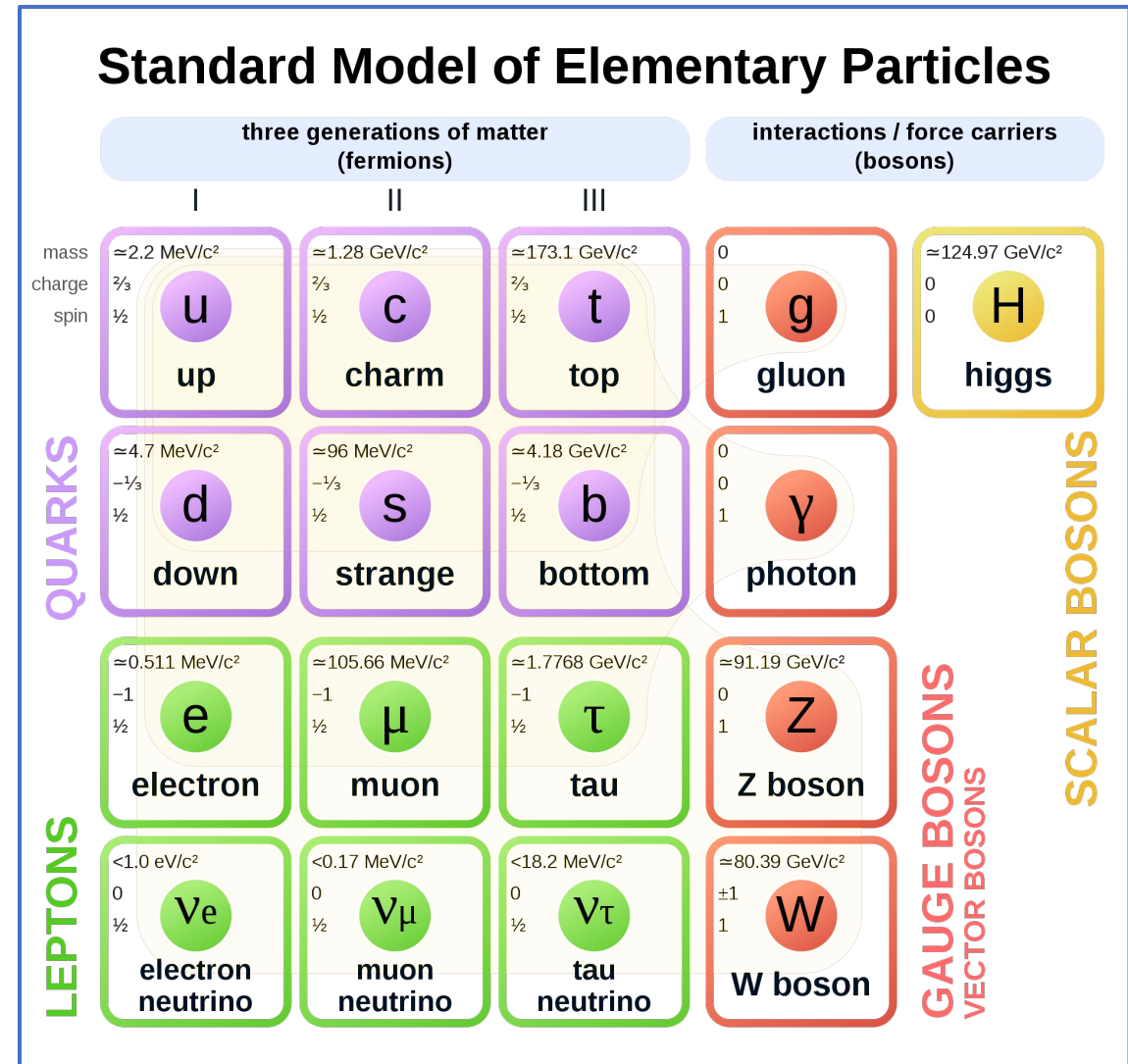
PHY3004: Nuclear and Particle Physics

Marcel Merk, Jacco de Vries



Classification of particles

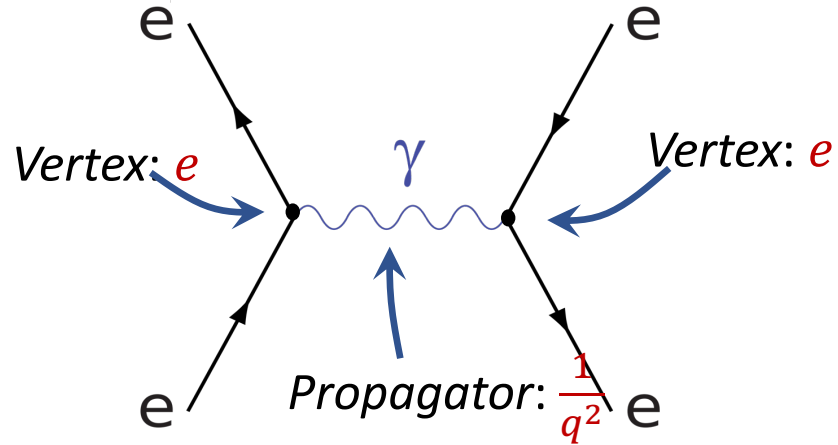
- **Lepton**: fundamental particle
- **Hadron**: consist of **quarks**
 - **Meson**: 1 quark + 1 antiquark (π^+ , B_s^0 , ...)
 - **Baryon**: 3 quarks (p , n , Λ , ...)
 - **Anti-baryon**: 3 anti-quarks
- **Fermion**: particle with half-integer spin.
 - Antisymmetric wave function: obeys Pauli-exclusion principle and Pauli-Dirac statistics
 - All fundamental quarks and leptons are spin- $\frac{1}{2}$
 - Baryons ($S=\frac{1}{2}$, $\frac{3}{2}$)
- **Boson**: particle with integer spin
 - Symmetric wave function: Bose-Einstein statistics
 - **Mesons**: ($S=0$, 1), **Higgs** ($S=0$)
 - **Force carriers**: γ , W , Z , g ($S=1$); graviton ($S=2$)



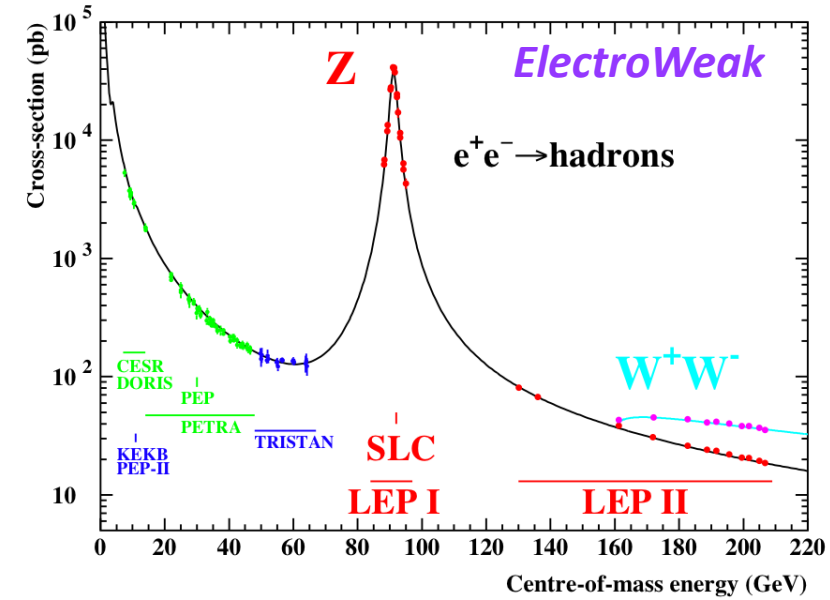
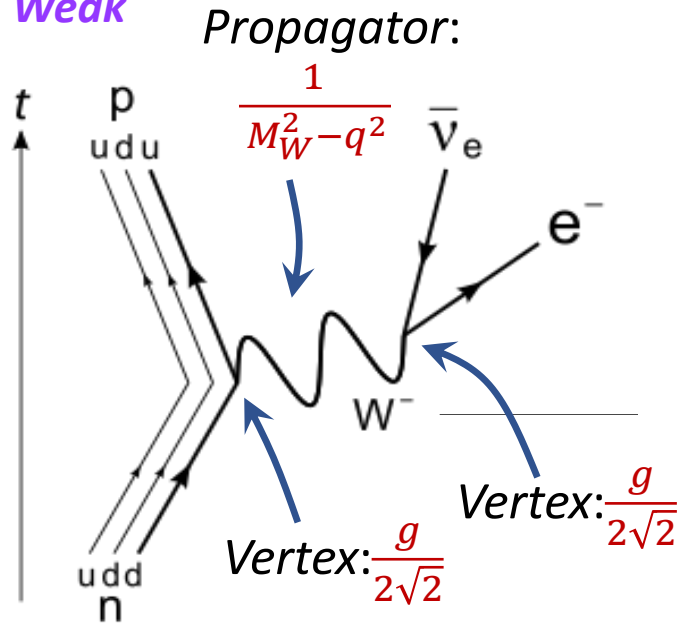
Lecture 2: "Forces"

Griffiths chapter 2

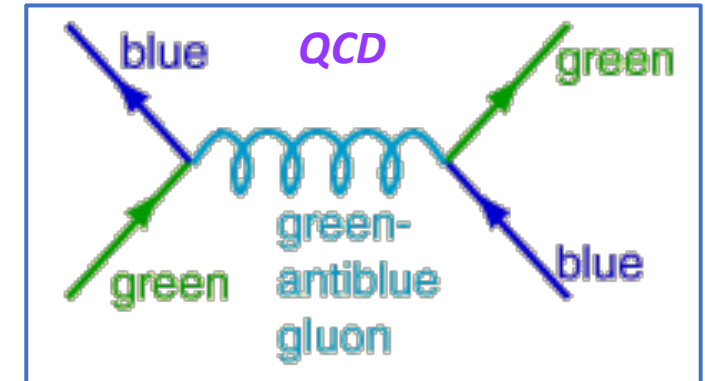
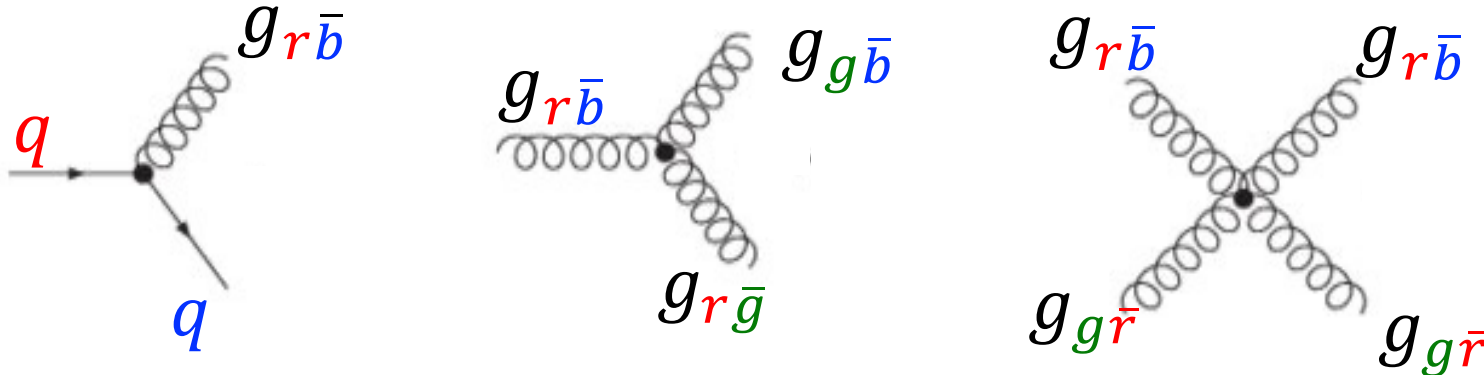
EM (QED)



Weak

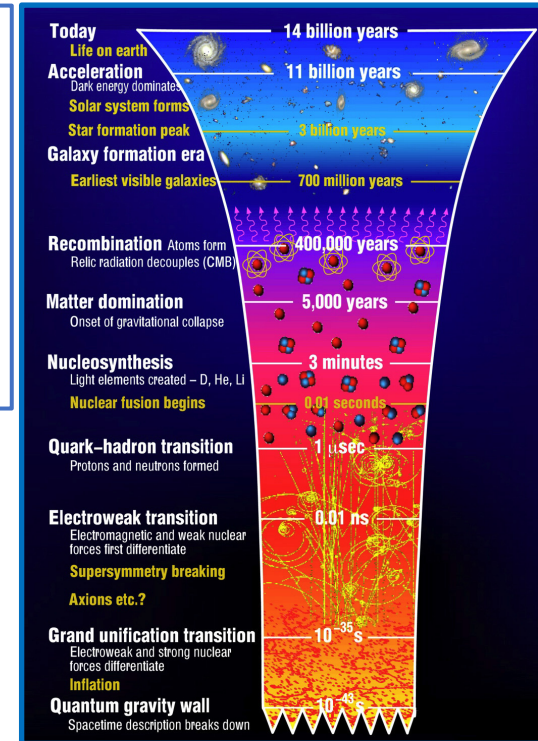
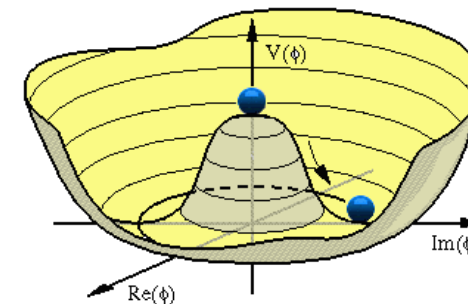
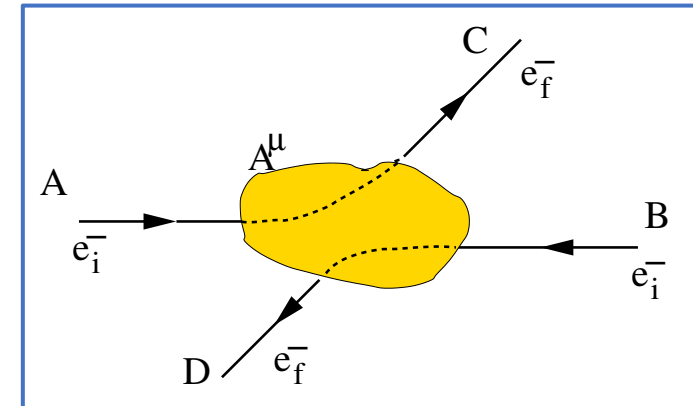
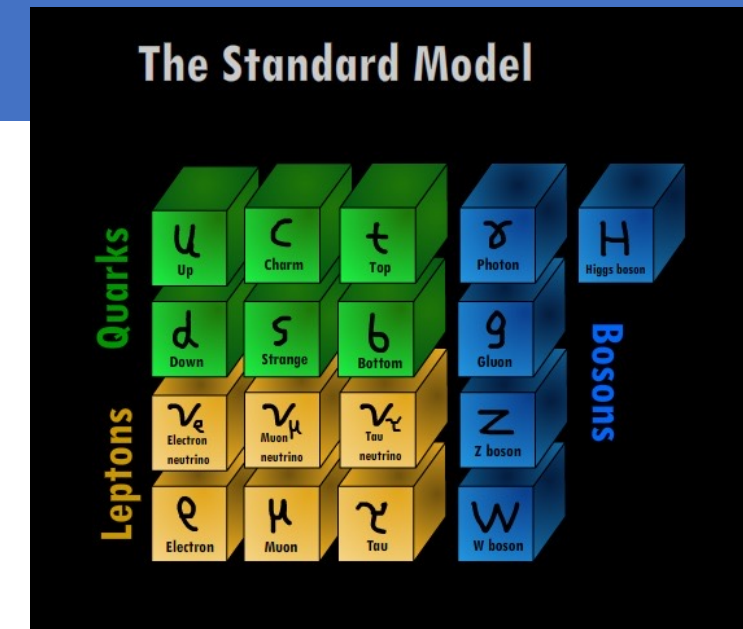
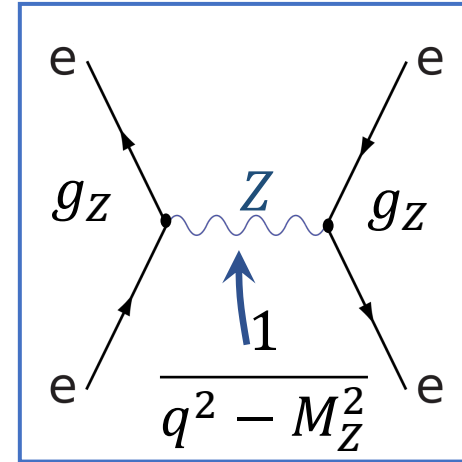


Strong (QCD)



Recap: “Seeing the wood for the trees”

- Lecture 1: “Particles”
 - Zooming into constituents of matter
 - Skills: distinguish particle types, Spin
- Lecture 2: “Forces”
 - Exchange of quanta: EM, Weak, QCD
 - Skills: 4-vectors, Feynman diagrams
- Lecture 3: “Waves”
 - Quantum fields and gauge invariance
 - Dirac algebra, Lagrangian, co- & contra variant
- Lecture 4: “Symmetries”
 - Standard Model, Higgs, Discrete Symmetries
 - Skills: Lagrangians, Chirality & Helicity
- Lecture 5: “Scattering”
 - Cross section, decay, perturbation theory
 - Skills: Dirac-delta function, Feynman Calculus
- Lecture 6: “Detectors”
 - Energy loss mechanisms, detection technologies



Wave Equations

Contents:

1. Wave equations and Probability

- a) Wave equations for spin-0 fields
 - Schrödinger (non relativistic), Klein-Gordon (relativistic)
- b) Wave equation for spin- $\frac{1}{2}$ fields
 - Dirac equation (relativistic)
 - Fundamental fermions
- c) Wave equations for spin-1 fields
 - Gauge boson fields; eg. electromagnetic field

Griffiths chapter 7

If you are unfamiliar with the math, just focus on the concepts. The math requires some practice, but is less tricky than it may look.
➔ Also, check the recorded videos.

2. Gauge field theory

- a) Variational Calculus and Lagrangians
- b) Local Gauge invariance
 - i. QED
 - ii. Yang-Mills Theory (Weak, Strong)

Griffiths chapter 10

- Required Quantum Mechanics knowledge:
 - Angular momentum and spin: study Griffiths sections 4.2 ,4.3, In particular Pauli Matrices

Part 1

Wave Equations and Probability

1a) Spin-0

(think pions etc)

Schrödinger Equation and Probability

$$i \frac{\partial}{\partial t} (t\psi) - it \frac{\partial \psi}{\partial t} = i\psi + it \frac{\partial \psi}{\partial t} - it \frac{\partial \psi}{\partial t} = i\psi$$

- Quantization of classical non-relativistic theory:

- Take $E = \frac{\vec{p}^2}{2m}$ and substitute energy and momentum by operators that operate on ψ :
 $\vec{p} = m\vec{v}$
 $E = 1/2 mv^2$

$$E \rightarrow \hat{E} = i\hbar \frac{\partial}{\partial t} \quad ; \quad p \rightarrow \hat{p} = -i\hbar \vec{\nabla}$$

$$([E, t] = -i\hbar \quad , \quad [x, p] = -i\hbar)$$

- Result is Schrödinger's equation: $i\hbar \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \nabla^2 \psi \rightarrow \frac{\partial}{\partial t} \psi = \frac{i\hbar}{2m} \nabla^2 \psi$

- Plane wave solutions: $\psi = N e^{i(\vec{p}\vec{x} - Et)/\hbar}$ with the kinematic relation $E = p^2/2m$
 $\partial\psi/\partial t = -iE\psi$ and $\nabla^2\psi = p^2\psi/2m$

- Multiply both sides Schrödinger by ψ^* and add its complex conjugate

$$\begin{aligned} \psi^* \frac{\partial}{\partial t} \psi &= \psi^* \left(\frac{i\hbar}{2m} \right) \nabla^2 \psi \\ \psi \frac{\partial}{\partial t} \psi^* &= \psi \left(\frac{-i\hbar}{2m} \right) \nabla^2 \psi^* \\ + \frac{\partial}{\partial t} (\underbrace{\psi^* \psi}_{\rho}) &= -\underbrace{\vec{\nabla} \cdot \left[\frac{i\hbar}{2m} (\psi \vec{\nabla} \psi^* - \psi^* \vec{\nabla} \psi) \right]}_{\vec{j}} \end{aligned}$$

Use: $\vec{\nabla} \cdot (\psi^ \vec{\nabla} \psi - \psi \vec{\nabla} \psi^*) = \psi^* \nabla^2 \psi - \psi \nabla^2 \psi^*$

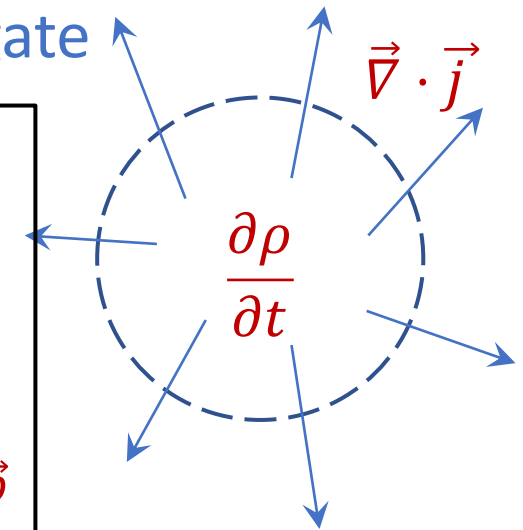
Recognize "continuity" equation:

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0$$

Law of conserved currents, with:

$$\rho \equiv \psi^* \psi = |N|^2$$

$$\vec{j} \equiv \frac{i\hbar}{2m} (\psi \vec{\nabla} \psi^* - \psi^* \vec{\nabla} \psi) = \frac{|N|^2}{m} \vec{p}$$



- Interpret: probability waves!

Relativistic: Klein-Gordon equation

- Quantization of relativistic theory

Note: $p_\mu \rightarrow -i\hbar\partial_\mu = -i\hbar(\partial/\partial t, \partial/\partial x, \partial/\partial y, \partial/\partial z)$

- Start with $E^2 = p^2c^2 + m^2c^4$ and substitute again $E \rightarrow i\hbar\frac{\partial}{\partial t}$ and $\vec{p} \rightarrow -i\hbar\vec{\nabla}$ operates on ϕ

- Result is Klein-Gordon equation: $-\frac{1}{c^2}\frac{\partial^2}{\partial t^2}\phi = -\nabla^2\phi + \frac{m^2c^2}{\hbar^2}\phi$

Use now: $\hbar = c = 1$

- Plane wave solutions: $\phi = Ne^{i(\vec{p}\vec{x}-Et)/\hbar}$ with relativistic relation $E^2 = \vec{p}^2 + m^2$

- Use the covariant notation:

$$p_\mu p^\mu = E^2 - \vec{p}^2 = m^2$$

$$\begin{aligned}\partial^\mu &= \left(\frac{\partial}{\partial t}, -\vec{\nabla}\right) \quad ; \quad \partial_\mu = \left(\frac{\partial}{\partial t}, \vec{\nabla}\right) \quad (\text{Exercise 5}) \\ \partial_\mu \partial^\mu &\equiv \frac{\partial^2}{\partial t^2} - \vec{\nabla}^2 \quad (\text{as usually take } c = \hbar = 1) \\ p^0 &= E \text{ and } x^0 = t\end{aligned}$$

- Klein-Gordon in four-vector notation: $\partial_\mu \partial^\mu \phi + m^2 \phi = 0$

- Plane wave solutions: $\phi = Ne^{-i(p_\mu x^\mu)}$ (Remember this is: $\phi = Ne^{-i(Et-\vec{p}\vec{x})}$)

- Time and space coordinates are now treated fully symmetric

- This is needed in a relativistic theory where time and space for different observers are linear combinations of each other

Klein-Gordon conserved currents

- Similar to the Schrödinger case multiply both sides by $-i\phi^*$ from left and add the expression to its complex conjugate

$$-i\phi^* \left(-\frac{\partial^2 \phi}{\partial t^2} \right) = -i\phi^* (-\nabla^2 \phi + m^2 \phi)$$

$$i\phi \left(-\frac{\partial^2 \phi^*}{\partial t^2} \right) = i\phi (-\nabla^2 \phi^* + m^2 \phi^*)$$

$$+ \quad \underbrace{\frac{\partial}{\partial t} i \left(\phi^* \frac{\partial \phi}{\partial t} - \phi \frac{\partial \phi^*}{\partial t} \right)}_{\rho} = \underbrace{\vec{\nabla} \cdot [i(\phi^* \vec{\nabla} \phi - \phi \vec{\nabla} \phi^*)]}_{\vec{j}}$$

- The quadratic equation $E^2 = p^2 + m^2$ leads to *double solutions*: $E^2 = \dots \Rightarrow E = \pm \dots$

- Positive and negative energy solutions
- Negative solutions imply *negative probability density* ρ !!!
- This bothered Dirac and therefore he looked for an equation *linear* in E and p ...

Again recognize “continuity” equation, the law of conserved currents:

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0 \quad \Rightarrow \quad \partial_\mu j^\mu = 0$$

With now:

$$j^\mu = (\rho, \vec{j}) = i[\phi^*(\partial^\mu \phi) - \phi(\partial^\mu \phi^*)]$$

It gives for plane waves: $\phi = N e^{-i(p_\mu x^\mu)}$

$$\rho = 2|N|^2 E$$

$$\vec{j} = 2|N|^2 \vec{p}$$

Or in 4-vector: $j^\mu = 2|N|^2 p^\mu$

Antiparticles

- Feynman-Stückelberg interpretation

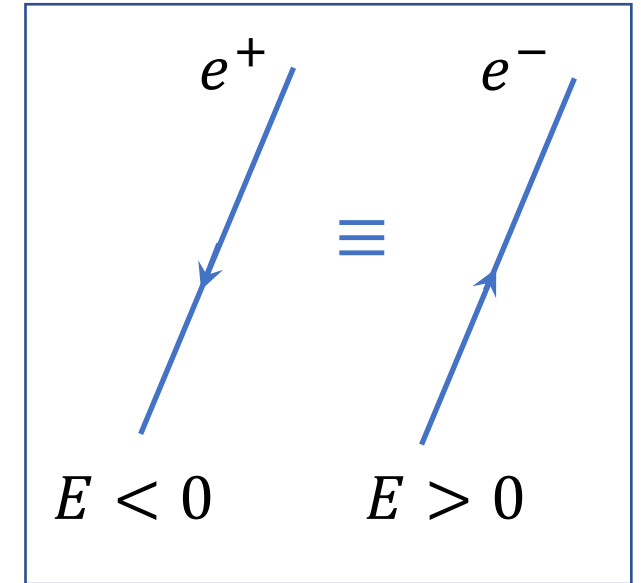
- Charge current of an electron with momentum $\vec{p}$ and energy E

$$j^\mu(-e) = -2e|N|^2 p^\mu = -2e|N|^2(E, \vec{p})$$

- Charge current of a positron

$$j^\mu(+e) = +2e|N|^2 p^\mu = -2e|N|^2(-E, -\vec{p})$$

The positron current with energy $-E$ and momentum $-\vec{p}$ is the same as the electron current with E and $\vec{p}$



- The negative energy *particle* solutions going backward in time describe the positive-energy *antiparticle* solutions.

- The wave function $\phi = Ne^{-ix_\mu p^\mu}$ stays invariant for negative energy and going backwards in time
- Consider eg. $e^{-i(-E)(-t)} = e^{-iEt}$

- A positron *is* an electron travelling backwards in time

Wave Equations

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Griffiths chapter 7 and PP1 chapter 1

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- b) Wave equation for spin- $\frac{1}{2}$ fields
 - Dirac equation (relativistic)
 - Fundamental fermions
- c) Wave equations for spin-1 fields
 - Gauge boson fields; eg. electromagnetic field

2. Gauge field theory

Griffiths chapter 10 and PP1 chapter 1

- a) Variational Calculus and Lagrangians
- b) Local Gauge invariance
 - i. QED
 - ii. Yang-Mills Theory (Weak, Strong)

• Required Quantum Mechanics knowledge:

- Angular momentum and spin: study Griffiths sections 4.2 ,4.3, In particular Pauli Matrices

Part 1

Wave Equations and Probability

1b) Spin- $\frac{1}{2}$

(fundamental leptons and quarks)

Dirac Equation

Instead of $E^2 = p^2 c^2 + m^2 c^4$

- Dirac did not like negative probabilities and looked for a wave equation of linear form $E = i \frac{\partial}{\partial t} \psi = H\psi = (?)$, but relativistic correct.
- Try: $H = (\vec{\alpha} \cdot \vec{p} + \beta m)$ where $\vec{\alpha} \cdot \vec{p} = \alpha_1 p_x + \alpha_2 p_y + \alpha_3 p_z$; $\vec{\alpha}?$ $\beta?$
- We know that: $H^2 \psi = E^2 \psi = (\vec{p}^2 + m^2) \psi$
- Write it out:
$$\begin{aligned}
 H^2 &= (\sum_i \alpha_i p_i + \beta m)(\sum_j \alpha_j p_j + \beta m) \\
 &= (\sum_{i,j} \alpha_i \alpha_j p_i p_j + \sum_i \alpha_i \beta p_i m + \sum_j \beta \alpha_j p_j m + \beta^2 m^2) \\
 &= \left(\underbrace{\sum_i \alpha_i^2 p_i^2}_{= \vec{p}^2} + \underbrace{\sum_{i>j} (\alpha_i \alpha_j + \alpha_j \alpha_i) p_i p_j}_{= 0} + \underbrace{\sum_i (\alpha_i \beta + \beta \alpha_i) p_i m}_{= 0} + \underbrace{\beta^2 m^2}_{= m^2} \right)
 \end{aligned}$$
- This works out if:
 - $\alpha_1^2 = \alpha_2^2 = \alpha_3^2 = \beta^2 = 1$
 - $\alpha_1, \alpha_2, \alpha_3, \beta$ anti-commute: ie.: $\alpha_1 \alpha_2 = -\alpha_2 \alpha_1$ etc
- Anti-commutator: $\{\alpha_i, \alpha_j\} = 2\delta_{ij}$; $\{\alpha_i, \beta\} = 0$; $\beta^2 = 1$
 - Using definition: $\{A, B\} = AB + BA$:

Dirac's idea

$$\{\alpha_i, \alpha_j\} = 2\delta_{ij} ; \{\alpha_i, \beta\} = 0 ; \beta^2 = 1$$

- Clearly α_i and β cannot be numbers. Let them be *matrices*!
- In that case they operate on a wave function that is a column vector
- The simplest case that allows the requirements are 4x4 matrices.
- Dirac's equation becomes:

Remember:

$$E \rightarrow i \frac{\partial}{\partial t} \quad \vec{p} \rightarrow -i \vec{\nabla}$$

$$i \frac{\partial}{\partial t} \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \left[-i \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\vec{\alpha}_i} \cdot \vec{\nabla}_i + \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\beta} \cdot m \right] \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

$$E\psi = H\psi = (\vec{\alpha}\vec{p} + \beta m)\psi$$

- It is possible making use of the Pauli spin matrices
 - $\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix}$ and $\beta = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix}$ with $\sigma_1 = \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$; $\sigma_2 = \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$; $\sigma_3 = \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
 - Note that α and β are hermitian: $\alpha_i^\dagger = \alpha_i$ and $\beta^\dagger = \beta$ (Since Hamiltonian has real E eigenvalues.)
- Remember: $\{\sigma_i, \sigma_j\} = \sigma_i\sigma_j + \sigma_j\sigma_i = 2\delta_{ij} \mathbb{1}$ and $\sigma_1^2 = \sigma_2^2 = \sigma_3^2 = \mathbb{1}$
- Unfortunately we also need: $\sigma_i\beta + \beta\sigma_i = 0 \rightarrow$ We need 4x4 matrices!

Dirac's idea

- Clearly α_i and β cannot be numbers. Let them be *matrices*!
- In that case they operate on a wave function that is a column vector
- The simplest case that allows the requirements are 4x4 matrices.
- Dirac's equation becomes:

Remember:

$$E \rightarrow i \frac{\partial}{\partial t} \quad p \rightarrow -i \vec{\nabla}$$

$$i \frac{\partial}{\partial t} \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \left[-i \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\vec{\alpha}_i} \cdot \vec{\nabla}_i + \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\beta} \cdot m \right] \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

- This is a very complicated equation!
 - What does it mean that the wave function ψ is now a **1-by-4 column vector**?
 - ψ is **not** a **4-vector**, since the indices do not represent kinematic variables, but matrix indices!
 - ψ is called a **spinor**.

Covariant form of Dirac's equation

- Dirac equation: $H = E = (\vec{\alpha} \cdot \vec{p} + \beta m) \Rightarrow i \frac{\partial}{\partial t} \psi = (-i \vec{\alpha} \cdot \vec{\nabla} + \beta m) \psi$
- Multiply Dirac's eq. from the left by β ; then it becomes:
 - $\left(i \underbrace{\beta}_{\gamma^0} \frac{\partial}{\partial t} \psi + i \underbrace{\beta \vec{\alpha}}_{\gamma^1, \gamma^2, \gamma^3} \cdot \vec{\nabla} - m \right) \psi = 0$ (Remember $\beta^2 = 1$)
- Introduce now the Dirac γ -matrices: $\gamma^\mu \equiv (\beta, \beta \vec{\alpha})$ (vector of four 4x4 matrices!)
 - Covariant form of Dirac eq:

$$\partial_0 = \frac{\partial}{\partial t}, \partial_1 = \frac{\partial}{\partial x}, \partial_2 = \frac{\partial}{\partial y}, \partial_3 = \frac{\partial}{\partial z}$$

$$(i \gamma^\mu \partial_\mu - m) \psi = 0$$

Note (see def covariant derivative):
 $A^\mu \partial_\mu = A^0 \frac{\partial}{\partial t} + \vec{A} \cdot \vec{\nabla}$
- Realise that Dirac's equation is a set of 4 coupled differential equations.
 - Remember that ψ has 4 components!
- Requirements on $\vec{\alpha}$, β can be summarized as: $\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$ (check it)

Dirac Gamma Matrices

- There is some freedom to implement: $\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$ in 4x4 matrices.
- We will use the Dirac-Pauli representation

$$\gamma^0 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad \gamma^1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}$$

$$\gamma^2 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix} \quad \gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

Or: $\gamma^0 = \beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$ and $\gamma^k = \beta \alpha_k = \begin{pmatrix} 0 & \sigma_k \\ -\sigma_k & 0 \end{pmatrix}$ with Pauli matrices σ_k

$$\sigma_1 = \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \sigma_2 = \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \sigma_3 = \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Note: although the gamma indices are Lorentz-indices (“space-time”), the gamma-matrices are not 4-vectors! (They are simply constants.)

Note the indices:
(confusing!)

$\mu, \nu = 0, 1, 2, 3$ are the
Lorentz indices in space-time:

Dirac matrix indices: 1, 2, 3, 4
Have to do with the row and
column indices of the matrix
(and spinors)

Exercises: Dirac Algebra

- Dirac algebra:

- Write the explicit form of the γ -matrices
- Show that : $\{\gamma^\mu, \gamma^\nu\} \equiv \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$
- Show that : $(\gamma^0)^2 = \mathbb{1}_4$; $(\gamma^1)^2 = (\gamma^2)^2 = (\gamma^3)^2 = -\mathbb{1}_4$
- Use anti-commutation rules of α and β to show that: $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$
- Define $\gamma^5 = i\gamma^0 \gamma^1 \gamma^2 \gamma^3$ and show: $\gamma^{5\dagger} = \gamma^5$; $(\gamma^5)^2 = \mathbb{1}_4$; $\{\gamma^5, \gamma^\mu\} = 0$

Exercise: Solutions of free Dirac equation

See Griffiths for a derivation of the solutions

a) Show that the following plane waves are solutions to Dirac's equation

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ p_z/(E+m) \\ (p_x + ip_y)/(E+m) \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)} \quad ; \quad \psi_2 = \begin{pmatrix} 0 \\ 1 \\ (p_x - ip_y)/(E+m) \\ -p_z/(E+m) \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)}$$

Before KG:
 $\phi = N e^{-i(p_\mu x^\mu)}$

$$\psi_3 = \begin{pmatrix} p_z/(E-m) \\ (p_x + ip_y)/(E-m) \\ 1 \\ 0 \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)} \quad ; \quad \psi_4 = \begin{pmatrix} (p_x - ip_y)/(E-m) \\ -p_z/(E-m) \\ 0 \\ 1 \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)}$$

b) Write the Dirac equation for particle in rest (choose $\vec{p} = 0$) and show that ψ_1 and ψ_2 are *positive energy* solutions: $E = + \left| \sqrt{\vec{p}^2 + m^2} \right|$ whereas ψ_3 and ψ_4 are *negative energy* solutions: $E = - \left| \sqrt{\vec{p}^2 + m^2} \right|$.

c) Consider the *helicity* operator $\vec{\sigma} \cdot \vec{p} = \sigma_x p_x + \sigma_y p_y + \sigma_z p_z$ and show that ψ_1 corresponds to *positive helicity* solution and ψ_2 to *negative helicity*. Similarly for ψ_3 and ψ_4 .

Spin and Helicity – hint for exercise c)

- For a given momentum $\mathbf{p}$ there still is a *two-fold degeneracy* with the same energy: what differentiates solutions ψ_1 from ψ_2 ? $\rightarrow$ It is spin!!
- Define the spin operator for Dirac spinors: $\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$, where $\vec{\sigma}$ are the three 2x2 Pauli spin matrices

- Define **helicity** λ as spin “up”/”down” wrt direction of motion of the particle

$$\lambda = \frac{1}{2} \vec{\Sigma} \cdot \hat{\mathbf{p}} \equiv \frac{1}{2} \begin{pmatrix} \vec{\sigma} \cdot \hat{\mathbf{p}} & 0 \\ 0 & \vec{\sigma} \cdot \hat{\mathbf{p}} \end{pmatrix} = \frac{1}{2|\mathbf{p}|} (\sigma_x p_x + \sigma_y p_y + \sigma_z p_z)$$

- Split off the Energy and momentum part of Dirac’s equation: $(i\gamma^\mu \partial_\mu - m)\psi = 0$

$$\left[\begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} E - \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} p^i - \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} m \right] \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = 0$$

- Exercise: Try solutions ψ_1 and ψ_2 to see they are **helicity eigenstates** with $\lambda = +1/2$ and $\lambda = -1/2$
- Dirac wanted to solve negative energies and he found spin- $\frac{1}{2}$ fermions!

Antiparticles: positive and negative energy solutions

- Dirac spinor solutions $\psi_i(x^\mu) = \psi_i(t, \vec{x}) = u_i(E, \vec{p})e^{i(\vec{p}\vec{x} - Et)} = u_i(p^\mu)e^{-ip_\mu x^\mu}$
with $i = 1, 2, 3, 4$

- Since we work with antiparticles, instead of *negative energy particles* travelling backwards instead in time, *antiparticle solutions* can be defined

$$u_3(-E, -\vec{p})e^{i((- \vec{p})\vec{x} - (-E)t)} = v_2(E, \vec{p})e^{-i(\vec{p}\vec{x} - Et)} = v_2(p^\mu)e^{ip_\mu x^\mu}$$

$$u_4(-E, -\vec{p})e^{i((- \vec{p})\vec{x} - (-E)t)} = v_1(E, \vec{p})e^{-i(\vec{p}\vec{x} - Et)} = v_1(p^\mu)e^{ip_\mu x^\mu}$$

- Where now the energy of the antiparticle solutions v_1 and v_2 is positive: $E > 0$

- Explicit: $u_4 = \begin{pmatrix} (p_x - ip_y)/(E - m) \\ -p_z/(E - m) \\ 0 \\ 1 \end{pmatrix}$ and $u_3 = \begin{pmatrix} p_z/(E - m) \\ (p_x + ip_y)/(E - m) \\ 1 \\ 0 \end{pmatrix}$ becomes...

Antiparticles: positive and negative energy solutions

- Dirac spinor solutions $\psi_i(x^\mu) = \psi_i(t, \vec{x}) = u_i(E, \vec{p})e^{i(\vec{p}\vec{x} - Et)} = u_i(p^\mu)e^{-ip_\mu x^\mu}$
with $i = 1, 2, 3, 4$

- Since we work with antiparticles, instead of *negative energy particles* travelling backwards instead in time, *antiparticle solutions* can be defined

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- Where now the energy of the antiparticle solutions v_1 and v_2 is positive: $E > 0$

- Explicit: $v_1 = \begin{pmatrix} (p_x - ip_y)/(E + m) \\ -p_z/(E + m) \\ 0 \\ 1 \end{pmatrix}$ and $v_2 = \begin{pmatrix} p_z/(E + m) \\ (p_x + ip_y)/(E + m) \\ 1 \\ 0 \end{pmatrix}$

- Where E and $\vec{p}$ are now the energy and momentum of the antiparticle

Adjoint spinors

Dirac: $(i\gamma^\mu \partial_\mu - m)\psi = 0$

- Adjoint spinors

- Solutions of the Dirac equation are called *spinors*
- Current density and continuity equation require *adjoints* instead of *complex conjugates*

Remember: $(AB)^\dagger = B^\dagger A^\dagger$

$$D : \quad i\gamma^0 \frac{\partial \psi}{\partial t} + i \sum_{k=1,2,3} \gamma^k \frac{\partial \psi}{\partial x^k} - m\psi = 0$$

$$D^\dagger : \quad -i \frac{\partial \psi^\dagger}{\partial t} \gamma^0 - i \sum_{k=1,2,3} \frac{\partial \psi^\dagger}{\partial x^k} \downarrow (-\gamma^k) - m\psi^\dagger = 0$$

$\gamma^{0\dagger} = \gamma^0 \quad ; \quad \gamma^{k\dagger} = -\gamma^k \quad ; \quad -\gamma^k \gamma^0 = \gamma^0 \gamma^k$

- The minus sign in $(-\gamma^k)$ disturbs the Lorentz invariant form: $\psi^\dagger$ is not physical
- Restore covariance by multiplying second equation from the right by γ^0 and define:

$$\bar{\psi} = \psi^\dagger \gamma^0$$

$$\gamma^0 = \beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$$

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$$D^\dagger : -i \frac{\partial \psi^\dagger}{\partial t} \gamma^0 \gamma^0 - i \sum_{k=1,2,3} \frac{\partial \psi^\dagger}{\partial x^k} (\gamma^0 \gamma^k) - m\psi^\dagger \gamma^0 = 0$$

$\gamma^{0\dagger} = \gamma^0 \quad ; \quad \gamma^{k\dagger} = -\gamma^k \quad ; \quad -\gamma^k \gamma^0 = \gamma^0 \gamma^k$

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$\gamma^{0\dagger} = \gamma^0 \quad ; \quad \gamma^{k\dagger} = -\gamma^k \quad ; \quad -\gamma^k \gamma^0 = \gamma^0 \gamma^k$

$$\bar{\psi} = \psi^\dagger \gamma^0$$

$$\gamma^0 = \beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$$

- Dirac spinor: $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$, adjoint Dirac spinor: $\bar{\psi} = (\bar{\psi}_1, \bar{\psi}_2, \bar{\psi}_3, \bar{\psi}_4)$

- Dirac equation: $i\gamma^\mu \partial_\mu \psi - m\psi = 0$; adjoint Dirac equation: $i\partial_\mu \bar{\psi} \gamma^\mu + m\bar{\psi} = 0$

Dirac Current density and conserved current

- Apply a similar trick as before for Schrödinger and Klein-Gordon case:
 - Multiply adjoint Dirac eq from right by ψ and multiply Dirac eq. from left by $\bar{\psi}$

$$\begin{aligned} & (i\partial_\mu \bar{\psi} \gamma^\mu + m\bar{\psi}) \psi = 0 \\ & \bar{\psi} (i\gamma^\mu \partial_\mu \psi - m\psi) = 0 \\ + & \frac{}{} \\ & \bar{\psi} \gamma^\mu (\partial_\mu \psi) + (\partial_\mu \bar{\psi}) \gamma^\mu \psi = 0 \\ & \partial_\mu (\bar{\psi} \gamma^\mu \psi) = 0 \end{aligned}$$

Define the 4-vec current:

$$j^\mu = \bar{\psi} \gamma^\mu \psi$$

Satisfies the continuity equation:

$$\partial_\mu j^\mu = 0$$

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0$$

- Probability: Zero-th component of the current:

$$\rho = j^0 = \bar{\psi} \gamma^0 \psi = \psi^\dagger \psi = \sum_{i=1}^4 |\psi_i|^2 \quad (\bar{\psi} = \psi^\dagger \gamma^0 \text{ and } \gamma^{0^2} = 1)$$

- This always gives a *positive probability*, which was the motivation of Dirac.

Dirac in summary

- Dirac was looking for an explanation for positive and negative energy solutions by linearising Klein-Gordon equation
 - He found that his solutions described spin- $\frac{1}{2}$ particles
 - He predicted, based on symmetry, that for each particle there should exist an antiparticle (the negative energy solution).
- We had relativistic fields:
 - Spin-0: Klein-Gordon: e.g. pion particles
 - Spin- $\frac{1}{2}$: Dirac : e.g. quarks and leptons
 - How about forces? Spin=1

Wave Equations

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2. Gauge field theory

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Part 1

Wave Equations and Probability

1c) Spin-1

- Maxwell equations describe electric and magnetic fields induced by charges and currents: (used Heaviside-Lorentz units: $c = 1, \epsilon_0 = 1, \mu_0 = 1$)

1. Gauss' law: $\vec{\nabla} \cdot \vec{E} = \rho$

2. No magnetic charges: $\vec{\nabla} \cdot \vec{B} = 0$

3. Faraday's law of induction: $\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0$

4. Modified Ampère's law: $\vec{\nabla} \times \vec{B} - \frac{\partial \vec{E}}{\partial t} = \vec{j}$

From 1. and 4. derive continuity

$$\vec{\nabla} \cdot \vec{j} = -\frac{\partial \rho}{\partial t}$$

→ charge conservation

This was the motivation for

Maxwell to modify Ampère's law

- Define a Lorentz covariant 4-vector field $A^\mu = (V, \vec{A})$ as follows:

$$\vec{B} = \vec{\nabla} \times \vec{A} \quad (\text{then automatically 2. follows})$$

$$\vec{E} = -\frac{\partial \vec{A}}{\partial t} - \vec{\nabla} V \quad \text{with } V = A^0 \quad (\text{then automatically 3. follows})$$

- a) Show Maxwell equations can be summarized in covariant form:

$$\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu = j^\nu \quad (\text{Derive expressions for } \rho \text{ and } \vec{j} \text{ and use: } \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = -\nabla^2 \vec{A} + \vec{\nabla}(\vec{\nabla} \cdot \vec{A}))$$

The Antisymmetric tensor $F^{\mu\nu}$

- Maxwell's equation $\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu = j^\nu$ can be further shortened by introducing the antisymmetric tensor: $F^{\mu\nu} \equiv \partial^\mu A^\nu - \partial^\nu A^\mu$:

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -B_z & B_y \\ E_y & B_z & 0 & -B_x \\ E_z & -B_y & B_x & 0 \end{pmatrix}$$

- Show that Maxwell's equations become: $\partial_\mu F^{\mu\nu} = j^\nu$
- Hint: derive the expressions for charge ($q = j^0$) and current ($\vec{I} = \vec{j}$) separately.
Use the identity: $\vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = -\nabla^2 \vec{A} + \vec{\nabla}(\vec{\nabla} \cdot \vec{A})$. Remember the definitions:
 $A_\mu = (A_0, -\vec{A})$; $\partial_\mu = \left(\frac{\partial}{\partial t}, \vec{\nabla}\right)$; $g^{\mu\nu} = g_{\mu\nu} = \text{diag}(1, -1, -1, -1)$

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Part 2

Gauge Theory

2a) Variational Calculus and Lagrangians

- Relativistic Field theory: fields replace the generalized coordinates
 - Also time and space will be treated symmetric
 - Replace $L(q, \dot{q})$ for classical particles by a *Lagrange density* $\mathcal{L}(\phi(x^\mu), \partial_\mu \phi(x^\mu))$ in terms of *fields* and *gradients* such that $L \equiv \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$
- Principle of least actions becomes:

$$S = \int_{t_1}^{t_2} d^4x \mathcal{L}(\phi(x^\mu), \partial_\mu \phi(x^\mu))$$

t_1, t_2 are endpoints of the path

and again $\delta S = 0$

Classical was:

$$S = \int_{t_1}^{t_2} dt L(q, \dot{q}) \Rightarrow \delta S = 0$$
- Euler Lagrange Equations of motion becomes:

- Classical was:
$$\frac{\partial L}{\partial q_i} = \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right)$$

$$\frac{\partial \mathcal{L}}{\partial \phi(x^\mu)} = \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi(x^\mu))}$$

- Relativistic Field theory: fields replace the generalized coordinates
 - Also time and space will be treated symmetric
 - Replace $L(q, \dot{q})$ for classical particles by a *Lagrange density* $\mathcal{L}(\phi(x^\mu), \partial_\mu \phi(x^\mu))$ in terms of *fields* and *gradients* such that $L \equiv \int d^3x \mathcal{L}(\phi, \partial_\mu \phi)$
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- Euler Lagrange Equations of motion becomes:
$$\frac{\partial L}{\partial q_i} = \frac{d}{dt} \left(\frac{\partial L}{\partial \dot{q}_i} \right)$$

$$\frac{\partial \mathcal{L}}{\partial \phi} = \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)}$$

- Scalar Field (spin 0 “pion”)

a) Show that the Euler-Lagrange equations for $\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{1}{2}m^2\phi^2$ results in the Klein-Gordon equation: $\partial_\mu\partial^\mu\phi + m^2\phi = 0$

- Dirac Field (spin ½ Fermion)

b) Show that the Euler-Lagrange equations for $\mathcal{L} = i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$ results in the Dirac equation: $(i\gamma^\mu\partial_\mu - m)\psi = 0$

- Electromagnetic field (spin 1 photon)

c) Show that $\mathcal{L} = -\frac{1}{4}(\partial^\mu A^\nu - \partial^\nu A^\mu)(\partial_\mu A_\nu - \partial_\nu A_\mu) - j^\mu A_\mu$ results in Maxwell's equations: $\partial_\mu\partial^\mu A^\nu - \partial^\nu\partial_\mu A^\mu = j^\nu$

These Lagrangians are the fundamental objects in quantum field theory

Descriptions of interactions follow from symmetry principles on these objects.

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Part 2

Gauge Theory

2b) Local Gauge Invariance

i) QED

- *global* gauge invariance: the phase of the wave function is not observable: Changing the wave function $\psi(x) \rightarrow \psi'(x) = e^{i\alpha}\psi(x)$ should not change the Lagrangian for an electron

- Look at Dirac Lagrangian: $\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi$
- It should not change for $\psi \rightarrow \psi'$ and $\bar{\psi} \rightarrow \bar{\psi}' = \psi'^{\dagger}\gamma^0$; $\bar{\psi}' = e^{-i\alpha}\bar{\psi} \rightarrow$ OK. $\mathcal{L}' = \mathcal{L}$

$$e^{-i\alpha}\bar{\psi}e^{i\alpha}\psi = \bar{\psi}\psi$$

- *local* gauge invariance: invariance under changing phases in space and time

- An electron wave function can have a different phases at different places and times

- $\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)}\psi(x)$ and $\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = e^{-i\alpha(x)}\bar{\psi}(x)$

- Check this for the Dirac Lagrangian

Problem in the term: $\partial_\mu\psi(x) \rightarrow \partial_\mu\psi'(x) = e^{i\alpha(x)}\left(\partial_\mu\psi(x) + \underbrace{i\partial_\mu\alpha(x)\psi(x)}_{\text{trouble}}\right)$

Hence: $\mathcal{L} \rightarrow \mathcal{L}' + i\partial_\mu\alpha(x)\bar{\psi}(x)\gamma^\mu\psi(x)$

$$\mathcal{L}' \sim ie^{-i\alpha(x)}\bar{\psi}e^{i\alpha(x)}(\partial_\mu\psi + i\partial_\mu(\alpha)\psi)$$

trouble

Note: $x \equiv x^\mu$

- It seems that the Lagrangian will change, but this is not allowed!

- We insist that the Lagrangian does not change and invent a “covariant” derivative:
 - Replace in $i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$ the derivative by: $\partial^\mu \rightarrow D^\mu \equiv \partial^\mu + iqA^\mu$
 - Require that the vector field A^μ transforms together with the particle wave ψ

$$\begin{aligned}\psi(x) &\rightarrow \psi'(x) = e^{i\alpha(x)}\psi(x) \\ A^\mu(x) &\rightarrow A'^\mu(x) = A^\mu(x) - \frac{1}{q}\partial^\mu\alpha(x)\end{aligned}$$

- ➔ Exercise: check that the Lagrangian $i\bar{\psi}\gamma_\mu D^\mu\psi - m\bar{\psi}\psi$ now is invariant!
- What have we done?
 - We *insist* the electron can have a local phase factor $\alpha(x)$ without changing the physics
 - We then *must* at the same time introduce a photon field $A^\mu(x)$, which couples to charge!
➔ *Gauge invariance implies interactions!*
- Remember gauge transformations EM field: $A^\mu \rightarrow A'^\mu = A^\mu + \partial^\mu\lambda$ is same photon
 - λ is coupled to the phase of the wave function of the electrons
- The same principle can also be used for weak and strong interactions: implement other symmetries

Quantum Electrodynamics (QED)

- The free Dirac Lagrangian is: $\mathcal{L}_{\text{free}} = i\bar{\psi}\gamma_{\mu}\partial^{\mu}\psi - m\bar{\psi}\psi$
- Introducing electromagnetism implies: $\partial^{\mu} \rightarrow D^{\mu} \equiv \partial^{\mu} + iqA^{\mu}$
- Resulting in: $\mathcal{L}_{EM} = i\bar{\psi}\gamma_{\mu} D^{\mu}\psi - m\bar{\psi}\psi$
 $\mathcal{L}_{EM} = i\bar{\psi}\gamma_{\mu} \partial^{\mu}\psi - m\bar{\psi}\psi - q\bar{\psi}\gamma_{\mu}A^{\mu}\psi$
 $\mathcal{L}_{EM} = \mathcal{L}_{\text{free}} - \mathcal{L}_{\text{int}}$ with $\mathcal{L}_{\text{int}} = -J_{\mu}A^{\mu}$ and $J_{\mu} = q\bar{\psi}\gamma_{\mu}\psi$
- Remember that the Dirac probability current was $J_{\mu} = \bar{\psi}\gamma_{\mu}\psi$ such that we now have a charge current: $J_{\mu} = q\bar{\psi}\gamma_{\mu}\psi$
- The system is described as free Lagrangian plus an interaction Lagrangian of the form: “current $\times$ field” $\mathcal{L}_{\text{int}} = -J_{\mu}A^{\mu}$

Part 2

Gauge Theory

2b) Local Gauge Invariance

ii) Yang-Mills theories* (Weak, Strong)

* Note: this is a more technical part: focus on the concept involved; the precise mathematics is less important for now

Yang Mills Theories

- QED is called a U(1) symmetry. It means that a 1-dimensional unitary transformation (the phase factor $e^{i\alpha(x)}$) does not change the physics.
 - The unitary symmetry couples to the charge quantum number
- Let us require that the weak interaction can not differentiate between rotations in the space of “up-down”: Isospin.
- Rewrite $\mathcal{L} = \bar{u}(i\gamma^\mu \partial_\mu - m)u + \bar{d}(i\gamma^\mu \partial_\mu - m)d$ where u (isospin up) and d (isospin down) are a doublet of spinor waves as follows:

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu I \partial_\mu - I m)\psi \quad \text{with } \psi = \begin{pmatrix} u \\ d \end{pmatrix} \text{ and } I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- We think of the “up” and “down” directions in weak isospin space

SU2 Gauge Invariance

$$\text{EM was: } \psi(x) \rightarrow \psi'(x) = G_{EM}\psi(x) = e^{i\alpha(x)}\psi(x)$$

- We require gauge invariance: $\psi(x) \rightarrow \psi'(x) = G(x)\psi(x)$ with $G(x) = \exp\left(\frac{i}{2}\vec{\tau} \cdot \vec{\alpha}(x)\right)$
 - $\vec{\tau} = \tau_1, \tau_2, \tau_3$ are the Pauli Matrices
 - This is now a rotation in isospin space generated by 2x2 Pauli matrices!
- Just like QED there is the problem that the Lagrangian does not automatically stay invariant (just write it out), because: $\partial_\mu\psi(x) \rightarrow \partial_\mu\psi'(x) = G(x)(\partial_\mu\psi) + \underbrace{(\partial_\mu G)\psi}_{\text{trouble}}$
- To solve this a corresponding covariant derivative must be introduced to keep the Lagrangian invariant: $I\partial_\mu \rightarrow D_\mu = I\partial_\mu + igB_\mu$ $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
 - g is the coupling constant that replaces charge q in QED and B_μ is now a new vector force field that replaces A_μ of QED.
 - The object B_μ is now a 2x2 matrix: $B_\mu = \frac{1}{2}\vec{\tau} \cdot \vec{b}_\mu = \frac{1}{2}\tau_1^a b_\mu^a = \frac{1}{2} \begin{pmatrix} b_3 & b_1 - ib_2 \\ b_1 + ib_2 & -b_3 \end{pmatrix}$
 $\vec{b}_\mu = (b_1, b_2, b_3)$ are now three new gauge fields
 - We need 3 instead of one, because there are three generators of 2x2 rotations
- We now get the desired behaviour if : $D_\mu\psi(x) \rightarrow D'_\mu\psi'(x) = G(x)(D_\mu\psi)$

Gauge transformation for B_μ field – (for experts)

- We get the desired behaviour if: $D_\mu \psi(x) \rightarrow D'_\mu \psi'(x) = G(x)(D_\mu \psi)$
- The left side of this equation is:
$$D'_\mu \psi'(x) = (\partial_\mu + igB'_\mu)\psi' \\ = G(\partial_\mu \psi) + (\partial_\mu G)\psi + ig B'_\mu (G\psi)$$
- While the right hand side is: $G(D_\mu \psi) = G(\partial_\mu \psi) + ig G B_\mu \psi$
- So the required transformation of the field is: $igB'_\mu(G\psi) = igG(B_\mu \psi) - (\partial_\mu G)\psi$
- Multiply the equation by G^{-1} on the right (and omitting ψ): $B'_\mu = GB_\mu G^{-1} + \frac{i}{g} (\partial_\mu G)G^{-1}$
- Compare this to the case of electromagnetism where $G_{em} = e^{i\alpha(x)}$ gives:

$$A'_\mu = G_{em} A G_{em}^{-1} + \frac{i}{g} (\partial_\mu G_{em}) G_{em}^{-1} = A_\mu - \frac{1}{q} \partial_\mu \alpha$$

... which is exactly what we had before.

Interpretation: weak Interaction

- We try to describe an interaction with a symmetry between two states:
 - “up” and “down” states with invariance under SU2 rotations
- To do this requires the existence of three force fields, related to the gauge field: $\vec{B}_\mu$
 - What are they?
 - They must be three massless bosons, similar to the photon, that couple to “up” and “own” states.
 - They are the W^- , Z^0 , W^+ bosons.
 - How come they have a mass (unlike the photon?) $\rightarrow$ Higgs mechanism
- Again the interaction Lagrangian will be of the form “current $\times$ field:” $\vec{J}_\mu \vec{b}^\mu$, where the current is now: $J_\mu = \frac{g}{2} \bar{\psi} \gamma_\mu \vec{\tau} \psi$ (for EM it was: $J_\mu = q \bar{\psi} \gamma_\mu \psi$)
- The “up” and “down” states are $\psi = \begin{pmatrix} u \\ d \end{pmatrix}$ and $\psi = \begin{pmatrix} \nu \\ e \end{pmatrix}$ and we describe the *weak interaction*.
- How about the *strong interaction*?

The strong interaction

- The “charge” of the strong interaction is “colour”
- The wave function of a quark has three components:
 - $\psi = \begin{pmatrix} \psi_r \\ \psi_g \\ \psi_b \end{pmatrix}$; Require a symmetry generated by 3x3 rotations in 3-dim color space: SU(3)
- There are 8 generator matrices λ_i and as a consequence there are 8 vector fields needed to keep the Lagrangian invariant
 - There exist 8 gluons, related to:

$$\begin{aligned} \lambda_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \\ \lambda_5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda_6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} & \lambda_7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & -i & 0 \end{pmatrix} & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{aligned}$$

The Standard Model

- The Standard Model implements *local gauge invariance* at the same time to
 - Electromagnetism ($U(1)$ symmetry transformations) $\rightarrow$ 1 photon
 - Weak interaction ($SU(2)$ symmetry transformations) $\rightarrow$ 3 weak bosons
 - Strong interaction ($SU(3)$ symmetry transformations) $\rightarrow$ 8 gluons
- The SM gauge group is $SU(3) \otimes SU(2) \otimes U(1)$
- For an exact symmetry the force particles should be massless.
 - $SU(3)$ is exact $\rightarrow$ massless gluons
 - $SU(2) \otimes U(1)$ is an approximate (ie “broken”) symmetry.
 - It is broken in the Higgs mechanism such that there remains one massless boson (photon) and three massive particles (W^- , Z^0 , W^+).

Lecture 3: Discussion Topics

Discussions Topics belonging to
Lecture 3

- Explain the difference between Lorentz indices and Dirac indices
- Is γ^μ a four-vector? Why (not)?
- Is $j^\mu = \bar{\psi}\gamma^\mu\psi$ a four-vector? Why (not)?

- Explain the difference between helicity and chirality
 - How is each one defined?
- Which of the two is Lorentz invariant?
- Which one of the two do we refer to when talking about the left or right *handedness* of a particle?

Topic-8: Helicity vs Chirality – background information

- a) Write out the chirality operator γ^5 in the Dirac-Pauli representation. $\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3$
- b) The helicity operator is defined as $\lambda = \frac{1}{2}\vec{\Sigma} \cdot \hat{p}$. Show that helicity operator and the chirality operator have the same effect on a spinor solution, i.e.

$$\gamma^5\psi = \gamma^5 \begin{pmatrix} \chi^{(s)} \\ \frac{\vec{\sigma} \cdot \vec{p}}{E+m} \chi^{(s)} \end{pmatrix} \approx \lambda \begin{pmatrix} \chi^{(s)} \\ \frac{\vec{\sigma} \cdot \vec{p}}{E+m} \chi^{(s)} \end{pmatrix} = \lambda\psi \quad \text{with: } \chi^{(1)} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \chi^{(2)} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

in the relativistic limit where $E \gg m$

- c) Show explicitly that for a Dirac spinor:

$$\bar{\psi}\gamma^\mu\psi = \bar{\psi}_L\gamma^\mu\psi_L + \bar{\psi}_R\gamma^\mu\psi_R \text{ making use of } \psi = \psi_L + \psi_R \text{ and the projection operators: } \psi_L = \frac{1}{2}(1 - \gamma^5)\psi \text{ and } \psi_R = \frac{1}{2}(1 + \gamma^5)\psi$$

- d) Explain why the weak interaction is called left-handed.

“I cannot believe God is a weak left-hander.”

Wolfgang Pauli



Topic-9: Maxwell's equation

- Maxwell's equations can be described relativistically with the 4-vector field A^μ .
- Show how you get E and B fields from A^μ
- Explain the concept of gauge invariance.
- Is the A^μ field physical or not?
- The photon is a spin-1 quantum, but why can it not have a spin-0 component?

Topic-9: The photon field and gauge invariance

- Field A^μ is just introduced as a mathematical tool
 - Gauge freedom: you are free to choose any A^μ as long as $\vec{E}$ and $\vec{B}$ fields don't change:

$$A^\mu \rightarrow A'^\mu = A^\mu + \partial^\mu \lambda$$
$$V \rightarrow V' = V + \frac{\partial \lambda}{\partial t}$$
$$\vec{A} \rightarrow \vec{A}' = \vec{A} - \vec{\nabla} \lambda$$

- Choose the Lorentz gauge condition: $\partial_\mu A^\mu = 0$

- Maxwell equation in Lorentz gauge becomes:

$$\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu = j^\nu \quad \rightarrow \quad \partial_\mu \partial^\mu A^\nu = j^\nu$$

- Very similar to Klein-Gordon equation $\partial_\mu \partial^\mu \phi + m^2 \phi = 0$
 - But now 4-equations $\rightarrow$ 4 polarizations states of the photon field??
- Photon field solutions: $A^\mu(x) = N \varepsilon^\mu(p) e^{-ip_\nu x^\nu}$
 - A gauge transformation implies: $\varepsilon^\mu \rightarrow \varepsilon'^\mu = \varepsilon^\mu + a p^\mu$
 - Different polarization vectors which differ by multiple of p^μ describe same photon
 - Only 3 degrees of freedom remain $\rightarrow$ 3 polarization states: **spin: -1, 0, 1** $\rightarrow$ choose $\varepsilon^0 = 0$
- Mass of the photon is zero:
 - Thus $p^\mu p_\mu = 0 \rightarrow \varepsilon^\mu p_\mu \rightarrow \vec{\varepsilon} \cdot \vec{p} = 0$
 - Now only two transverse polarization states remain: Chose $\vec{p} = (0,0,p) \rightarrow \vec{\varepsilon}^1 = (1,0,0)$ and $\vec{\varepsilon}^2 = (0,1,0)$

Lecture 3: Exercises

Exercises belonging to Lecture 3

Exercise – 9: Dirac Algebra

- Dirac algebra:
 - Write the explicit form of the γ -matrices
 - Show that : $\{\gamma^\mu, \gamma^\nu\} \equiv \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$
 - Show that : $(\gamma^0)^2 = \mathbb{1}_4$; $(\gamma^1)^2 = (\gamma^2)^2 = (\gamma^3)^2 = -\mathbb{1}_4$
 - Use anti-commutation rules of α and β to show that: $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$
 - Define $\gamma^5 = i\gamma^0 \gamma^1 \gamma^2 \gamma^3$ and show: $\gamma^{5\dagger} = \gamma^5$; $(\gamma^5)^2 = \mathbb{1}_4$; $\{\gamma^5, \gamma^\mu\} = 0$

Exercise – 10: Solutions of free Dirac equation

See Griffiths for a derivation of the solutions

a) Show that the following plane waves are solutions to Dirac's equation

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ p_z/(E+m) \\ (p_x + ip_y)/(E+m) \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)} \quad ; \quad \psi_2 = \begin{pmatrix} 0 \\ 1 \\ (p_x - ip_y)/(E+m) \\ -p_z/(E+m) \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)}$$

Before KG:
 $\phi = N e^{-i(p_\mu x^\mu)}$

$$\psi_3 = \begin{pmatrix} p_z/(E-m) \\ (p_x + ip_y)/(E-m) \\ 1 \\ 0 \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)} \quad ; \quad \psi_4 = \begin{pmatrix} (p_x - ip_y)/(E-m) \\ -p_z/(E-m) \\ 0 \\ 1 \end{pmatrix} e^{i(\vec{p} \cdot \vec{x} - Et)}$$

b) Write the Dirac equation for particle in rest (choose $\vec{p} = 0$) and show that ψ_1 and ψ_2 are *positive energy* solutions: $E = + \left| \sqrt{\vec{p}^2 + m^2} \right|$ whereas ψ_3 and ψ_4 are *negative energy* solutions: $E = - \left| \sqrt{\vec{p}^2 + m^2} \right|$.

c) Optional: Consider the *helicity* operator $\vec{\sigma} \cdot \vec{p} = \sigma_x p_x + \sigma_y p_y + \sigma_z p_z$ and show that ψ_1 corresponds to *positive helicity* solution and ψ_2 to *negative helicity*. Similarly for ψ_3 and ψ_4 .

Spin and Helicity – hint for exercise 10c)

- For a given momentum $\mathbf{p}$ there still is a *two-fold degeneracy*: what differentiates solutions ψ_1 from ψ_2 ?
- Define the spin operator for Dirac spinors: $\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$, where $\vec{\sigma}$ are the three 2x2 Pauli spin matrices

- Define **helicity** λ as spin “up”/”down” wrt direction of motion of the particle

$$\lambda = \frac{1}{2} \vec{\Sigma} \cdot \hat{\mathbf{p}} \equiv \frac{1}{2} \begin{pmatrix} \vec{\sigma} \cdot \hat{\mathbf{p}} & 0 \\ 0 & \vec{\sigma} \cdot \hat{\mathbf{p}} \end{pmatrix} = \frac{1}{2|\mathbf{p}|} (\sigma_x p_x + \sigma_y p_y + \sigma_z p_z)$$

- Split off the Energy and momentum part of Dirac’s equation: $(i\gamma^\mu \partial_\mu - m)\psi = 0$

$$\left[\begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} E - \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} p^i - \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} m \right] \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = 0$$

- Exercise: Try solutions ψ_1 and ψ_2 to see they are **helicity eigenstates** with $\lambda = +1/2$ and $\lambda = -1/2$
- Dirac wanted to solve negative energies and he found spin-½ fermions!

- Scalar Field (spin 0 “pion”)

- a) Show that the Euler-Lagrange equations for $\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{1}{2}m^2\phi^2$ results in the Klein-Gordon equation

- Dirac Field (spin ½ Fermion)

- b) Show that the Euler-Lagrange equations for $\mathcal{L} = i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$ results in the Dirac equation

- Electromagnetic field (spin 1 photon)

- c) Show that $\mathcal{L} = -\frac{1}{4}(\partial^\mu A^\nu - \partial^\nu A^\mu)(\partial_\mu A_\nu - \partial_\nu A_\mu) - j^\mu A_\mu$ results in Maxwell's equations

These Lagrangians are the fundamental objects in quantum field theory

Descriptions of interactions follow from symmetry principles on these objects.

- We insist that the Lagrangian does not change and invent a “covariant” derivative:
 - Replace in $i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$ the derivative by: $\partial^\mu \rightarrow D^\mu \equiv \partial^\mu + iqA^\mu$
 - Require that the vector field A^μ transforms together with the particle wave ψ

$$\psi(x) \rightarrow \psi'(x) = e^{iq\alpha(x)}\psi(x)$$

$$A^\mu(x) \rightarrow A'^\mu(x) = A^\mu(x) - \partial^\mu\alpha(x)$$

- ➔ Exercise: check that the Lagrangian now is invariant!