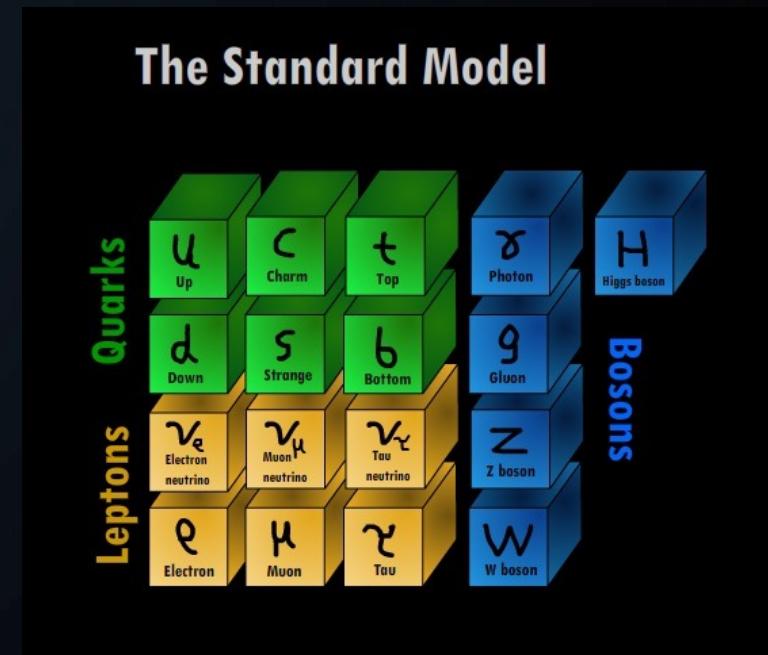
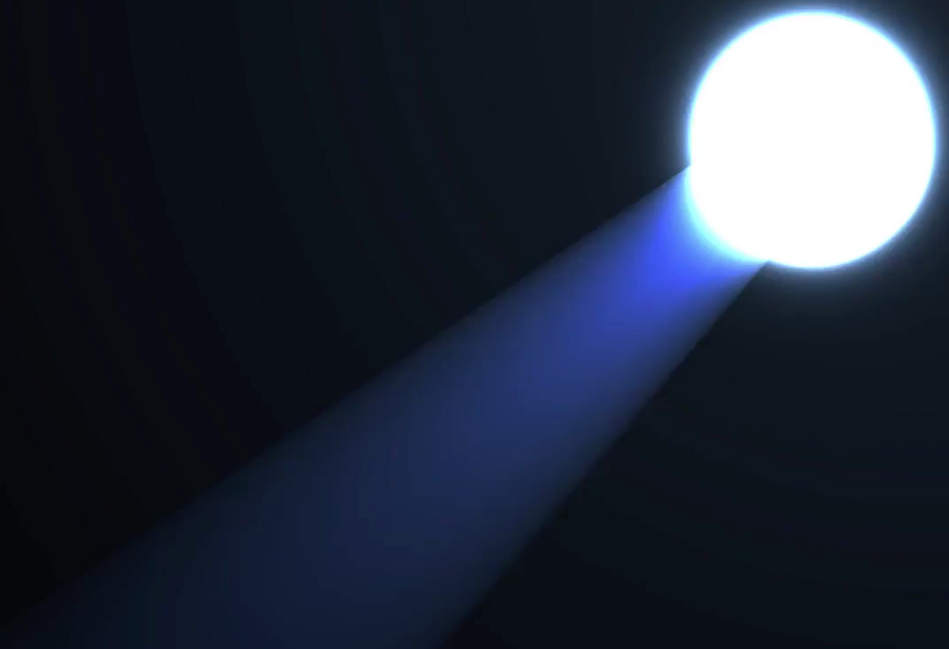


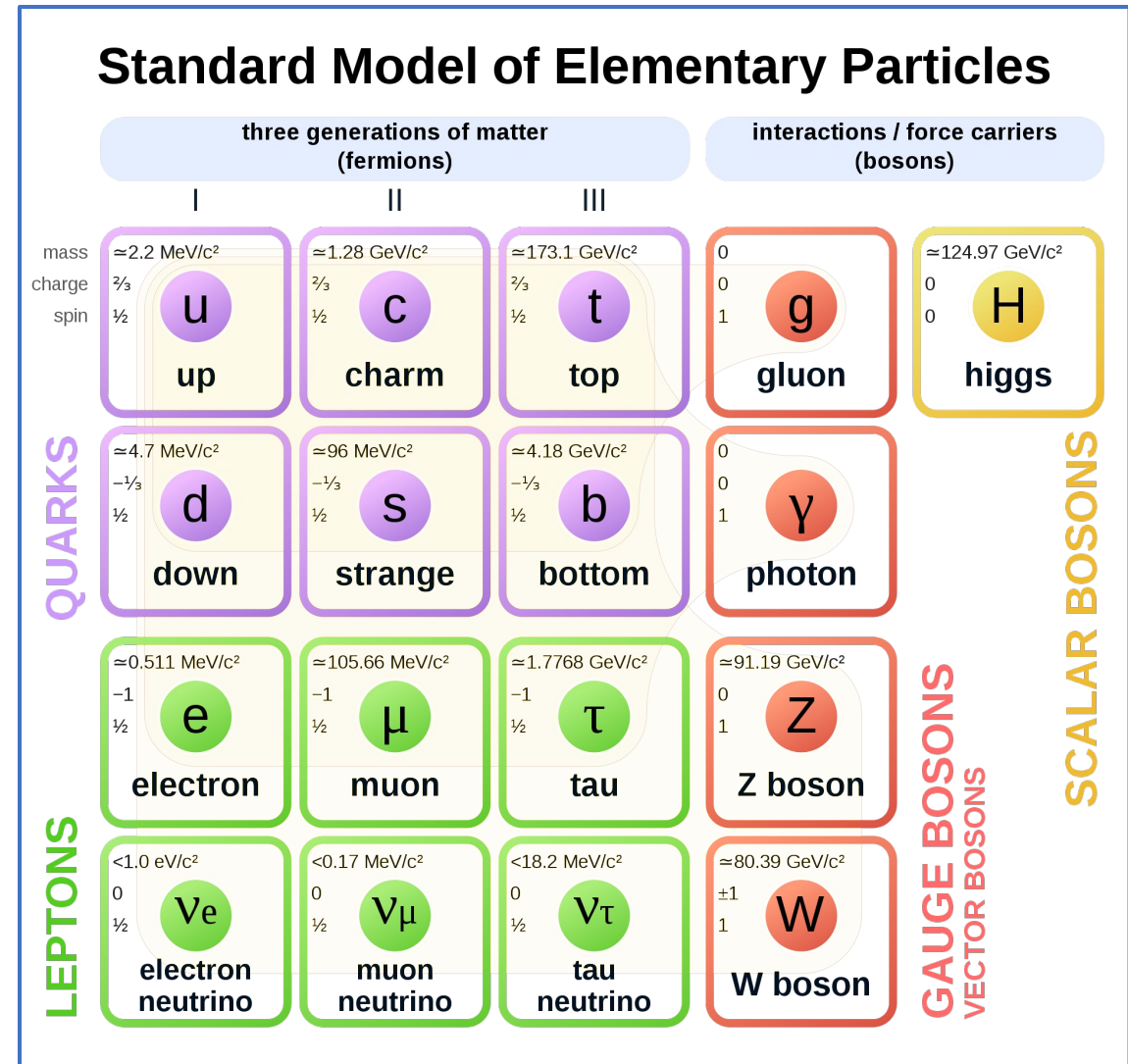


*PHY3004: Nuclear and Particle Physics*  
*Marcel Merk, Jacco de Vries*



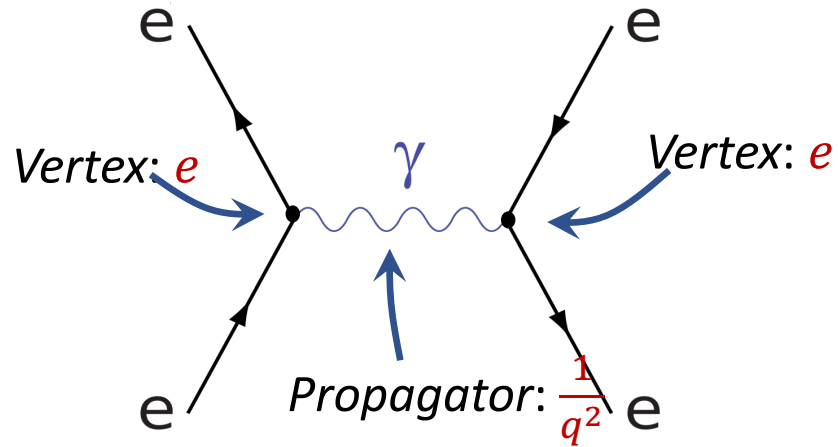
## Classification of particles

- **Lepton**: fundamental particle
- **Hadron**: consist of quarks
  - **Meson**: 1 quark + 1 antiquark ( $\pi^+$ ,  $B_S^0$ , ...)
  - **Baryon**: 3 quarks ( $p$ ,  $n$ ,  $\Lambda$ , ...)
    - **Anti-baryon**: 3 anti-quarks
- **Fermion**: particle with half-integer spin.
  - Antisymmetric wave function: obeys Pauli-exclusion principle and Pauli-Dirac statistics
  - All fundamental quarks and leptons are spin- $\frac{1}{2}$
  - Baryons ( $S=1/2, 3/2$ )
- **Boson**: particle with integer spin
  - Symmetric wave function: Bose-Einstein statistics
  - Mesons: ( $S=0, 1$ ), Higgs ( $S=0$ )
  - Force carriers:  $\gamma$ ,  $W$ ,  $Z$ ,  $g$  ( $S=1$ ); graviton( $S=2$ )

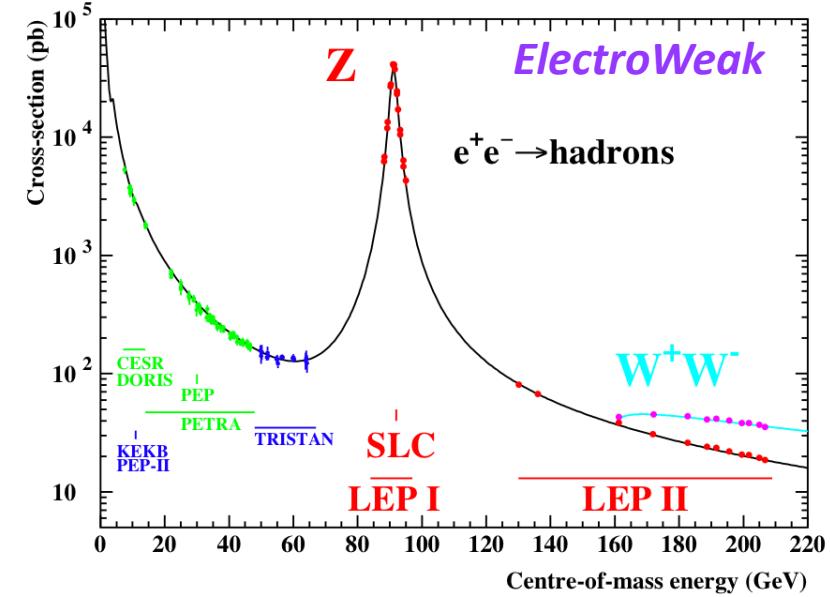
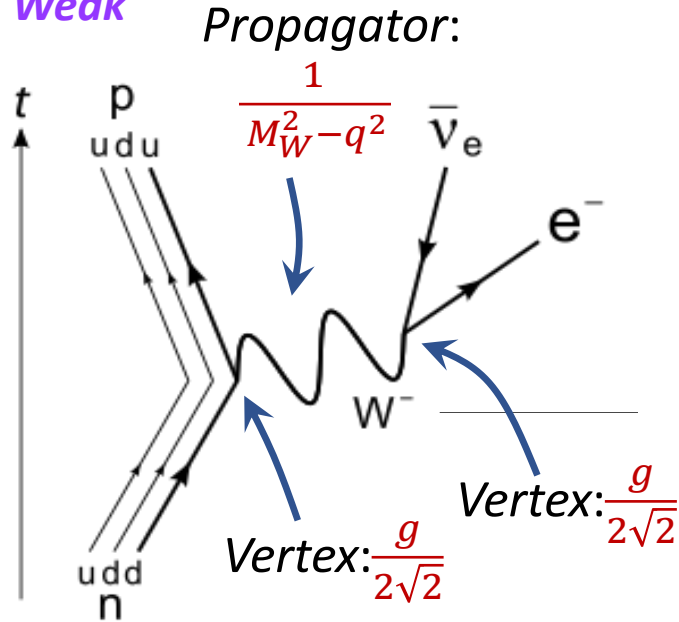


# Lecture 2: "Forces"

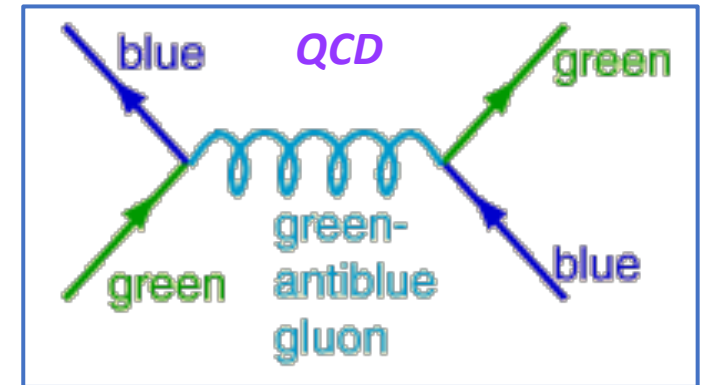
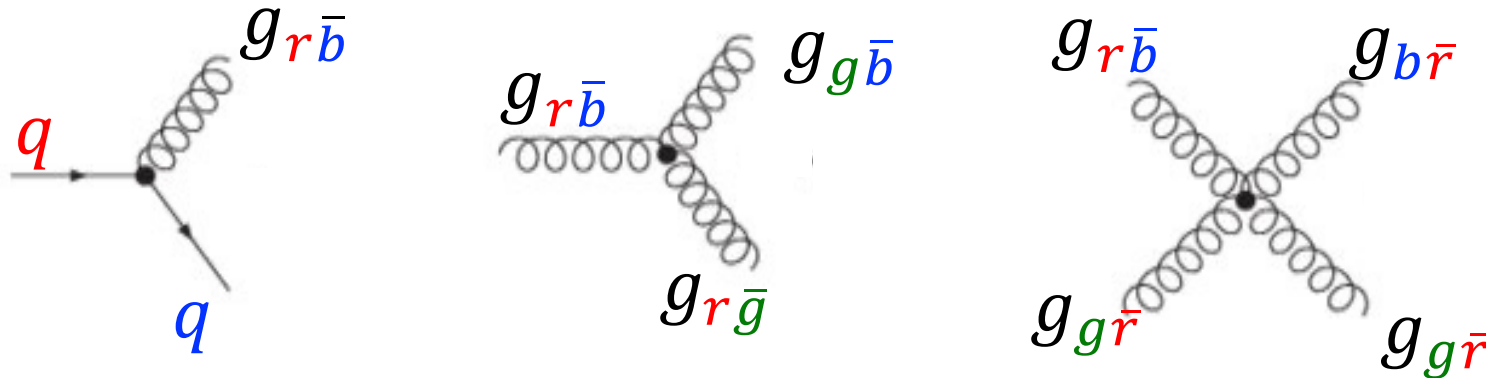
## EM (QED)



## Weak

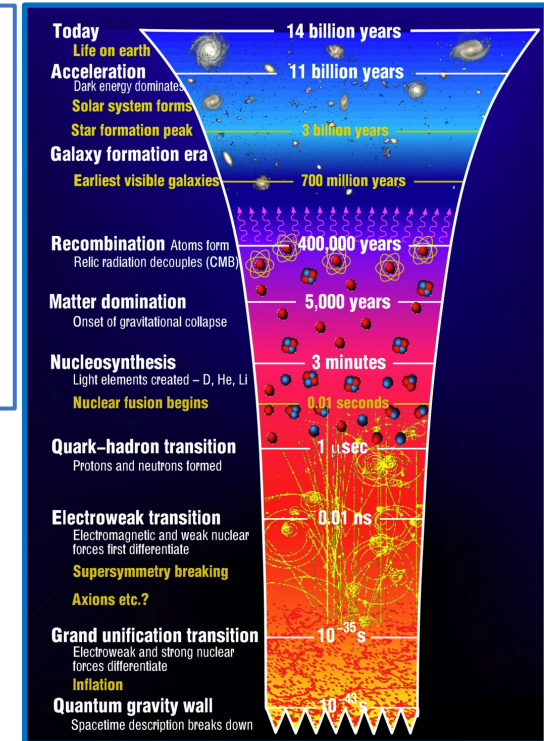
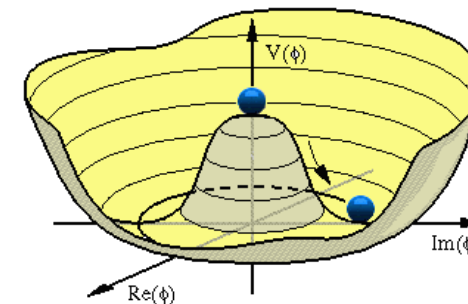
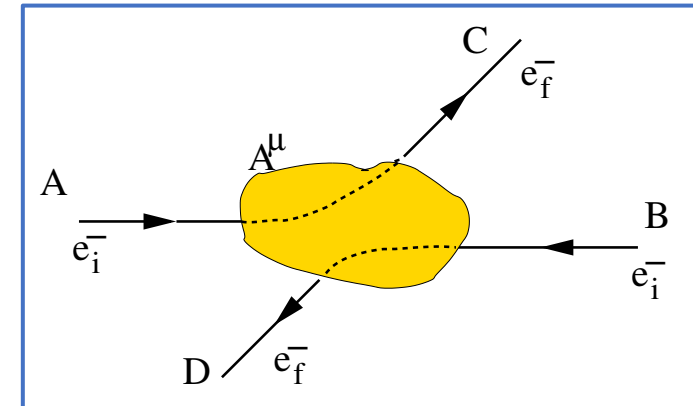
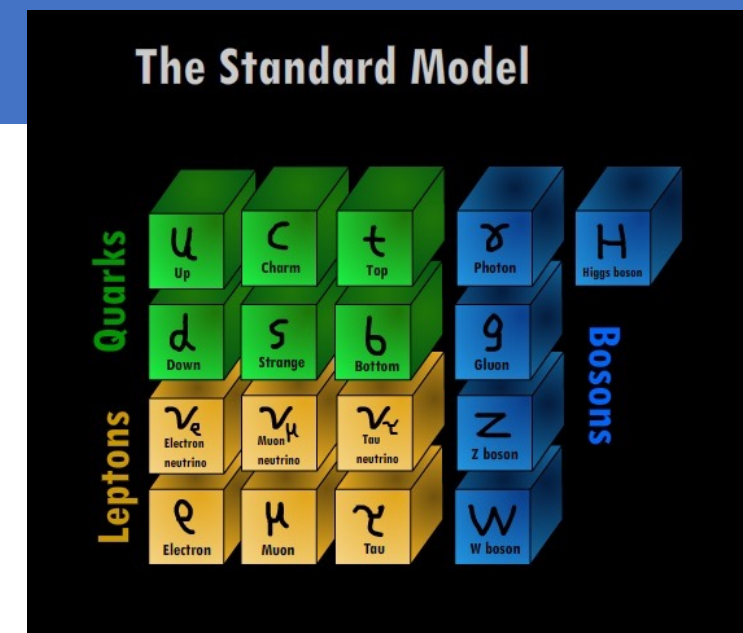
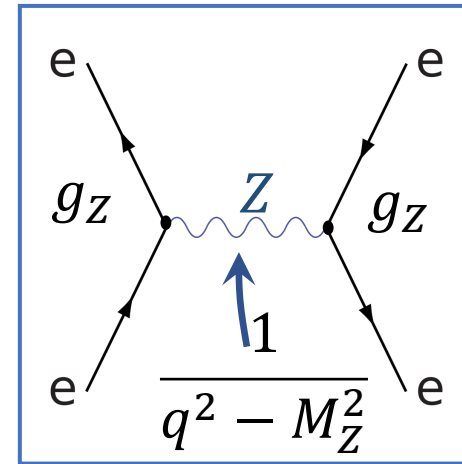


## Strong (QCD)



# Recap: "Seeing the wood for the trees"

- Lecture 1: "Particles"
  - Zooming into constituents of matter
  - Skills: distinguish particle types, Spin
- Lecture 2: "Forces"
  - Exchange of quanta: EM, Weak, QCD
  - Skills: 4-vectors, Feynman diagrams
- Lecture 3: "Waves"
  - Quantum fields and gauge invariance
  - Dirac algebra, Lagrangian, co- & contra variant
- Lecture 4: "Symmetries"
  - Standard Model, Higgs, Discrete Symmetries
  - Skills: Lagrangians, Chirality & Helicity
- Lecture 5: "Scattering"
  - Cross section, decay, perturbation theory
  - Skills: Dirac-delta function, Feynman Calculus
- Lecture 6: "Detectors"
  - Energy loss mechanisms, detection technologies



# Wave Equations

## Contents:

### 1. Wave equations and Probability

- a) Wave equations for spin-0 fields
  - Schrödinger (non relativistic), Klein-Gordon (relativistic)
- b) Wave equation for spin- $\frac{1}{2}$  fields
  - Dirac equation (relativistic)
  - Fundamental fermions
- c) Wave equations for spin-1 fields
  - Gauge boson fields; eg. electromagnetic field

Griffiths chapter 7

If you are unfamiliar with the math, just focus on the concepts. The math requires some practice, but is less tricky than it may look. → Also, check the recorded videos.

### 2. Gauge field theory

- a) Variational Calculus and Lagrangians
- b) Local Gauge invariance
  - i. QED
  - ii. Yang-Mills Theory (Weak, Strong)

Griffiths chapter 10

- Required Quantum Mechanics knowledge:
  - Angular momentum and spin: study Griffiths sections 4.2 ,4.3, In particular Pauli Matrices

## Part 1

# Wave Equations and Probability

## 1a) Spin-0

# Schrödinger Equation and Probability

$$i \frac{\partial}{\partial t} (t\psi) - it \frac{\partial \psi}{\partial t} = i\psi + it \frac{\partial \psi}{\partial t} - it \frac{\partial \psi}{\partial t} = i\psi$$

- Quantization of classical non-relativistic theory:

- Take  $E = \frac{\vec{p}^2}{2m}$  and substitute energy and momentum by operators that operate on  $\psi$ :

$$E \rightarrow \hat{E} = i\hbar \frac{\partial}{\partial t} \quad ; \quad p \rightarrow \hat{p} = -i\hbar \vec{\nabla} \quad ([E, t] = -i\hbar, [x, p] = -i\hbar)$$

- Result is Schrödinger's equation:  $i\hbar \frac{\partial}{\partial t} \psi = -\frac{\hbar^2}{2m} \nabla^2 \psi \rightarrow \frac{\partial}{\partial t} \psi = \frac{i\hbar}{2m} \nabla^2 \psi$

- Plane wave solutions:  $\psi = N e^{i(\vec{p}\vec{x} - Et)/\hbar}$  with the kinematic relation  $E = p^2/2m$

- Multiply both sides Schrödinger by  $\psi^*$  and add its complex conjugate

$$\psi^* \frac{\partial}{\partial t} \psi = \psi^* \left( \frac{i\hbar}{2m} \right) \nabla^2 \psi$$

$$\psi \frac{\partial}{\partial t} \psi^* = \psi \left( \frac{-i\hbar}{2m} \right) \nabla^2 \psi^*$$

$$+ \frac{\partial}{\partial t} (\underbrace{\psi^* \psi}_{\rho}) = -\underbrace{\vec{\nabla} \cdot \left[ \frac{i\hbar}{2m} (\psi \vec{\nabla} \psi^* - \psi^* \vec{\nabla} \psi) \right]}_{\vec{j}}$$

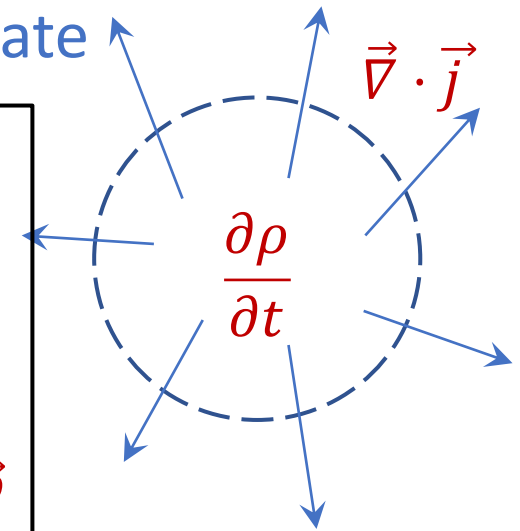
Recognize "continuity" equation:

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0$$

Law of conserved currents, with:

$$\rho \equiv \psi^* \psi = |N|^2$$

$$\vec{j} \equiv \frac{i\hbar}{2m} (\psi \vec{\nabla} \psi^* - \psi^* \vec{\nabla} \psi) = \frac{|N|^2}{m} \vec{p}$$



\*Use:  $\vec{\nabla} \cdot (\psi^* \vec{\nabla} \psi - \psi \vec{\nabla} \psi^*) = \psi^* \nabla^2 \psi - \psi \nabla^2 \psi^*$

- Interpret: probability waves!

# Relativistic: Klein-Gordon equation

- Quantization of relativistic theory

Note:  $p_\mu \rightarrow -i\hbar\partial_\mu$

- Start with  $E^2 = p^2c^2 + m^2c^4$  and substitute again  $E \rightarrow i\hbar\frac{\partial}{\partial t}$  and  $\vec{p} \rightarrow -i\hbar\vec{\nabla}$  operates on  $\phi$

- Result is Klein-Gordon equation:  $-\frac{1}{c^2}\frac{\partial^2}{\partial t^2}\phi = -\nabla^2\phi + \frac{m^2c^2}{\hbar^2}\phi$

Use now:  $\hbar = c = 1$

- Plane wave solutions:  $\phi = Ne^{i(\vec{p}\vec{x}-Et)/\hbar}$  with relativistic relation  $E^2 = \vec{p}^2 + m^2$

- Use the covariant notation:

$$p_\mu p^\mu = E^2 - \vec{p}^2 = m^2$$

$$\begin{aligned}\partial^\mu &= \left(\frac{\partial}{\partial t}, -\vec{\nabla}\right) & ; & \quad \partial_\mu = \left(\frac{\partial}{\partial t}, \vec{\nabla}\right) \\ \partial_\mu \partial^\mu &\equiv \frac{\partial^2}{\partial t^2} - \vec{\nabla}^2 & \text{(as usually take } c = \hbar = 1) \\ p^0 &= E \text{ and } x^0 = t\end{aligned}$$

- Klein-Gordon in four-vector notation:  $\partial_\mu \partial^\mu \phi + m^2 \phi = 0$

- Plane wave solutions:  $\phi = Ne^{-i(p_\mu x^\mu)}$  (Remember this is:  $\phi = Ne^{-i(Et-\vec{p}\vec{x})}$ )

- Time and space coordinates are now treated fully symmetric

- This is needed in a relativistic theory where time and space for different observers are linear combinations of each other



# Klein-Gordon conserved currents

- Similar to the Schrödinger case multiply both sides by  $-i\phi^*$  from left and add the expression to its complex conjugate

$$-i\phi^* \left( -\frac{\partial^2 \phi}{\partial t^2} \right) = -i\phi^* (-\nabla^2 \phi + m^2 \phi)$$

$$i\phi \left( -\frac{\partial^2 \phi^*}{\partial t^2} \right) = i\phi (-\nabla^2 \phi^* + m^2 \phi^*)$$

$$+ \frac{\partial}{\partial t} i \left( \underbrace{\phi^* \frac{\partial \phi}{\partial t} - \phi \frac{\partial \phi^*}{\partial t}}_{\rho} \right) = \underbrace{\vec{\nabla} \cdot [i(\phi^* \vec{\nabla} \phi - \phi \vec{\nabla} \phi^*)]}_{\vec{j}}$$

- The quadratic equation  $E^2 = p^2 + m^2$  leads to *double solutions*:  $E^2 = \dots \Rightarrow E = \pm \dots$

- Positive and negative energy solutions
- Negative solutions imply *negative probability density*  $\rho$  !!!
- This bothered Dirac and therefore he looked for an equation *linear* in  $E$  and  $p$  ...

Again recognize “continuity” equation, the law of conserved currents:

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0 \quad \Rightarrow \quad \partial_\mu j^\mu = 0$$

With now:

$$j^\mu = (\rho, \vec{j}) = i[\phi^*(\partial^\mu \phi) - \phi(\partial^\mu \phi^*)]$$

It gives for plane waves:  $\phi = N e^{-i(p_\mu x^\mu)}$

$$\rho = 2|N|^2 E$$

$$\vec{j} = 2|N|^2 \vec{p}$$

Or in 4-vector:  $j^\mu = 2|N|^2 p^\mu$

# Antiparticles

- Feynman-Stückelberg interpretation

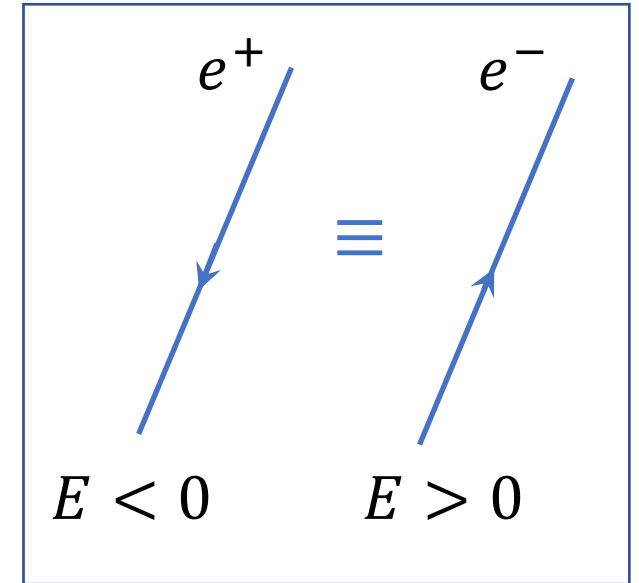
- Charge current of an electron with momentum  $\vec{p}$  and energy  $E$

$$j^\mu(-e) = -2e|N|^2 p^\mu = -2e|N|^2(E, \vec{p})$$

- Charge current of a positron

$$j^\mu(+e) = +2e|N|^2 p^\mu = -2e|N|^2(-E, -\vec{p})$$

The positron current with energy  $-E$  and momentum  $-\vec{p}$  is the same as the electron current with  $E$  and  $\vec{p}$



- The negative energy *particle* solutions going backward in time describe the positive-energy *antiparticle* solutions.

- The wave function  $\phi = Ne^{-ix_\mu p^\mu}$  stays invariant for negative energy and going backwards in time
- Consider eg.  $e^{-i(-E)(-t)} = e^{-iEt}$

- A positron *is* an electron travelling backwards in time

# Wave Equations

## Contents:

### 1. Wave equations and Probability

Griffiths chapter 7 and PP1 chapter 1

- a) Wave equations for spin-0 fields
  - Schrödinger (non relativistic), Klein-Gordon (relativistic)
- b) Wave equation for spin- $\frac{1}{2}$  fields
  - Dirac equation (relativistic)
  - Fundamental fermions
- c) Wave equations for spin-1 fields
  - Gauge boson fields; eg. electromagnetic field

### 2. Gauge field theory

Griffiths chapter 10 and PP1 chapter 1

- a) Variational Calculus and Lagrangians
- b) Local Gauge invariance
  - i. QED
  - ii. Yang-Mills Theory (Weak, Strong)

### • Required Quantum Mechanics knowledge:

- Angular momentum and spin: study Griffiths sections 4.2 ,4.3, In particular Pauli Matrices

## Part 1

# Wave Equations and Probability

## 1b) Spin- $\frac{1}{2}$

# Dirac Equation

Instead of  $E^2 = p^2 c^2 + m^2 c^4$

- Dirac did not like negative probabilities and looked for a wave equation of the form  $E = i \frac{\partial}{\partial t} \psi = H\psi = (?)$ , but relativistically correct.
- Try:  $H = (\vec{\alpha} \cdot \vec{p} + \beta m)$  where  $\vec{\alpha} \cdot \vec{p} = \alpha_1 p_x + \alpha_2 p_y + \alpha_3 p_z$ ;  $\vec{\alpha}?$   $\beta?$
- We know that:  $H^2 \psi = E^2 \psi = (\vec{p}^2 + m^2) \psi$
- Write it out: 
$$H^2 = (\sum_i \alpha_i p_i + \beta m)(\sum_j \alpha_j p_j + \beta m)$$

$$= (\sum_{i,j} \alpha_i \alpha_j p_i p_j + \sum_i \alpha_i \beta p_i m + \sum_j \beta \alpha_j p_j m + \beta^2 m^2)$$

$$= \left( \underbrace{\sum_i \alpha_i^2 p_i^2}_{= \vec{p}^2} + \underbrace{\sum_{i>j} (\alpha_i \alpha_j + \alpha_j \alpha_i) p_i p_j}_{= 0} + \underbrace{\sum_i (\alpha_i \beta + \beta \alpha_i) p_i m}_{= m^2} + \beta^2 m^2 \right)$$
- This works out if:
  - $\alpha_1^2 = \alpha_2^2 = \alpha_3^2 = \beta^2 = 1$
  - $\alpha_1, \alpha_2, \alpha_3, \beta$  anti-commute: ie.:  $\alpha_1 \alpha_2 = -\alpha_2 \alpha_1$  etc
- Anti-commutator:  $\{\alpha_i, \alpha_j\} = 2\delta_{ij}$ ;  $\{\alpha_i, \beta\} = 0$ ;  $\beta^2 = 1$ 
  - Using definition:  $\{A, B\} = AB + BA$ :

# Dirac's idea

$$\{\alpha_i, \alpha_j\} = 2\delta_{ij}; \{\alpha_i, \beta\} = 0; \beta^2 = 1$$

- Clearly  $\alpha_i$  and  $\beta$  cannot be numbers. Let them be *matrices*!

- In that case they operate on a wave function that is a column vector
- The simplest case that allows the requirements are 4x4 matrices.

$$E\psi = H\psi = (\vec{\alpha}\vec{p} + \beta m)\psi$$

- Dirac's equation becomes:

Remember:

$$E \rightarrow i \frac{\partial}{\partial t} \quad \vec{p} \rightarrow -i\vec{\nabla}$$

$$i \frac{\partial}{\partial t} \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \left[ -i \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\vec{\alpha}_i} \cdot \vec{\nabla}_i + \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\beta} \cdot m \right] \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

- It is possible making use of the Pauli spin matrices

- $\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix}$  and  $\beta = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix}$  with  $\sigma_1 = \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ;  $\sigma_2 = \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ;  $\sigma_3 = \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

- Note that  $\alpha$  and  $\beta$  are hermitian:  $\alpha_i^\dagger = \alpha_i$  and  $\beta^\dagger = \beta$  (Since Hamiltonian has real  $E$  eigenvalues.)

- Remember:  $\{\sigma_i, \sigma_j\} = \sigma_i\sigma_j + \sigma_j\sigma_i = 2\delta_{ij} \mathbb{1}$  and  $\sigma_1^2 = \sigma_2^2 = \sigma_3^2 = \mathbb{1}$

- Unfortunately we also need:  $\sigma_i\beta + \beta\sigma_i = 0 \rightarrow$  We need 4x4 matrices!

# Dirac's idea

- Clearly  $\alpha_i$  and  $\beta$  cannot be numbers. Let them be *matrices*!
  - In that case they operate on a wave function that is a column vector
  - The simplest case that allows the requirements are 4x4 matrices.
  - Dirac's equation becomes:

Remember: $E \rightarrow i \frac{\partial}{\partial t}$ $p \rightarrow -i \vec{\nabla}$
--

$$i \frac{\partial}{\partial t} \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \left[ -i \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\vec{\alpha}_i} \cdot \vec{\nabla}_i + \underbrace{\begin{pmatrix} \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{pmatrix}}_{\beta} \cdot m \right] \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$$

- This is a very complicated equation!
  - What does it mean that the wave function  $\psi$  is now a **1-by-4 column vector**?
  - $\psi$  is **not** a 4-vector, since the indices do not represent kinematic variables, but matrices indices!

# Covariant form of Dirac's equation

- Dirac equation:  $H = E = (\vec{\alpha} \cdot \vec{p} + \beta m) \Rightarrow i \frac{\partial}{\partial t} \psi = (-i \vec{\alpha} \cdot \vec{\nabla} + \beta m) \psi$
- Multiply Dirac's eq. from the left by  $\beta$ ; then it becomes:
  - $\left( i \beta \frac{\partial}{\partial t} \psi + i \beta \vec{\alpha} \cdot \vec{\nabla} - m \right) \psi = 0$  (Remember  $\beta^2 = 1$ )  
 $\overline{\gamma^0} \quad \overline{\gamma^1, \gamma^2, \gamma^3}$
- Introduce now the Dirac  $\gamma$ -matrices:  $\gamma^\mu \equiv (\beta, \beta \vec{\alpha})$  (vector of four 4x4 matrices!)
  - Covariant form of Dirac eq:  
$$(i \gamma^\mu \partial_\mu - m) \psi = 0$$

Note (see def covariant derivative):  
 $A^\mu \partial_\mu = A^0 \frac{\partial}{\partial t} + \vec{A} \cdot \vec{\nabla}$
- Realise that Dirac's equation is a set of 4 coupled differential equations.
- Requirements on  $\vec{\alpha}$ ,  $\beta$  can be summarized as:  $\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$  (check it)



# Dirac Gamma Matrices

- There is some freedom to implement:  $\{\gamma^\mu, \gamma^\nu\} = 2g^{\mu\nu}$  in 4x4 matrices.
- We will use the Dirac-Pauli representation

$$\gamma^0 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad \gamma^1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}$$

$$\gamma^2 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix} \quad \gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$$

Or:  $\gamma^0 = \beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$  and  $\gamma^k = \beta\alpha_k = \begin{pmatrix} 0 & \sigma_k \\ -\sigma_k & 0 \end{pmatrix}$  with Pauli matrices  $\sigma_k$

Note the indices:  
(confusing!)

$\mu, \nu = 0, 1, 2, 3$  are the  
**Lorentz indices in space-time:**

**Dirac matrix indices:** 1, 2, 3, 4  
Have to do with the row and  
column indices of the matrix  
(and spinors)

- Note: although the gamma indices are Lorentz-indices (“space-time”, the gamma-matrices are not 4-vectors! (They are simply constants.)

# Exercises: Dirac Algebra

- Dirac algebra:

- Write the explicit form of the  $\gamma$ -matrices
- Show that :  $\{\gamma^\mu, \gamma^\nu\} \equiv \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$
- Show that :  $(\gamma^0)^2 = \mathbb{1}_4$  ;  $(\gamma^1)^2 = (\gamma^2)^2 = (\gamma^3)^2 = -\mathbb{1}_4$
- Use anti-commutation rules of  $\alpha$  and  $\beta$  to show that:  $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$
- Define  $\gamma^5 = i\gamma^0 \gamma^1 \gamma^2 \gamma^3$  and show:  $\gamma^{5\dagger} = \gamma^5$  ;  $(\gamma^5)^2 = \mathbb{1}_4$  ;  $\{\gamma^5, \gamma^\mu\} = 0$

# Exercise: Solutions of free Dirac equation

See Griffiths for a derivation of the solutions

a) Show that the following plane waves are solutions to Dirac's equation

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ p_z/(E+m) \\ (p_x + ip_y)/(E+m) \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)} \quad ; \quad \psi_2 = \begin{pmatrix} 0 \\ 1 \\ (p_x - ip_y)/(E+m) \\ -p_z/(E+m) \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)}$$

Before KG:  
 $\phi = N e^{-i(p_\mu x^\mu)}$

$$\psi_3 = \begin{pmatrix} p_z/(E-m) \\ (p_x + ip_y)/(E-m) \\ 1 \\ 0 \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)} \quad ; \quad \psi_4 = \begin{pmatrix} (p_x - ip_y)/(E-m) \\ -p_z/(E-m) \\ 0 \\ 1 \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)}$$

b) Write the Dirac equation for particle in rest (choose  $\vec{p} = 0$ ) and show that  $\psi_1$  and  $\psi_2$  are *positive energy* solutions:  $E = +\sqrt{\vec{p}^2 + m^2}$  whereas  $\psi_3$  and  $\psi_4$  are *negative energy* solutions:  $E = -\sqrt{\vec{p}^2 + m^2}$ .

c) Consider the *helicity* operator  $\vec{\sigma} \cdot \vec{p} = \sigma_x p_x + \sigma_y p_y + \sigma_z p_z$  and show that  $\psi_1$  corresponds to *positive helicity* solution and  $\psi_2$  to *negative helicity*. Similarly for  $\psi_3$  and  $\psi_4$ .

# Spin and Helicity – hint for exercise c)

- For a given momentum  $\mathbf{p}$  there still is a *two-fold degeneracy* with the same energy: what differentiates solutions  $\psi_1$  from  $\psi_2$ ?  $\rightarrow$  It is spin!!
- Define the spin operator for Dirac spinors:  $\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$ , where  $\vec{\sigma}$  are the three 2x2 Pauli spin matrices

- Define **helicity**  $\lambda$  as spin “up”/”down” wrt direction of motion of the particle

$$\lambda = \frac{1}{2} \vec{\Sigma} \cdot \hat{\mathbf{p}} \equiv \frac{1}{2} \begin{pmatrix} \vec{\sigma} \cdot \hat{\mathbf{p}} & 0 \\ 0 & \vec{\sigma} \cdot \hat{\mathbf{p}} \end{pmatrix} = \frac{1}{2|\mathbf{p}|} (\sigma_x p_x + \sigma_y p_y + \sigma_z p_z)$$

- Split off the Energy and momentum part of Dirac’s equation:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$

$$\left[ \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} E - \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} p^i - \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} m \right] \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = 0$$

- Exercise: Try solutions  $\psi_1$  and  $\psi_2$  to see they are **helicity eigenstates** with  $\lambda = +1/2$  and  $\lambda = -1/2$
- Dirac wanted to solve negative energies and he found spin- $\frac{1}{2}$  fermions!

# Antiparticles: positive and negative energy solutions

- Dirac spinor solutions  $\psi_i(x^\mu) = \psi_i(t, \vec{x}) = u_i(E, \vec{p})e^{i(\vec{p}\vec{x}-Et)} = u_i(p^\mu)e^{-ip_\mu x^\mu}$   
with  $i = 1,2,3,4$

- Since we work with antiparticles, instead of *negative energy particles* travelling backwards instead in time, *antiparticle solutions* can be defined

$$u_3(-E, -\vec{p})e^{i((- \vec{p})\vec{x}-(-E)t)} = v_2(E, \vec{p})e^{-i(\vec{p}\vec{x}-Et)} = v_2(p^\mu)e^{ip_\mu x^\mu}$$

$$u_4(-E, -\vec{p})e^{i((- \vec{p})\vec{x}-(-E)t)} = v_1(E, \vec{p})e^{-i(\vec{p}\vec{x}-Et)} = v_1(p^\mu)e^{ip_\mu x^\mu}$$

- Where now the energy of the antiparticle solutions  $v_1$  and  $v_2$  is positive:  $E > 0$

- Explicit:  $u_4 = \begin{pmatrix} (p_x - ip_y)/(E - m) \\ -p_z/(E - m) \\ 0 \\ 1 \end{pmatrix}$  and  $u_3 = \begin{pmatrix} p_z/(E - m) \\ (p_x + ip_y)/(E - m) \\ 1 \\ 0 \end{pmatrix}$  becomes...

# Antiparticles: positive and negative energy solutions

- Dirac spinor solutions  $\psi_i(x^\mu) = \psi_i(t, \vec{x}) = u_i(E, \vec{p})e^{i(\vec{p}\vec{x}-Et)} = u_i(p^\mu)e^{-ip_\mu x^\mu}$   
with  $i = 1, 2, 3, 4$

- Since we work with antiparticles, instead of *negative energy particles* travelling backwards instead in time, *antiparticle solutions* can be defined

$$u_3(-E, -\vec{p})e^{i((-\vec{p})\vec{x}-(-E)t)} = v_2(E, \vec{p})e^{-i(\vec{p}\vec{x}-Et)} = v_2(p^\mu)e^{ip_\mu x^\mu}$$

$$u_4(-E, -\vec{p})e^{i((-\vec{p})\vec{x}-(-E)t)} = v_1(E, \vec{p})e^{-i(\vec{p}\vec{x}-Et)} = v_1(p^\mu)e^{ip_\mu x^\mu}$$

- Where now the energy of the antiparticle solutions  $v_1$  and  $v_2$  is positive:  $E > 0$

- Explicit:  $v_1 = \begin{pmatrix} (p_x - ip_y)/(E + m) \\ -p_z/(E + m) \\ 0 \\ 1 \end{pmatrix}$  and  $v_2 = \begin{pmatrix} p_z/(E + m) \\ (p_x + ip_y)/(E + m) \\ 1 \\ 0 \end{pmatrix}$

- Where  $E$  and  $\vec{p}$  are now the energy and momentum of the antiparticle

# Adjoint spinors

- Adjoint spinors

- Solutions of the Dirac equation are called *spinors*
- Current density and continuity equation require *adjoints* instead of *complex conjugates*

Remember:  $(AB)^\dagger = B^\dagger A^\dagger$

$$i\gamma^0 \frac{\partial \psi}{\partial t} + i \sum_{k=1,2,3} \gamma^k \frac{\partial \psi}{\partial x^k} - m\psi = 0$$

$$-i \frac{\partial \psi^\dagger}{\partial t} \gamma^0 - i \sum_{k=1,2,3} \frac{\partial \psi^\dagger}{\partial x^k} (-\gamma^k) - m\psi^\dagger = 0$$

$$\boxed{\gamma^{0\dagger} = \gamma^0 ; \gamma^{k\dagger} = -\gamma^k ; -\gamma^k \gamma^0 = \gamma^0 \gamma^k}$$

- The minus sign in  $(-\gamma^k)$  disturbs the Lorentz invariant form:  $\psi^\dagger$  is not physical
- Restore covariance by multiplying second equation from the right by  $\gamma^0$  and define:

$$\bar{\psi} = \psi^\dagger \gamma^0$$

$$\gamma^0 = \beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$$

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$$-i \frac{\partial \bar{\psi}}{\partial t} \gamma^0 - i \sum_{k=1,2,3} \frac{\partial \bar{\psi}}{\partial x^k} \gamma^k - m\bar{\psi} = 0$$

- The minus sign in  $(-\gamma^k)$  disturbs the Lorentz invariant form:  $\psi^\dagger$  is not physical
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$$\boxed{\gamma^{0\dagger} = \gamma^0 ; \gamma^{k\dagger} = -\gamma^k ; -\gamma^k \gamma^0 = \gamma^0 \gamma^k}$$

$$\bar{\psi} = \psi^\dagger \gamma^0$$

$$\gamma^0 = \beta = \begin{pmatrix} \mathbb{1}_2 & 0 \\ 0 & -\mathbb{1}_2 \end{pmatrix}$$

- Dirac spinor:  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$ , adjoint Dirac spinor:  $\bar{\psi} = (\overline{\psi_1}, \overline{\psi_2}, \overline{\psi_3}, \overline{\psi_4})$

- Dirac equation:  $i\gamma^\mu \partial_\mu \psi - m\psi = 0$  ; adjoint Dirac equation:  $i\partial_\mu \bar{\psi} \gamma^\mu + m\bar{\psi} = 0$



# Dirac Current density and conserved current

- Apply a similar trick as before for Schrödinger and Klein-Gordon case:
  - Multiply adjoint Dirac eq from right by  $\psi$  and multiply Dirac eq. from left by  $\bar{\psi}$

$$\begin{aligned}
 & (i\partial_\mu \bar{\psi} \gamma^\mu + m\bar{\psi}) \psi = 0 \\
 & \bar{\psi} (i\gamma^\mu \partial_\mu \psi - m\psi) = 0 \\
 + & \frac{\quad}{\quad} \\
 & \bar{\psi} \gamma^\mu (\partial_\mu \psi) + (\partial_\mu \bar{\psi}) \gamma^\mu \psi = 0 \\
 & \partial_\mu (\bar{\psi} \gamma^\mu \psi) = 0
 \end{aligned}$$

Define the 4-vec current:

$$j^\mu = \bar{\psi} \gamma^\mu \psi$$

Satisfies the continuity equation:

$$\partial_\mu j^\mu = 0$$

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0$$

- Probability: Zero-th component of the current:

$$j^0 = \bar{\psi} \gamma^0 \psi = \psi^\dagger \psi = \sum_{i=1}^4 |\psi_i|^2$$

- This always gives a *positive probability*, which was the motivation of Dirac.

# Dirac in summary

- Dirac was looking for an explanation for positive and negative energy solutions by linearising Klein-Gordon equation
  - He found that his solutions described spin- $\frac{1}{2}$  particles
  - He predicted, based on symmetry, that for each particle there should exist an antiparticle (the negative energy solution).
- We had relativistic fields:
  - Spin-0: Klein-Gordon: e.g. pion particles
  - Spin- $\frac{1}{2}$ : Dirac : e.g. quarks and leptons
  - How about forces? Spin=1

# Wave Equations

## Contents:

### 1. Wave equations and Probability

Griffiths chapter 7 and PP1 chapter 1

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  - Fundamental fermions
- c) Wave equations for spin-1 fields
  - Gauge boson fields; eg. electromagnetic field

### 2. Gauge field theory

Griffiths chapter 10 and PP1 chapter 1

- a) Variational Calculus and Lagrangians
- b) Local Gauge invariance
  - i. QED
  - ii. Yang-Mills Theory (Weak, Strong)

### • Required Quantum Mechanics knowledge:

- Angular momentum and spin: study Griffiths sections 4.2 ,4.3, In particular Pauli Matrices

Part 1  
Wave Equations and Probability

1c) Spin-1

- Maxwell equations describe electric and magnetic fields induced by charges and currents: (used Heaviside-Lorentz units:  $c = 1, \epsilon_0 = 1, \mu_0 = 1$ )

1. Gauss' law:  $\vec{\nabla} \cdot \vec{E} = \rho$

2. No magnetic charges:  $\vec{\nabla} \cdot \vec{B} = 0$

3. Faraday's law of induction:  $\vec{\nabla} \times \vec{E} + \frac{\partial \vec{B}}{\partial t} = 0$

4. Modified Ampère's law:  $\vec{\nabla} \times \vec{B} - \frac{\partial \vec{E}}{\partial t} = \vec{j}$

From 1. and 4. derive continuity

$$\vec{\nabla} \cdot \vec{j} = -\frac{\partial \rho}{\partial t}$$

→ charge conservation

This was the motivation for

Maxwell to modify Ampère's law

- Define a Lorentz covariant 4-vector field  $A^\mu = (V, \vec{A})$  as follows:

$$\vec{B} = \vec{\nabla} \times \vec{A} \quad (\text{then automatically 2. follows})$$

$$\vec{E} = -\frac{\partial \vec{A}}{\partial t} - \vec{\nabla} V \quad \text{with } V = A^0 \quad (\text{then automatically 3. follows})$$

- a) Show Maxwell equations can be summarized in covariant form:

$$\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu = j^\nu \quad (\text{Derive expressions for } \rho \text{ and } \vec{j} \text{ and use: } \vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = -\nabla^2 \vec{A} + \vec{\nabla}(\vec{\nabla} \cdot \vec{A}))$$

# The Antisymmetric tensor $F^{\mu\nu}$

- Maxwell's equation  $\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu = j^\nu$  can be further shortened by introducing the antisymmetric tensor:  $F^{\mu\nu} \equiv \partial^\mu A^\nu - \partial^\nu A^\mu$  :

$$F^{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -B_z & B_y \\ E_y & B_z & 0 & -B_x \\ E_z & -B_y & B_x & 0 \end{pmatrix}$$

- Show that Maxwell's equations become:  $\partial_\mu F^{\mu\nu} = j^\nu$
- Hint: derive the expressions for charge ( $q = j^0$ ) and current ( $\vec{I} = \vec{j}$ ) separately.  
Use the identity:  $\vec{\nabla} \times (\vec{\nabla} \times \vec{A}) = -\nabla^2 \vec{A} + \vec{\nabla}(\vec{\nabla} \cdot \vec{A})$ . Remember the definitions:  
 $A_\mu = (A_0, -\vec{A})$  ;  $\partial_\mu = \left(\frac{\partial}{\partial t}, \vec{\nabla}\right)$  ;  $g^{\mu\nu} = g_{\mu\nu} = \text{diag}(1, -1, -1, -1)$

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## Part 2 Gauge Theory

### 2a) Variational Calculus and Lagrangians



- Relativistic Field theory: fields replace the generalized coordinates
  - Also time and space will be treated symmetric
  - Replace  $L(q, \dot{q})$  for classical particles by a *Lagrange density*  $\mathcal{L}(\phi(x), \partial\phi(x))$  in terms of *fields* and *gradients* such that  $L \equiv \int d^3x \mathcal{L}(\phi, \partial\phi)$

- Principle of least actions becomes:

$$S = \int_{t_1}^{t_2} d^4x \mathcal{L}(\phi(x), \partial\phi(x)) \quad \text{and again} \quad \delta S = 0$$

$t_1, t_2$  are endpoints of the path

Classical was:

$$S = \int_{t_1}^{t_2} dt L(q, \dot{q}) \Rightarrow \delta S = 0$$

- Euler Lagrange Equations of motion becomes:

- Classical was:  $\frac{\partial L}{\partial q_i} = \frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right)$

$$\frac{\partial \mathcal{L}}{\partial \phi(x)} = \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi(x))}$$

- Scalar Field (spin 0 “pion”)

a) Show that the Euler-Lagrange equations for  $\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{1}{2}m^2\phi^2$  results in the Klein-Gordon equation

- Dirac Field (spin  $\frac{1}{2}$  Fermion)

b) Show that the Euler-Lagrange equations for  $\mathcal{L} = i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$  results in the Dirac equation

- Electromagnetic field (spin 1 photon)

c) Show that  $\mathcal{L} = -\frac{1}{4}(\partial^\mu A^\nu - \partial^\nu A^\mu)(\partial_\mu A_\nu - \partial_\nu A_\mu) - j^\mu A_\mu$  results in Maxwell's equations

These Lagrangians are the fundamental objects in quantum field theory

Descriptions of interactions follow from symmetry principles on these objects.

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Part 2  
Gauge Theory

2b) Local Gauge Invariance

i) QED

- *global* gauge invariance: the phase of the wave function is not observable: Changing the wave function  $\psi(x) \rightarrow \psi'(x) = e^{i\alpha}\psi(x)$  should not change the Lagrangian for an electron

- Look at Dirac Lagrangian:  $\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi$

- It should not change for  $\psi \rightarrow \psi'$  and  $\bar{\psi} \rightarrow \bar{\psi}' = \psi'^{\dagger}\gamma^0$ ;  $\bar{\psi}' = e^{-i\alpha}\bar{\psi} \rightarrow$  OK.

$$e^{-i\alpha}\bar{\psi}e^{i\alpha}\psi = \bar{\psi}\psi$$

- *local* gauge invariance: invariance under changing phases in space and time

- An electron wave function can have a different phases at different places and times

- $\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)}\psi(x)$  and  $\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = e^{-i\alpha(x)}\bar{\psi}(x)$

- Check this for the Dirac Lagrangian

Problem in the term:  $\partial_\mu\psi(x) \rightarrow \partial_\mu\psi'(x) = e^{i\alpha(x)}\left(\partial_\mu\psi(x) + i\partial_\mu\alpha(x)\psi(x)\right)$

Hence:  $\mathcal{L} \rightarrow \mathcal{L}' + i\partial_\mu\alpha(x)\bar{\psi}(x)\gamma^\mu\psi(x)$

$\mathcal{L}' \sim ie^{-i\alpha(x)}\bar{\psi}e^{i\alpha(x)}(\partial_\mu\psi + i\partial_\mu(\alpha)\psi)$

trouble

- It seems that the Lagrangian will change, but this is not allowed!

- We insist that the Lagrangian does not change and invent a “covariant” derivative:
  - Replace in  $i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$  the derivative by:  $\partial^\mu \rightarrow D^\mu \equiv \partial^\mu + iqA^\mu$
  - Require that the vector field  $A^\mu$  transforms together with the particle wave  $\psi$

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)}\psi(x)$$
$$A^\mu(x) \rightarrow A'^\mu(x) = A^\mu(x) - \frac{1}{q}\partial^\mu\alpha(x)$$

- $\rightarrow$  Exercise: check that the Lagrangian now is invariant!
- What have we done?
  - We *insist* the electron can have a local phase factor  $\alpha(x)$  without changing the physics
  - We then *must* at the same time introduce a photon field  $A^\mu(x)$ , which couples to charge!  
 $\rightarrow$  *Gauge invariance implies interactions!*
- Remember gauge transformations EM field:  $A^\mu \rightarrow A'^\mu = A^\mu + \partial^\mu\lambda$  is same photon
  - $\lambda$  is coupled to the phase of the wave function of the electrons
- The same principle can also be used for weak and strong interactions: implement other symmetries

# Quantum Electrodynamics (QED)

- The free Dirac Lagrangian is:  $\mathcal{L}_{\text{free}} = i\bar{\psi}\gamma_{\mu}\partial^{\mu}\psi - m\bar{\psi}\psi$
- Introducing electromagnetism implies:  $\partial^{\mu} \rightarrow D^{\mu} \equiv \partial^{\mu} + iqA^{\mu}$
- Resulting in:  $\mathcal{L}_{EM} = i\bar{\psi}\gamma_{\mu}D^{\mu}\psi - m\bar{\psi}\psi$   
 $\mathcal{L}_{EM} = i\bar{\psi}\gamma_{\mu}\partial^{\mu}\psi - m\bar{\psi}\psi - q\bar{\psi}\gamma_{\mu}A^{\mu}\psi$   
 $\mathcal{L}_{EM} = \mathcal{L}_{\text{free}} - \mathcal{L}_{\text{int}}$  with  $\mathcal{L}_{\text{int}} = -J_{\mu}A^{\mu}$  and  $J_{\mu} = q\bar{\psi}\gamma_{\mu}\psi$
- Remember that the Dirac probability current was  $J_{\mu} = \bar{\psi}\gamma_{\mu}\psi$  such that we now have a charge current:  $J_{\mu} = q\bar{\psi}\gamma_{\mu}\psi$
- The system is described as free Lagrangian plus an interaction Lagrangian of the form: “current  $\times$  field”  $\mathcal{L}_{\text{int}} = -J_{\mu}A^{\mu}$

## Part 2

### Gauge Theory

#### 2b) Local Gauge Invariance

#### ii) Yang-Mills theories\* (Weak, Strong)

\* Note: this is a more technical part: focus on the concept involved; the precise mathematics is less important for now



# Yang Mills Theories

- QED is called a U(1) symmetry. It means that a 1-dimensional unitary transformation (the phase factor  $e^{i\alpha(x)}$ ) does not change the physics.
  - The unitary symmetry couples to the charge quantum number
- Let us require that the weak interaction can not differentiate between rotations in the space of “up-down”: Isospin.
- Rewrite  $\mathcal{L} = \bar{u}(i\gamma^\mu \partial_\mu - m)u + \bar{d}(i\gamma^\mu \partial_\mu - m)d$  where  $u$  (isospin up) and  $d$  (isospin down) are a doublet of spinor waves as follows:

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu I \partial_\mu - I m)\psi \quad \text{with } \psi = \begin{pmatrix} u \\ d \end{pmatrix} \text{ and } I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- We think of the “up” and “down” directions in weak isospin space

# SU2 Gauge Invariance

$$\text{EM was: } \psi(x) \rightarrow \psi'(x) = G_{EM}\psi(x) = e^{i\alpha(x)}\psi(x)$$

- We require gauge invariance:  $\psi(x) \rightarrow \psi'(x) = G(x)\psi(x)$  with  $G(x) = \exp\left(\frac{i}{2}\vec{\tau} \cdot \vec{\alpha}(x)\right)$ 
  - $\vec{\tau} = \tau_1, \tau_2, \tau_3$  are the Pauli Matrices
  - This is now a rotation in isospin space generated by 2x2 Pauli matrices!
- Just like QED there is the problem that the Lagrangian does not automatically stay invariant (just write it out), because:  $\partial_\mu\psi(x) \rightarrow \partial_\mu\psi'(x) = G(x)(\partial_\mu\psi) + \underbrace{(\partial_\mu G)\psi}_{\text{trouble}}$
- To solve this a corresponding covariant derivative must be introduced to keep the Lagrangian invariant:  $I\partial_\mu \rightarrow D_\mu = I\partial_\mu + igB_\mu$   $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ 
  - $g$  is the coupling constant that replaces charge  $q$  in QED and  $B_\mu$  is now a new vector force field that replaces  $A_\mu$  of QED.
  - The object  $B_\mu$  is now a 2x2 matrix:  $B_\mu = \frac{1}{2}\vec{\tau} \cdot \vec{b}_\mu = \frac{1}{2}\tau_1^a b_\mu^a = \frac{1}{2} \begin{pmatrix} b_3 & b_1 - ib_2 \\ b_1 + ib_2 & -b_3 \end{pmatrix}$   
 $\vec{b}_\mu = (b_1, b_2, b_3)$  are now three new gauge fields
  - We need 3 instead of one, because there are three generators of 2x2 rotations
- We now get the desired behaviour if :  $D_\mu\psi(x) \rightarrow D'_\mu\psi'(x) = G(x)(D_\mu\psi)$

# Gauge transformation for $B_\mu$ field – (for experts)

- We get the desired behaviour if:  $D_\mu \psi(x) \rightarrow D'_\mu \psi'(x) = G(x)(D_\mu \psi)$
- The left side of this equation is: 
$$D'_\mu \psi'(x) = (\partial_\mu + igB'_\mu)\psi' \\ = G(\partial_\mu \psi) + (\partial_\mu G)\psi + ig B'_\mu (G\psi)$$
- While the right hand side is:  $G(D_\mu \psi) = G(\partial_\mu \psi) + ig G B_\mu \psi$
- So the required transformation of the field is:  $igB'_\mu(G\psi) = igG(B_\mu \psi) - (\partial_\mu G)\psi$
- Multiply the equation by  $G^{-1}$  on the right (and omitting  $\psi$ ):  $B'_\mu = GB_\mu G^{-1} + \frac{i}{g} (\partial_\mu G)G^{-1}$
- Compare this to the case of electromagnetism where  $G_{em} = e^{i\alpha(x)}$  gives:

$$A'_\mu = G_{em} A G_{em}^{-1} + \frac{i}{g} (\partial_\mu G_{em}) G_{em}^{-1} = A_\mu - \frac{1}{q} \partial_\mu \alpha$$

... which is exactly what we had before.

# Interpretation: weak Interaction

- We try to describe an interaction with a symmetry between two states:
  - “up” and “down” states with invariance under SU2 rotations
- To do this requires the existence of three force fields, related to the gauge field:  $\vec{B}_\mu$ 
  - What are they?
  - They must be three massless bosons, similar to the photon, that couple to “up” and “own” states.
    - They are the  $W^-$ ,  $Z^0$ ,  $W^+$  bosons.
    - How come they have a mass (unlike the photon?)  $\rightarrow$  Higgs mechanism
- Again the interaction Lagrangian will be of the form “current  $\times$  field:”  $\vec{J}_\mu \vec{b}^\mu$ , where the current is now:  $J_\mu = \frac{g}{2} \bar{\psi} \gamma_\mu \vec{\tau} \psi$  (for EM it was:  $J_\mu = q \bar{\psi} \gamma_\mu \psi$ )
- The “up” and “down” states are  $\psi = \begin{pmatrix} u \\ d \end{pmatrix}$  and  $\psi = \begin{pmatrix} \nu \\ e \end{pmatrix}$  and we describe the *weak interaction*.
- How about the *strong interaction*?

# The strong interaction

- The “charge” of the strong interaction is “colour”
- The wave function of a quark has three components:
  - $\psi = \begin{pmatrix} \psi_r \\ \psi_g \\ \psi_b \end{pmatrix}$  ; Require a symmetry generated by 3x3 rotations in 3-dim color space: SU(3)
- There are 8 generator matrices  $\lambda_i$  and as a consequence there are 8 vector fields needed to keep the Lagrangian invariant
  - There exist 8 gluons, related to:

$$\begin{aligned} \lambda_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \\ \lambda_5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} & \lambda_6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} & \lambda_7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & -i & 0 \end{pmatrix} & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{aligned}$$

# The Standard Model

- The Standard Model implements *local gauge invariance* at the same time to
  - Electromagnetism (U(1) symmetry transformations)  $\rightarrow$  1 photon
  - Weak interaction (SU(2) symmetry transformations)  $\rightarrow$  3 weak bosons
  - Strong interaction (SU(3) symmetry transformations)  $\rightarrow$  8 gluons
- The SM gauge group is  $SU(3) \otimes SU(2) \otimes U(1)$
- For an exact symmetry the force particles should be massless.
  - $SU(3)$  is exact  $\rightarrow$  massless gluons
  - $SU(2) \otimes U(1)$  is an approximate (ie “broken”) symmetry.
    - It is broken in the Higgs mechanism such that there remains one massless boson (photon) and three massive particles ( $W^-$ ,  $Z^0$ ,  $W^+$ ).

# Lecture 3: Discussion Topics

Discussions Topics belonging to  
Lecture 3

- Explain the difference between Lorentz indices and Dirac indices
- Is  $\gamma^\mu$  a four-vector? Why (not)?
- Is  $j^\mu = \bar{\psi}\gamma^\mu\psi$  a four-vector? Why (not)?



- Explain the difference between helicity and chirality
  - How is each one defined?
- Which of the two is Lorentz invariant?
- Which one of the two do we refer to when talking about the left or right *handedness* of a particle?

# Topic-8: Helicity vs Chirality – background information

- a) Write out the chirality operator  $\gamma^5$  in the Dirac-Pauli representation.
- b) The helicity operator is defined as  $\lambda = \frac{1}{2} \vec{\Sigma} \cdot \hat{p}$ . Show that helicity operator and the chirality operator have the same effect on a spinor solution, i.e.

$$\gamma^5 \psi = \gamma^5 \begin{pmatrix} \chi^{(s)} \\ \frac{\vec{\sigma} \cdot \vec{p}}{E + m} \chi^{(s)} \end{pmatrix} \approx \lambda \begin{pmatrix} \chi^{(s)} \\ \frac{\vec{\sigma} \cdot \vec{p}}{E + m} \chi^{(s)} \end{pmatrix} = \lambda \psi \quad \text{with: } \chi^{(1)} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}; \chi^{(2)} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

in the relativistic limit where  $E \gg m$

- c) Show explicitly that for a Dirac spinor:

$$\bar{\psi} \gamma^\mu \psi = \bar{\psi}_L \gamma^\mu \psi_L + \bar{\psi}_R \gamma^\mu \psi_R \text{ making use of } \psi = \psi_L + \psi_R \text{ and the projection operators: } \psi_L = \frac{1}{2} (1 - \gamma^5) \text{ and } \psi_R = \frac{1}{2} (1 + \gamma^5)$$

- d) Explain why the weak interaction is called left-handed.

*“I cannot believe God is a weak left-hander.”*

Wolfgang Pauli



# Topic-9: Maxwell's equation

- Maxwell's equations can be described relativistically with the 4-vector field  $A^\mu$ .
- Show how you get  $E$  and  $B$  fields from  $A^\mu$
- Explain the concept of gauge invariance.
- Is the  $A^\mu$  field physical or not?
- The photon is a spin-1 quantum, but why can it not have a spin-0 component?

# Topic-9: The photon field and gauge invariance

- Field  $A^\mu$  is just introduced as a mathematical tool
  - Gauge freedom: you are free to choose any  $A^\mu$  as long as  $\vec{E}$  and  $\vec{B}$  fields don't change:

$$A^\mu \rightarrow A'^\mu = A^\mu + \partial^\mu \lambda$$
$$V \rightarrow V' = V + \frac{\partial \lambda}{\partial t}$$
$$\vec{A} \rightarrow \vec{A}' = \vec{A} - \vec{\nabla} \lambda$$

- Choose the Lorentz gauge condition:  $\partial_\mu A^\mu = 0$

- Maxwell equation in Lorentz gauge becomes:

$$\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu = j^\nu \quad \rightarrow \quad \partial_\mu \partial^\mu A^\nu = j^\nu$$

- Very similar to Klein-Gordon equation  $\partial_\mu \partial^\mu \phi + m^2 \phi = 0$ 
  - But now 4-equations  $\rightarrow$  4 polarizations states of the photon field??

- Photon field solutions:  $A^\mu(x) = N \varepsilon^\mu(p) e^{-ip_\nu x^\nu}$

- A gauge transformation implies:  $\varepsilon^\mu \rightarrow \varepsilon'^\mu = \varepsilon^\mu + a p^\mu$
- Different polarization vectors which differ by multiple of  $p^\mu$  describe same photon
  - Only 3 degrees of freedom remain  $\rightarrow$  3 polarization states: spin: -1, 0, 1  $\rightarrow$  choose  $\varepsilon^0 = 0$

- Mass of the photon is zero:

- Thus  $p^\mu p_\mu = 0 \rightarrow \varepsilon^\mu p_\mu \rightarrow \vec{\varepsilon} \cdot \vec{p} = 0$
- Now only two transverse polarization states remain: Chose  $\vec{p} = (0,0,p) \rightarrow \vec{\varepsilon}^1 = (1,0,0)$  and  $\vec{\varepsilon}^2 = (0,1,0)$

Exercises belonging to Lecture 3

# Exercise – 9: Dirac Algebra

- Dirac algebra:

- Write the explicit form of the  $\gamma$ -matrices
- Show that :  $\{\gamma^\mu, \gamma^\nu\} \equiv \gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2g^{\mu\nu}$
- Show that :  $(\gamma^0)^2 = \mathbb{1}_4$  ;  $(\gamma^1)^2 = (\gamma^2)^2 = (\gamma^3)^2 = -\mathbb{1}_4$
- Use anti-commutation rules of  $\alpha$  and  $\beta$  to show that:  $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$
- Define  $\gamma^5 = i\gamma^0 \gamma^1 \gamma^2 \gamma^3$  and show:  $\gamma^{5\dagger} = \gamma^5$  ;  $(\gamma^5)^2 = \mathbb{1}_4$  ;  $\{\gamma^5, \gamma^\mu\} = 0$

# Exercise – 10: Solutions of free Dirac equation

See Griffiths for a derivation of the solutions

a) Show that the following plane waves are solutions to Dirac's equation

$$\psi_1 = \begin{pmatrix} 1 \\ 0 \\ p_z/(E+m) \\ (p_x + ip_y)/(E+m) \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)} \quad ; \quad \psi_2 = \begin{pmatrix} 0 \\ 1 \\ (p_x - ip_y)/(E+m) \\ -p_z/(E+m) \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)}$$

Before KG:  
 $\phi = Ne^{-i(p_\mu x^\mu)}$

$$\psi_3 = \begin{pmatrix} p_z/(E-m) \\ (p_x + ip_y)/(E-m) \\ 1 \\ 0 \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)} \quad ; \quad \psi_4 = \begin{pmatrix} (p_x - ip_y)/(E-m) \\ -p_z/(E-m) \\ 0 \\ 1 \end{pmatrix} e^{i(\vec{p}\cdot\vec{x}-Et)}$$

b) Write the Dirac equation for particle in rest (choose  $\vec{p} = 0$ ) and show that  $\psi_1$  and  $\psi_2$  are *positive energy* solutions:  $E = +\sqrt{\vec{p}^2 + m^2}$  whereas  $\psi_3$  and  $\psi_4$  are *negative energy* solutions:  $E = -\sqrt{\vec{p}^2 + m^2}$ .

c) Optional: Consider the *helicity* operator  $\vec{\sigma} \cdot \vec{p} = \sigma_x p_x + \sigma_y p_y + \sigma_z p_z$  and show that  $\psi_1$  corresponds to *positive helicity* solution and  $\psi_2$  to *negative helicity*. Similarly for  $\psi_3$  and  $\psi_4$ .

# Spin and Helicity – hint for exercise 10c)

- For a given momentum  $p$  there still is a *two-fold degeneracy*: what differentiates solutions  $\psi_1$  from  $\psi_2$ ?
- Define the spin operator for Dirac spinors:  $\vec{\Sigma} = \begin{pmatrix} \vec{\sigma} & 0 \\ 0 & \vec{\sigma} \end{pmatrix}$ , where  $\vec{\sigma}$  are the three 2x2 Pauli spin matrices

- Define **helicity**  $\lambda$  as spin “up”/”down” wrt direction of motion of the particle

$$\lambda = \frac{1}{2} \vec{\Sigma} \cdot \hat{p} \equiv \frac{1}{2} \begin{pmatrix} \vec{\sigma} \cdot \hat{p} & 0 \\ 0 & \vec{\sigma} \cdot \hat{p} \end{pmatrix} = \frac{1}{2|p|} (\sigma_x p_x + \sigma_y p_y + \sigma_z p_z)$$

- Split off the Energy and momentum part of Dirac’s equation:  $(i\gamma^\mu \partial_\mu - m)\psi = 0$

$$\left[ \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} E - \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} p^i - \begin{pmatrix} I & 0 \\ 0 & I \end{pmatrix} m \right] \begin{pmatrix} \psi_A \\ \psi_B \end{pmatrix} = 0$$

- Exercise: Try solutions  $\psi_1$  and  $\psi_2$  to see they are **helicity eigenstates** with  $\lambda = +1/2$  and  $\lambda = -1/2$
- Dirac wanted to solve negative energies and he found spin- $\frac{1}{2}$  fermions!



- Scalar Field (spin 0 “pion”)

a) Show that the Euler-Lagrange equations for  $\mathcal{L} = \frac{1}{2}(\partial_\mu\phi)(\partial^\mu\phi) - \frac{1}{2}m^2\phi^2$  results in the Klein-Gordon equation

- Dirac Field (spin ½ Fermion)

b) Show that the Euler-Lagrange equations for  $\mathcal{L} = i\bar{\psi}\gamma_\mu\partial^\mu\psi - m\bar{\psi}\psi$  results in the Dirac equation

- Electromagnetic field (spin 1 photon)

c) Show that  $\mathcal{L} = -\frac{1}{4}(\partial^\mu A^\nu - \partial^\nu A^\mu)(\partial_\mu A_\nu - \partial_\nu A_\mu) - j^\mu A_\mu$  results in Maxwell's equations

These Lagrangians are the fundamental objects in quantum field theory

Descriptions of interactions follow from symmetry principles on these objects.

- We insist that the Lagrangian does not change and invent a “covariant” derivative:
  - Replace in  $i\bar{\psi}\gamma_{\mu}\partial^{\mu}\psi - m\bar{\psi}\psi$  the derivative by:  $\partial^{\mu} \rightarrow D^{\mu} \equiv \partial^{\mu} + iqA^{\mu}$
  - Require that the vector field  $A^{\mu}$  transforms together with the particle wave  $\psi$

$$\psi(x) \rightarrow \psi'(x) = e^{iq\alpha(x)}\psi(x)$$

$$A^{\mu}(x) \rightarrow A'^{\mu}(x) = A^{\mu}(x) - \partial^{\mu}\alpha(x)$$

- → Exercise: check that the Lagrangian now is invariant!