

# Particle Physics II – CP violation

## *Lecture 2*

N. Tuning

# Plan

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- 1) Wed 12 Feb: Anti-matter + SM
- 2) Mon 17 Feb: CKM matrix + Unitarity Triangle
- 3) Wed 19 Feb: Mixing + Master eqs. +  $B^0 \rightarrow J/\psi K_s$
- 4) Mon 20 Feb: CP violation in  $B_{(s)}$  decays (I)
- 5) Wed 9 Mar: CP violation in  $B_{(s)}$  and K decays (II)
- 6) Mon 16 Mar: Rare decays + Flavour Anomalies
- 7) Wed 18 Mar: Exam

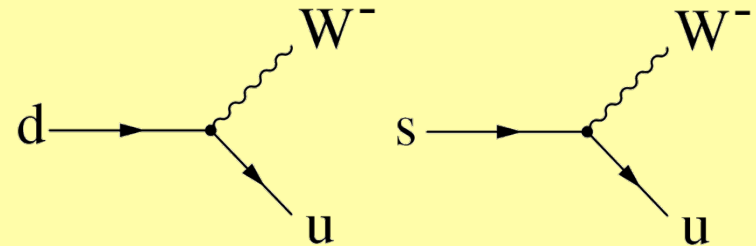
➤ Final Mark:

- if (mark > 5.5) mark = max(exam, 0.85\*exam + 0.15\*homework)
- else mark = exam

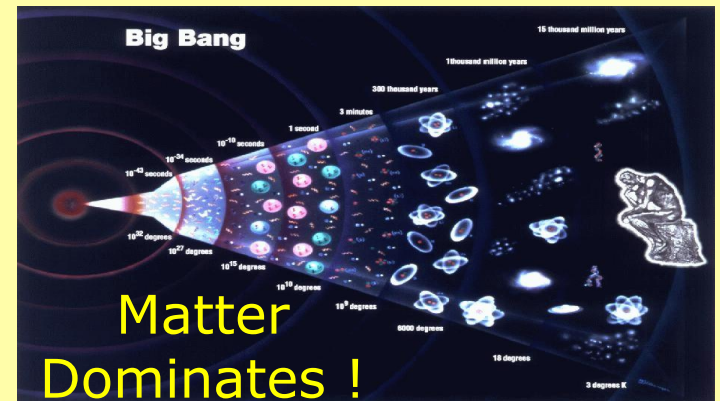
➤ In parallel: Lectures on Flavour Physics by prof.dr. R. Fleischer

# Recap: Motivation

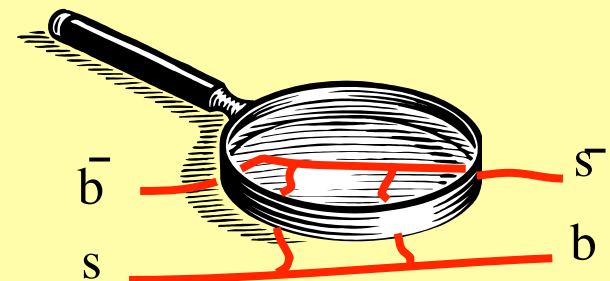
- CP-violation (or flavour physics) is about charged current interactions
- Interesting because:
  - 1) Standard Model:  
in the heart of quark interactions



- 2) Cosmology:  
related to matter – anti-matter asymmetry



- 3) Beyond Standard Model:  
measurements are sensitive to new particles



# Recap: Anti matter

- Dirac equation (1928)

- Find linear equation to avoid negative energies
- and that is relativistically correct

$$H\psi = (\vec{\alpha} \cdot \vec{p} + \beta m) \psi$$

$$(i\gamma^\mu \partial_\mu - m) \psi = 0$$

with :  $\gamma^\mu = (\beta, \beta\vec{\alpha}) \equiv$  Dirac  $\gamma$ -matrices

➤ Predict existence of anti-matter

- Positron discovered (1932)

- Anti matter research at CERN very active

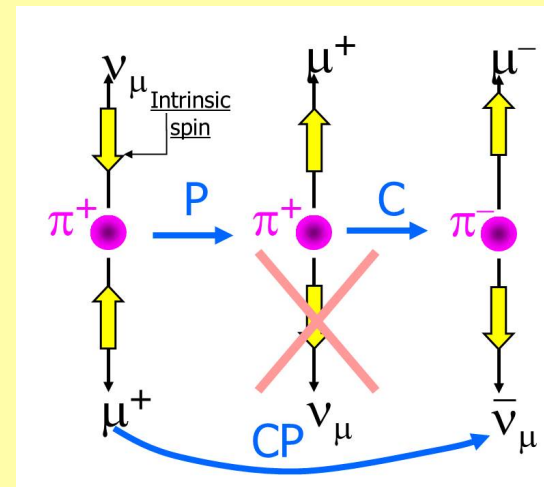
- 1980: 270 GeV anti protons for SppS
- 1995: 9 anti hydrogen atoms detected
- 2014: anti hydrogen *beam*
  - `` detection of 80 antihydrogen atoms 2.7 metres downstream of their production``

➤ Test CPT invariance: measure hyperfine structure and gravity

# Recap: C and P

- C and P maximally violated in weak decays
  - Wu experiment with  $^{60}\text{Co}$
  - Ledermann experiment with pion decay
  - Neutrino 's are lefthanded!
- C and P conserved in strong and EM interactions
  - C and P conserved quantities
  - C and P eigenvalues of particles

- Combined CP conserved?



# Fields: Notation

## Explicitly:

- The left handed quark doublet :

$$Q_{Li}^I(3, 2, 1/6) = \begin{pmatrix} u_r^I & u_g^I & u_b^I \\ d_r^I & d_g^I & d_b^I \end{pmatrix}_L, \begin{pmatrix} c_r^I & c_g^I & c_b^I \\ s_r^I & s_g^I & s_b^I \end{pmatrix}_L, \begin{pmatrix} t_r^I & t_g^I & t_b^I \\ b_r^I & b_g^I & b_b^I \end{pmatrix}_L \quad \begin{matrix} T_3 = +1/2 \\ T_3 = -1/2 \end{matrix} \quad (Y = 1/6)$$

- Similarly for the quark singlets:

$$u_{Ri}^I(3, 1, 2/3) = \begin{pmatrix} u_r^I & u_g^I & u_b^I \end{pmatrix}_R, \begin{pmatrix} c_r^I & c_g^I & c_b^I \end{pmatrix}_R, \begin{pmatrix} t_r^I & t_g^I & t_b^I \end{pmatrix}_R \quad (Y = 2/3)$$

$$d_{Ri}^I(3, 1, -1/3) = \begin{pmatrix} d_r^I & d_g^I & d_b^I \end{pmatrix}_R, \begin{pmatrix} s_r^I & s_g^I & s_b^I \end{pmatrix}_R, \begin{pmatrix} b_r^I & b_g^I & b_b^I \end{pmatrix}_R \quad (Y = -1/3)$$

- The left handed leptons:  $l_{Li}^I(1, 2, -1/2) = \begin{pmatrix} \nu_e^I \\ e^I \end{pmatrix}_L, \begin{pmatrix} \nu_\mu^I \\ \mu^I \end{pmatrix}_L, \begin{pmatrix} \nu_\tau^I \\ \tau^I \end{pmatrix}_L \quad \begin{matrix} T_3 = +1/2 \\ T_3 = -1/2 \end{matrix} \quad (Y = -1/2)$

- And similarly the (charged) singlets:  $e_{Ri}^I(1, 1, -1) = e_R^I, \mu_R^I, \tau_R^I \quad (Y = -1)$

# Weak interaction: parity violating (and not only for neutrinos!)

$$L_{kinetic} : i\bar{\psi}(\partial^\mu \gamma_\mu)\psi \rightarrow i\bar{\psi}(D^\mu \gamma_\mu)\psi$$

$$\text{with } \psi = Q_{Li}^I, u_{Ri}^I, d_{Ri}^I, L_{Li}^I, l_{Ri}^I$$

For example, the term with  $Q_{Li}^I$  becomes:

$$\begin{aligned} L_{kinetic}(Q_{Li}^I) &= i\overline{Q_{Li}^I}\gamma_\mu D^\mu Q_{Li}^I \\ &= i\overline{Q_{Li}^I}\gamma_\mu \left( \partial^\mu + \frac{i}{2}g_s G_a^\mu \lambda_a + \frac{i}{2}gW_b^\mu \tau_b + \frac{i}{6}g'B^\mu \right) Q_{Li}^I \end{aligned}$$

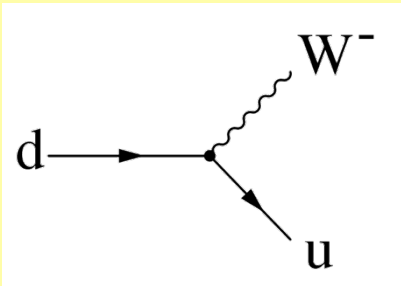
Only acts on the left-handed doublet!

$$\begin{aligned} \tau_1 &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \\ \tau_2 &= \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \\ \tau_3 &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \end{aligned}$$

# Recap: SM Lagrangian

- C and P violation in weak interaction
- How is weak (charged) interaction described in SM?

$$\mathcal{L}_{SM} = \mathcal{L}_{Kinetic} + \mathcal{L}_{Higgs} + \mathcal{L}_{Yukawa}$$



$$\mathcal{L}_{kinetic} : i\bar{\psi}(\partial^\mu \gamma_\mu)\psi \rightarrow i\bar{\psi}(D^\mu \gamma_\mu)\psi$$

$$\text{with } \psi = Q_{Li}^I, u_{Ri}^I, d_{Ri}^I, L_{Li}^I, l_{Ri}^I$$

$$\mathcal{L}_{Kinetic} = \frac{g}{\sqrt{2}} \bar{u}_{Li}^I \gamma^\mu W_\mu^- d_{Li}^I + \frac{g}{\sqrt{2}} \bar{d}_{Li}^I \gamma^\mu W_\mu^+ u_{Li}^I + \dots$$

$$-\mathcal{L}_{Yuk} = Y_{ij}^d (\bar{u}_L^I, \bar{d}_L^I)_i \begin{pmatrix} \varphi^+ \\ \varphi^0 \end{pmatrix} d_{Rj}^I + \dots$$

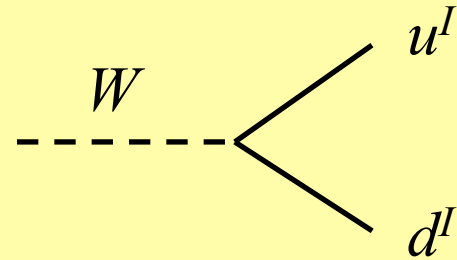


# Recap

$$L_{SM} = L_{Kinetic} + L_{Higgs} + L_{Yukawa}$$

$$-L_{Yuk} = Y_{ij}^d (\bar{u}_L^I, \bar{d}_L^I)_i \begin{pmatrix} \varphi^+ \\ \varphi^0 \end{pmatrix} d_{Rj}^I + \dots$$

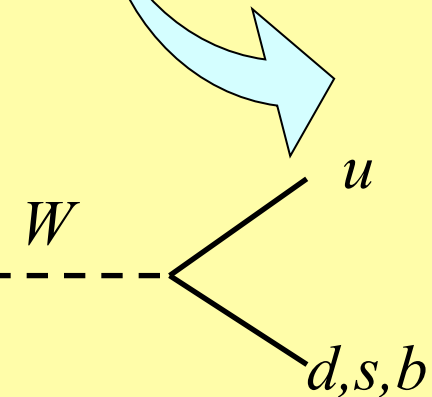
$$L_{Kinetic} = \frac{g}{\sqrt{2}} \bar{u}_{Li}^I \gamma^\mu W_\mu^- d_{Li}^I + \frac{g}{\sqrt{2}} \bar{d}_{Li}^I \gamma^\mu W_\mu^+ u_{Li}^I + \dots$$



Diagonalize Yukawa matrix  $Y_{ij}$

- Mass terms
- Quarks rotate
- Off diagonal terms in charged current couplings

$$\begin{pmatrix} d^I \\ s^I \\ b^I \end{pmatrix} \rightarrow V_{CKM} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



$$-L_{Mass} = (\bar{d}, \bar{s}, \bar{b})_L \begin{pmatrix} m_d & & \\ & m_s & \\ & & m_b \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_R + (\bar{u}, \bar{c}, \bar{t})_L \begin{pmatrix} m_u & & \\ & m_c & \\ & & m_t \end{pmatrix} \begin{pmatrix} u \\ c \\ t \end{pmatrix}_R + \dots$$

$$L_{CKM} = \frac{g}{\sqrt{2}} \bar{u}_i \gamma^\mu W_\mu^- V_{ij} (1 - \gamma^5) d_j + \frac{g}{\sqrt{2}} \bar{d}_j \gamma^\mu W_\mu^+ V_{ij}^* (1 - \gamma^5) u_i + \dots$$

$$L_{SM} = L_{CKM} + L_{Higgs} + L_{Mass}$$

# CKM matrix

$$\begin{aligned}
 -L_{Mass} &= (\bar{d}, \bar{s}, \bar{b})_L \begin{pmatrix} m_d & & \\ & m_s & \\ & & m_b \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_R + (\bar{u}, \bar{c}, \bar{t})_L \begin{pmatrix} m_u & & \\ & m_c & \\ & & m_t \end{pmatrix} \begin{pmatrix} u \\ c \\ t \end{pmatrix}_R + \dots \\
 L_{CKM} &= \frac{g}{\sqrt{2}} \bar{u}_i \gamma^\mu W_\mu^- V_{ij} (1 - \gamma^5) d_j + \frac{g}{\sqrt{2}} \bar{d}_j \gamma^\mu W_\mu^+ V_{ij}^* (1 - \gamma^5) u_i + \dots
 \end{aligned}$$

$$\begin{pmatrix} d^I \\ s^I \\ b^I \end{pmatrix} \rightarrow V_{CKM} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- CKM matrix: `rotates` quarks between different bases
- Describes charged current coupling of quarks (mass eigenstates)
- NB: weak interaction responsible for P violation
- What are the properties of the CKM matrix?
- What are the implications for CP violation?

## Ok.... We've got the CKM matrix, now what?

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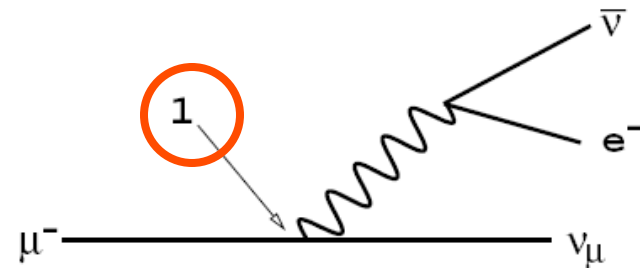
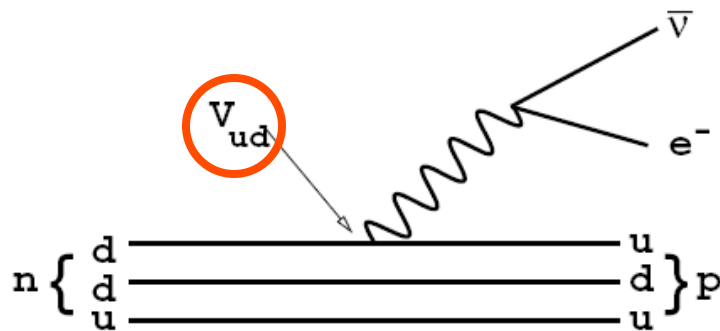
$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- It's *unitary*
  - “probabilities add up to 1”:
    - $d'=0.97 d + 0.22 s + 0.003 b$  ( $0.97^2+0.22^2+0.003^2=1$ )
- How many free parameters?
  - How many real/complex?
- How do we normally visualize these parameters?

# How do you measure those numbers?

- Magnitudes are typically determined from *ratio* of decay rates
- Example 1 – Measurement of  $V_{ud}$ 
  - Compare decay rates of neutron decay and muon decay
  - Ratio proportional to  $V_{ud}^2$
  - $|V_{ud}| = 0.97420 \pm 0.00021$
  - $V_{ud}$  of order 1

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

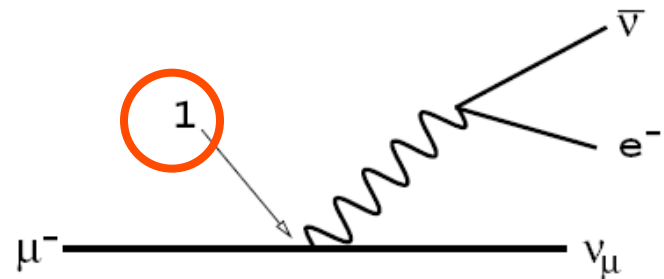
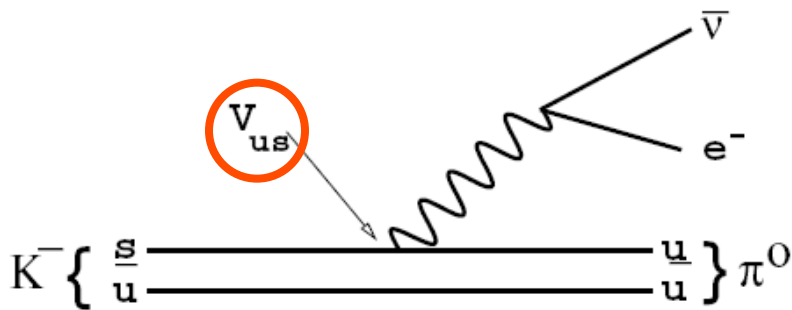


# How do you measure those numbers?

- Example 2 – Measurement of  $V_{us}$

- Compare decay rates of semileptonic K- decay and muon decay
- Ratio proportional to  $V_{us}^2$
- $|V_{us}| = 0.2243 \pm 0.0005$
- $V_{us} \equiv \sin(\theta_c)$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



$$\frac{d\Gamma(\bar{K}^0 \rightarrow \pi^+ e^- \bar{\nu}_e)}{dx_\pi} = \frac{G_F^2 m_K^5}{192\pi^2} |V_{us}|^2 f(q^2)^2 \left( x_\pi^2 - 4 \frac{m_\pi^2}{m_K^2} \right)^{3/2}, \quad x_\pi = \frac{2E_\pi}{m_K}$$

# How do you measure those numbers?

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- Example 3 – Measurement of  $V_{cs}$ 
  - $D_s$  decay:  $D_s^+ \rightarrow \mu^+ \nu$
  - Ratio proportional to  $V_{cs}^2$
  - $|V_{cs}| = 0.997 \pm 0.017$
  - $V_{cs} \sim 1$

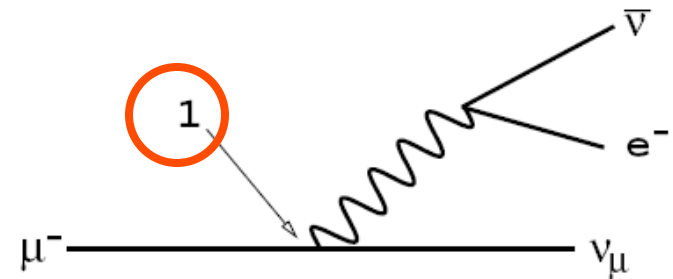
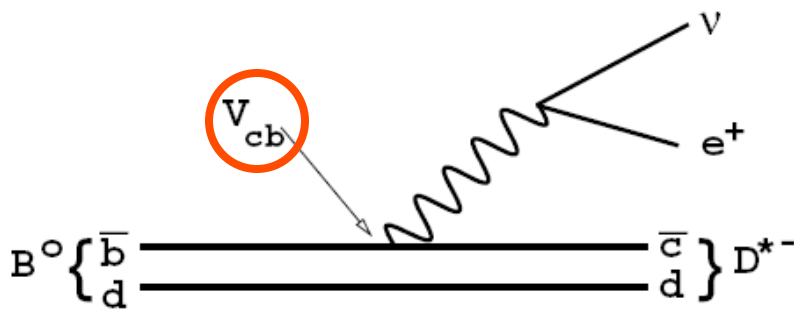
$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

# How do you measure those numbers?

- Example 4 – Measurement of  $V_{cb}$

- Compare decay rates of  $B^0 \rightarrow D^{*-l^+ \nu}$  and muon decay
- Ratio proportional to  $V_{cb}^2$
- $|V_{cb}| = 0.0422 \pm 0.0008$
- $V_{cb}$  is of order  $\sin(\theta_c)^2 [= 0.0484]$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



$$\frac{d\Gamma(b \rightarrow u_\alpha l^- \bar{\nu}_l)}{dx} = \frac{G_F^2 m_b^5}{192\pi^2} |V_{cb}|^2 \left( 2x^2 \left( \frac{1-x-\xi}{1-x} \right)^2 \left( 3 - 2x + \xi + \frac{2\xi}{1-x} \right) \right)$$

$$\alpha = u, c$$

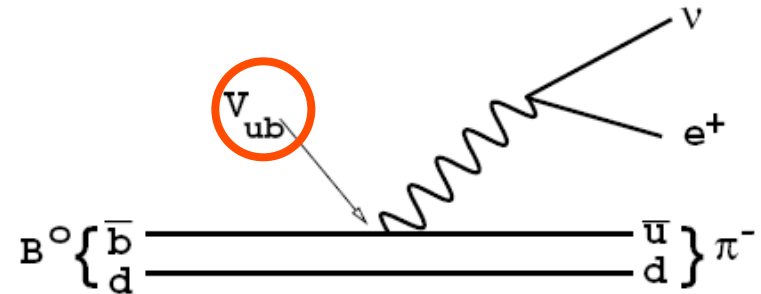
$$\xi = \frac{m_\alpha^2}{m_b^2}$$

$$x = \frac{2E_l}{m_b}$$

# How do you measure those numbers?

- Example 5 – Measurement of  $V_{ub}$ 
  - Decay rate of  $B^0 \rightarrow \pi^+ \nu$
  - Proportional to  $(V_{ub})^2$
  - $|V_{ub}| = 0.00394 \pm 0.00036$
  - $V_{ub}$  is of order  $\sin(\theta_c)^3 [= 0.01]$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

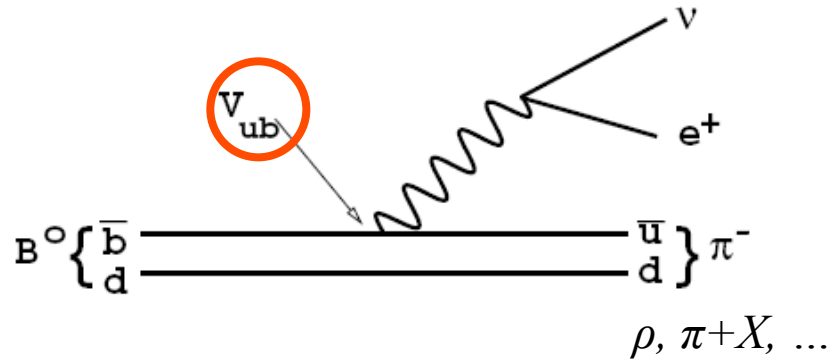
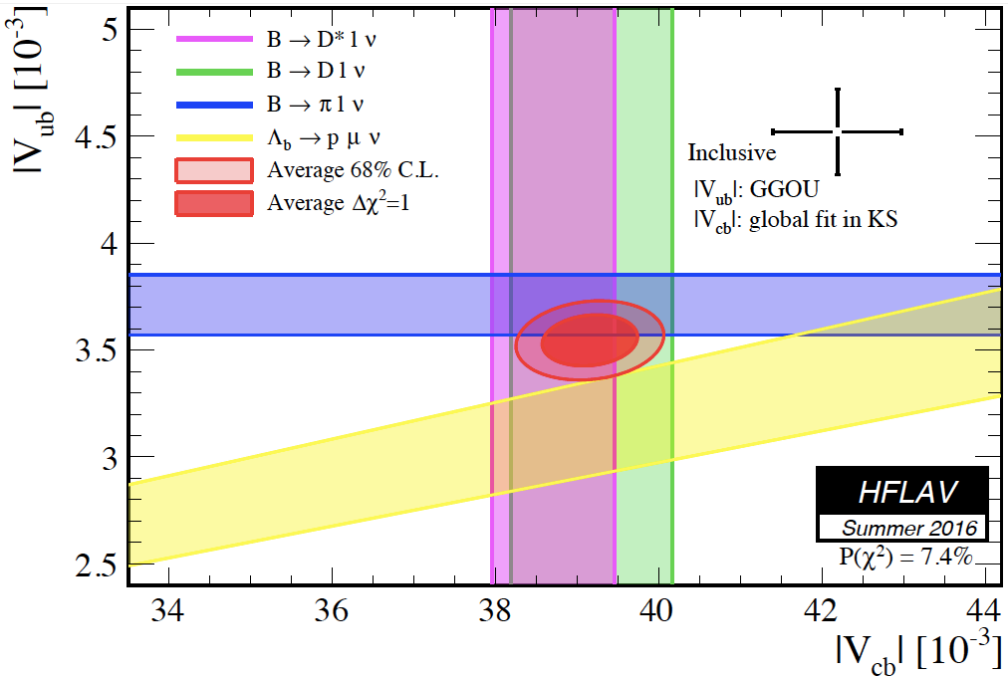




# How do you measure those numbers?

- Example 5 – Measurement of  $V_{ub}$ 
  - Decay rate of  $B^0 \rightarrow \pi^+ \nu$
  - Proportional to  $(V_{ub})^2$
  - $|V_{ub}| = 0.00394 \pm 0.00036$
  - $V_{ub}$  is of order  $\sin(\theta_c)^3 [= 0.01]$
  - Inclusive vs exclusive...?

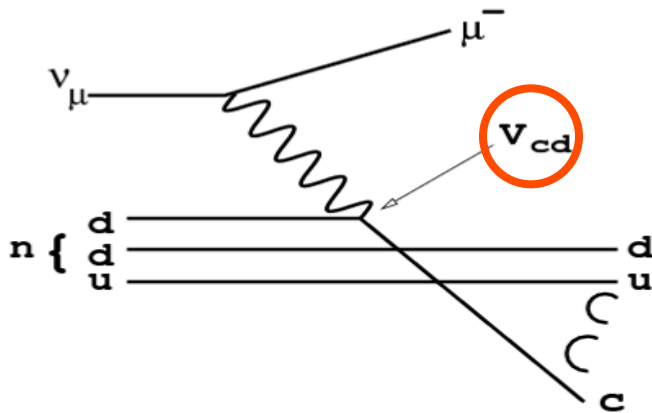
$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



# How do you measure those numbers?

- Example 6 – Measurement of  $V_{cd}$ 
  - Early measurement charm in DIS with neutrinos
  - Rate proportional to  $V_{cd}^2$
  - $|V_{cd}| = 0.218 \pm 0.004$
  - $V_{cb}$  is of order  $\sin(\theta_c)$  [= 0.24]

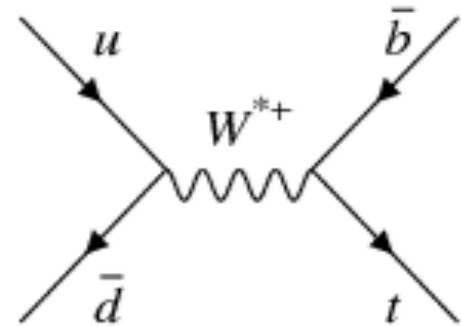
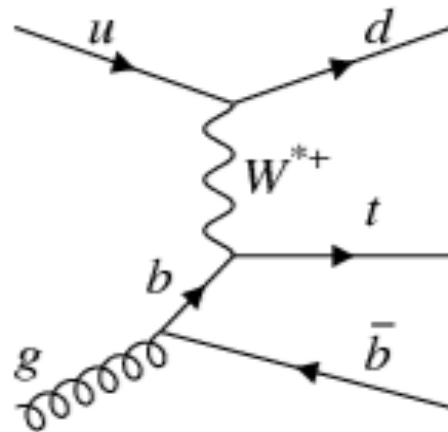
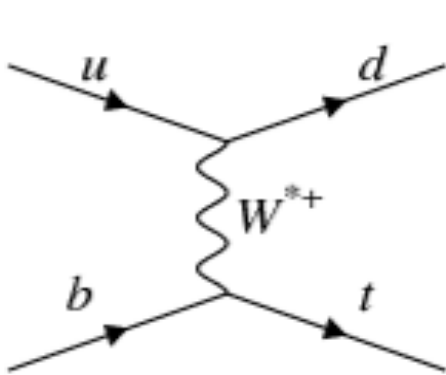
$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



# How do you measure those numbers?

- Example 7 – Measurement of  $V_{tb}$ 
  - Very recent measurement: March '09!
  - Single top production at Tevatron
  - CDF+D0+LHC:  $|V_{tb}| = 1.019 \pm 0.025$

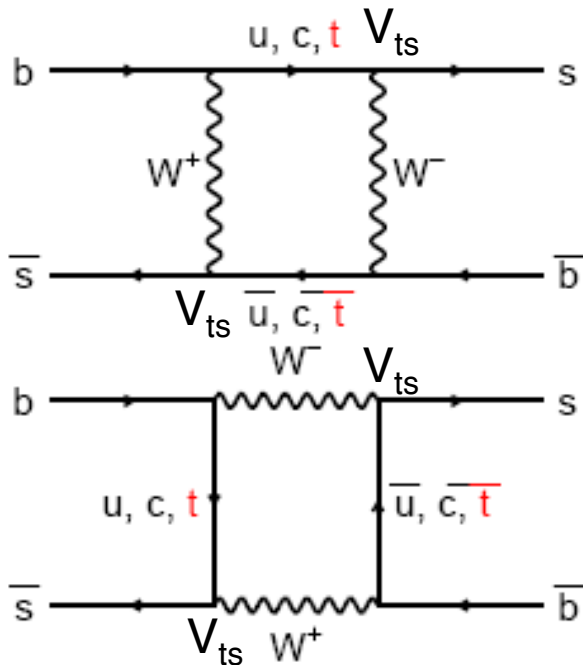
$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



# How do you measure those numbers?

- Example 8 – Measurement of  $V_{td}$ ,  $V_{ts}$ 
  - Cannot be measured from top-decay...
  - Indirect from loop diagram
  - $|V_{td}| = 0.0081 \pm 0.0005$
  - $|V_{ts}| = 0.0394 \pm 0.0023$
  - $|V_{td}/V_{ts}| = 0.210 \pm 0.008$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



## Ratio of frequencies for $B^0$ and $B_s$

$$\frac{\Delta m_s}{\Delta m_d} = \frac{m_{B_s}}{m_{B_d}} \frac{f_{B_s}^2 B_{B_s}}{f_{B_d}^2 B_{B_d}} \frac{|V_{ts}|^2}{|V_{td}|^2} = \frac{m_{B_s}}{m_{B_d}} \xi^2 \frac{|V_{ts}|^2}{|V_{td}|^2}$$

$$V_{ts} \sim \lambda^2$$

$$V_{td} \sim \lambda^3 \quad \rightarrow \quad \Delta m_s \sim (1/\lambda^2) \Delta m_d \sim 25 \Delta m_d$$

$$\xi = 1.239 \pm 0.046 \text{ from lattice QCD}$$

# What do we know about the CKM matrix?

- Magnitudes of elements have been measured over time
  - Result of a *large* number of measurements and calculations

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{pmatrix} = \begin{pmatrix} 0.97446 & 0.22452 & 0.00365 \\ 0.22438 & 0.97359 & 0.04214 \\ 0.00896 & 0.04133 & 0.99911 \end{pmatrix} \pm \begin{pmatrix} 0.00010 & 0.00044 & 0.00012 \\ 0.00044 & 0.00011 & 0.00076 \\ 0.00024 & 0.00974 & 0.00003 \end{pmatrix}$$

**Magnitude of elements shown only, no information of phase**

# What do we know about the CKM matrix?

- Magnitudes of elements have been measured over time
  - Result of a *large* number of measurements and calculations

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{pmatrix} \approx \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$










$$\lambda \approx \sin \theta_C = \sin \theta_{12} \approx 0.24$$

**Magnitude of elements shown only, no information of phase**

# Approximately diagonal form

- Values are strongly ranked:
  - Transition within generation favored
  - Transition from 1<sup>st</sup> to 2<sup>nd</sup> generation suppressed by  $\sin(\theta_c)$
  - Transition from 2<sup>nd</sup> to 3<sup>rd</sup> generation suppressed by  $\sin^2(\theta_c)$
  - Transition from 1<sup>st</sup> to 3<sup>rd</sup> generation suppressed by  $\sin^3(\theta_c)$

*CKM magnitudes*

	<i>d</i>	<i>s</i>	<i>b</i>
<i>u</i>			
<i>c</i>			
<i>t</i>			

$$\lambda = \sin(\theta_c) = 0.23$$

*Why the ranking?  
We don't know (yet)!*

*If you figure this out,  
you **will** win the nobel  
prize*

## Intermezzo: How about the leptons?

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- We now know that neutrinos also have flavour oscillations
  - Neutrinos have mass
  - Diagonalizing  $Y_{ij}^l$  doesn't come for free any longer

$$\begin{aligned}\mathcal{L}_{Yukawa} &= Y_{ij} \overline{\psi_{Li}} \phi \psi_{Rj} + h.c. \\ &= Y_{ij}^d \overline{Q_{Li}^I} \phi d_{Rj}^I + Y_{ij}^u \overline{Q_{Li}^I} \tilde{\phi} u_{Rj}^I + Y_{ij}^l \overline{L_{Li}^I} \phi l_{Rj}^I\end{aligned}$$

- thus there is the equivalent of a CKM matrix for them:
  - *Pontecorvo-Maki-Nakagawa-Sakata matrix*

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix} \quad \text{vs} \quad \begin{bmatrix} |d'\rangle \\ |s'\rangle \\ |b'\rangle \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} |d\rangle \\ |s\rangle \\ |b\rangle \end{bmatrix}.$$



# Intermezzo: How about the leptons?

- the equivalent of the CKM matrix
  - *Pontecorvo-Maki-Nakagawa-Sakata matrix*

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix} \quad \text{vs} \quad \begin{bmatrix} |d'\rangle \\ |s'\rangle \\ |b'\rangle \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} |d\rangle \\ |s\rangle \\ |b\rangle \end{bmatrix} .$$

- a completely different hierarchy!

$$U_{MNSP} \approx \begin{pmatrix} 0.82 & 0.55 & 0.15 \\ 0.37 & 0.57 & 0.70 \\ 0.39 & 0.59 & 0.69 \end{pmatrix}$$

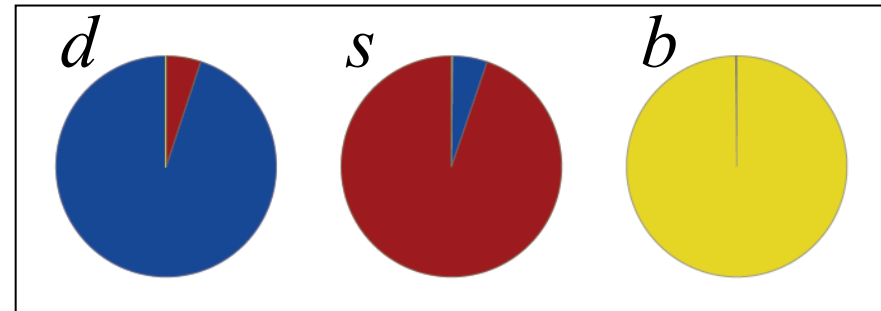
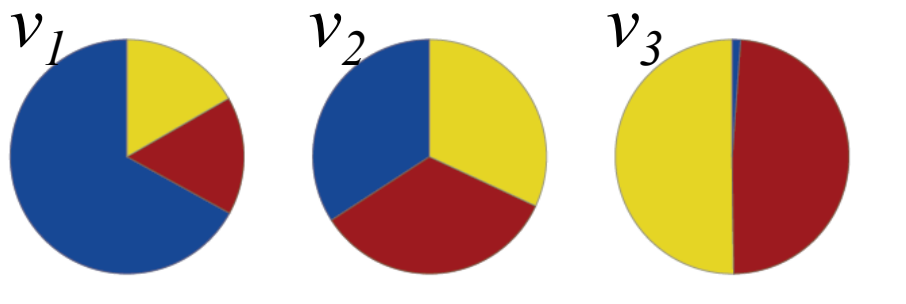
$$V_{CKM} = \begin{pmatrix} 0.97446 & 0.22452 & 0.00365 \\ 0.22438 & 0.97359 & 0.04214 \\ 0.00896 & 0.04133 & 0.99911 \end{pmatrix}$$

# Intermezzo: How about the leptons?

- the equivalent of the CKM matrix
  - Pontecorvo-Maki-Nakagawa-Sakata matrix*

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix} \quad \text{vs} \quad \begin{bmatrix} |d'\rangle \\ |s'\rangle \\ |b'\rangle \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} |d\rangle \\ |s\rangle \\ |b\rangle \end{bmatrix}.$$

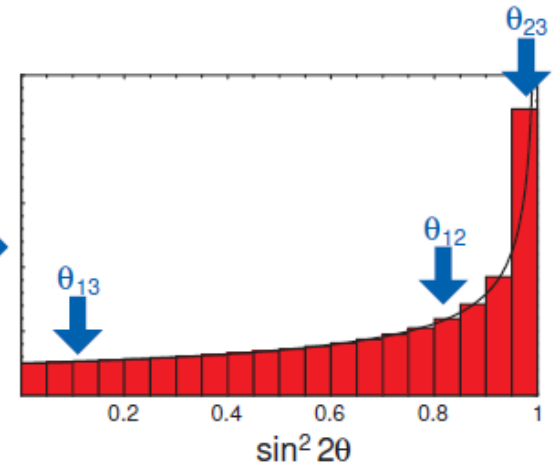
- a completely different  $\begin{pmatrix} |U_{e1}|^2 & |U_{e2}|^2 & |U_{e3}|^2 \\ |U_{\mu1}|^2 & |U_{\mu2}|^2 & |U_{\mu3}|^2 \\ |U_{\tau1}|^2 & |U_{\tau2}|^2 & |U_{\tau3}|^2 \end{pmatrix} \approx \begin{pmatrix} \frac{2}{3} & \frac{1}{3} & 0 \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{2} \\ \frac{1}{6} & \frac{1}{3} & \frac{1}{2} \end{pmatrix}$



# Intermezzo: what does the size tell us?

H.Murayama, 6 Jan 2014, [arXiv:1401.0966](https://arxiv.org/abs/1401.0966)

- Neutrino mixing due to 'anarchy':
- 'quite typical of the ones obtained by randomly drawing a mixing matrix from an unbiased distribution of unitary 3x3 matrices'



and found that it is 47% probable [21]! So we learned indeed that the neutrino masses and mixings do not require any deeper symmetries or new quantum numbers. On the other hand, quarks clearly do need additional input, which is yet to be understood.

Harrison, Perkins, Scott,  
Phys.Lett. B530 (2002) 167,

[hep-ph/0202074](https://arxiv.org/abs/hep-ph/0202074)

- Neutrino mixing due to underlying symmetry:

$$U_l = \begin{pmatrix} e & \mu & \tau \\ \frac{1}{\sqrt{3}} & \frac{\bar{\omega}}{\sqrt{3}} & \frac{\omega}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{3}} \\ \frac{1}{\sqrt{3}} & \frac{\omega}{\sqrt{3}} & \frac{\bar{\omega}}{\sqrt{3}} \end{pmatrix} \quad U_\nu = \begin{pmatrix} \nu_1 & \nu_2 & \nu_3 \\ \sqrt{\frac{1}{2}} & 0 & -\sqrt{\frac{1}{2}} \\ 0 & 1 & 0 \\ \sqrt{\frac{1}{2}} & 0 & \sqrt{\frac{1}{2}} \end{pmatrix} \quad (4)$$

i.e.  $U_l^\dagger M_l^2 U_l = \text{diag}(m_e^2, m_\mu^2, m_\tau^2)$  and  $U_\nu^\dagger M_\nu^2 U_\nu = \text{diag}(m_1^2, m_2^2, m_3^2)$ , so that the lepton mixing matrix (or MNS matrix)  $U = U_l^\dagger U_\nu$  is given by:

$$\begin{matrix} e \\ \mu \\ \tau \end{matrix} \begin{pmatrix} \frac{1}{\sqrt{3}} & \sqrt{\frac{1}{3}} & \frac{1}{\sqrt{3}} \\ \frac{\omega}{\sqrt{3}} & \sqrt{\frac{1}{3}} & \frac{\bar{\omega}}{\sqrt{3}} \\ \frac{\bar{\omega}}{\sqrt{3}} & \sqrt{\frac{1}{3}} & \frac{\omega}{\sqrt{3}} \end{pmatrix} \begin{pmatrix} \nu_1 & \nu_2 & \nu_3 \\ \sqrt{\frac{1}{2}} & 0 & -\sqrt{\frac{1}{2}} \\ 0 & 1 & 0 \\ \sqrt{\frac{1}{2}} & 0 & \sqrt{\frac{1}{2}} \end{pmatrix} = \begin{matrix} e \\ \mu \\ \tau \end{matrix} \begin{pmatrix} \nu_1 & \nu_2 & \nu_3 \\ \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\frac{i}{\sqrt{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \frac{i}{\sqrt{2}} \end{pmatrix} \quad (5)$$

# Back to business: quarks

---

We discussed magnitude.

Next is the imaginary part !

# Quark field re-phasing

Under a quark phase transformation:

$$u_{Li} \rightarrow e^{i\phi_{ui}} u_{Li} \quad d_{Li} \rightarrow e^{i\phi_{di}} d_{Li}$$

and a simultaneous rephasing of the CKM matrix:

$$V \rightarrow \begin{pmatrix} e^{-\phi_u} & & \\ & e^{-\phi_c} & \\ & & e^{-\phi_t} \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} e^{-\phi_d} & & \\ & e^{-\phi_s} & \\ & & e^{-\phi_b} \end{pmatrix} \quad \text{or } V_{\alpha j} \rightarrow \exp(i(\phi_j - \phi_\alpha)) V_{\alpha j}$$

the charged current  $J_{CC}^\mu = \overline{u_{Li}} \gamma^\mu V_{ij} d_{Lj}$  is left invariant.

Degrees of freedom in $V_{CKM}$ in	<u>3</u>	<u>N</u> generations
Number of real parameters:	<b>9</b>	<b>+ N<sup>2</sup></b>
Number of imaginary parameters:	<b>9</b>	<b>+ N<sup>2</sup></b>
Number of constraints ( $VV^\dagger = 1$ ):	<b>-9</b>	<b>- N<sup>2</sup></b>
Number of relative quark phases:	<b>-5</b>	<b>-(2N-1)</b>
-----		
Total degrees of freedom:	<b>4</b>	<b>(N-1)<sup>2</sup></b>
Number of Euler angles:	<b>3</b>	<b>N(N-1) / 2</b>
Number of CP phases:	<b>1</b>	<b>(N-1)(N-2) / 2</b>

**2** generations:

$$V_{CKM} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

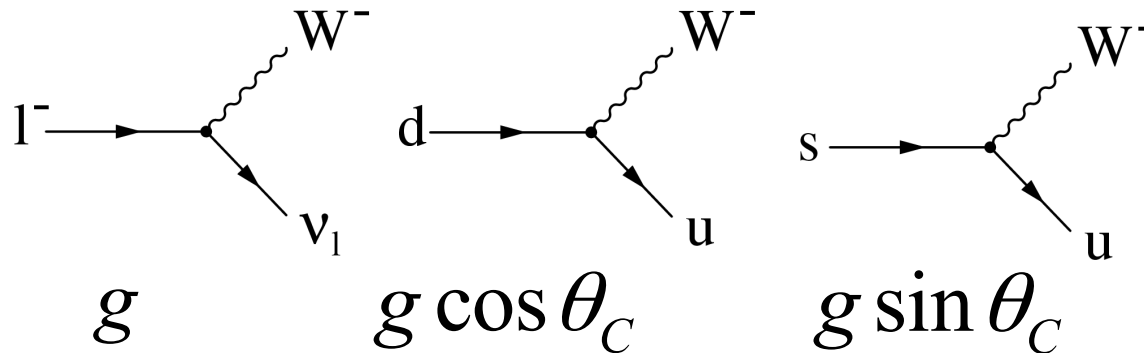
**No CP violation in SM!**  
 This is the reason Kobayashi and Maskawa first suggested a **3<sup>rd</sup>** family of fermions!

# First some history...

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# Cabibbos theory successfully correlated many decay rates

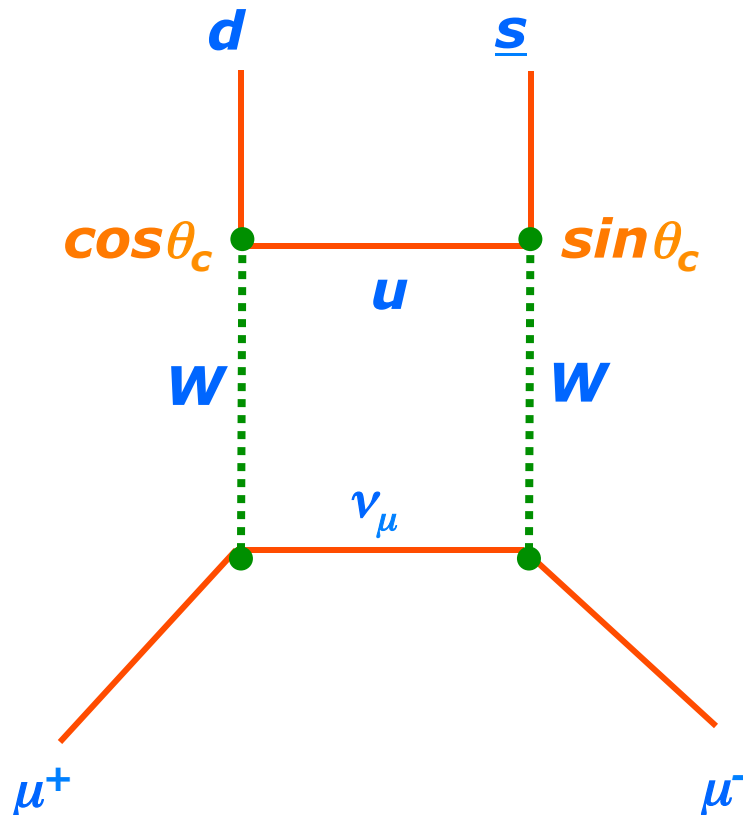
- Cabibbos theory successfully correlated many decay rates by counting the number of  $\cos\theta_C$  and  $\sin\theta_C$  terms in their decay diagram



$\Gamma(\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu) \propto g^4$	purely leptonic	} $\Gamma = \left  \sum_i A_i \right ^2$
$\Gamma(n \rightarrow pe^- \bar{\nu}_e) \propto g^4 \cos^2 \theta_C$	semi-leptonic, $\Delta S = 0$	
$\Gamma(\Lambda^0 \rightarrow pe^- \bar{\nu}_e) \propto g^4 \sin^2 \theta_C$	semi-leptonic, $\Delta S = 1$	

## Cabibbos theory successfully correlated many decay rates

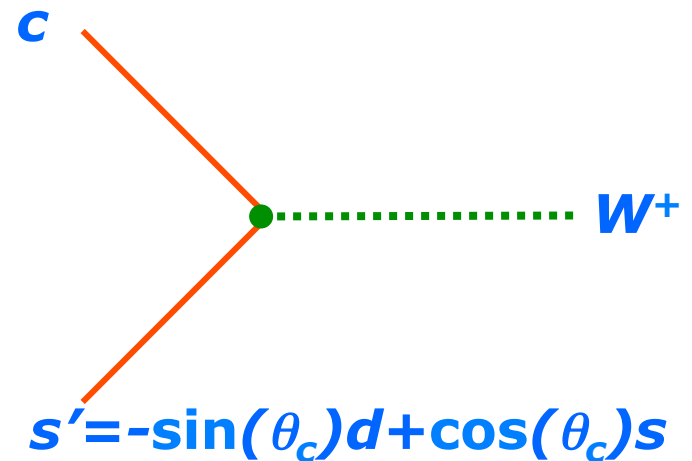
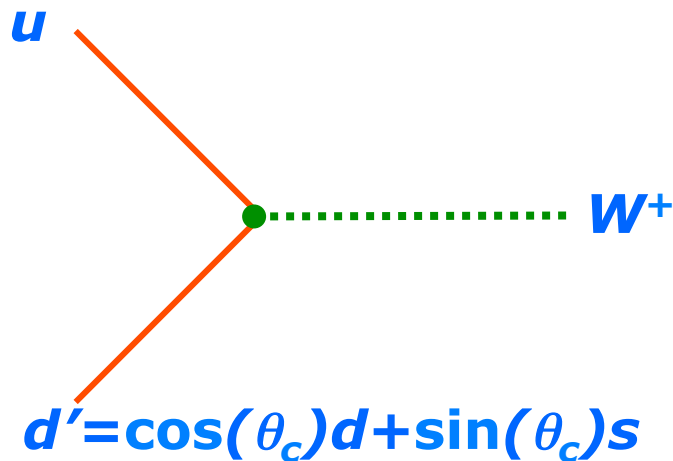
- There was however one major exception which Cabibbo could *not* describe:  $K^0 \rightarrow \mu^+ \mu^-$ 
  - Observed rate ***much*** lower than expected from Cabibbos rate correlations (expected rate  $\propto g^8 \sin^2 \theta_c \cos^2 \theta_c$ )





# The Cabibbo-GIM mechanism

- Solution to  $K^0$  decay problem in 1970 by Glashow, Iliopoulos and Maiani  $\rightarrow$  postulate existence of 4<sup>th</sup> quark
  - Two 'up-type' quarks decay into rotated 'down-type' states
  - Appealing symmetry between generations



$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

# The Cabibbo-GIM mechanism

*Phys.Rev.D2,1285,1970*

## Weak Interactions with Lepton-Hadron Symmetry\*

S. L. GLASHOW, J. ILIPOULOS, AND L. MAIANI†

*Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02139*

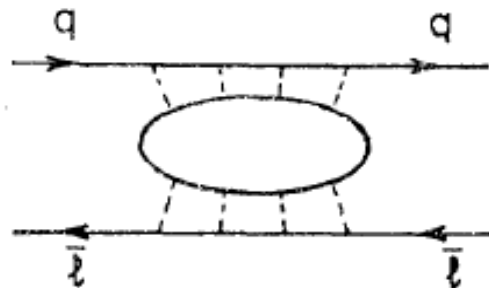
(Received 5 March 1970)

We propose a model of weak interactions in which the currents are constructed out of four basic quark fields and interact with a charged massive vector boson. We show, to all orders in perturbation theory, that the leading divergences do not violate any strong-interaction symmetry and the next to the leading divergences respect all observed weak-interaction selection rules. The model features a remarkable symmetry between leptons and quarks. The extension of our model to a complete Yang-Mills theory is discussed.

splitting, beginning at order  $G(G\Lambda^2)$ , as well as contributions to such unobserved decay modes as  $K_2 \rightarrow \mu^+ + \mu^-$ ,  $K^+ \rightarrow \pi^+ + l + \bar{l}$ , etc., involving neutral lepton

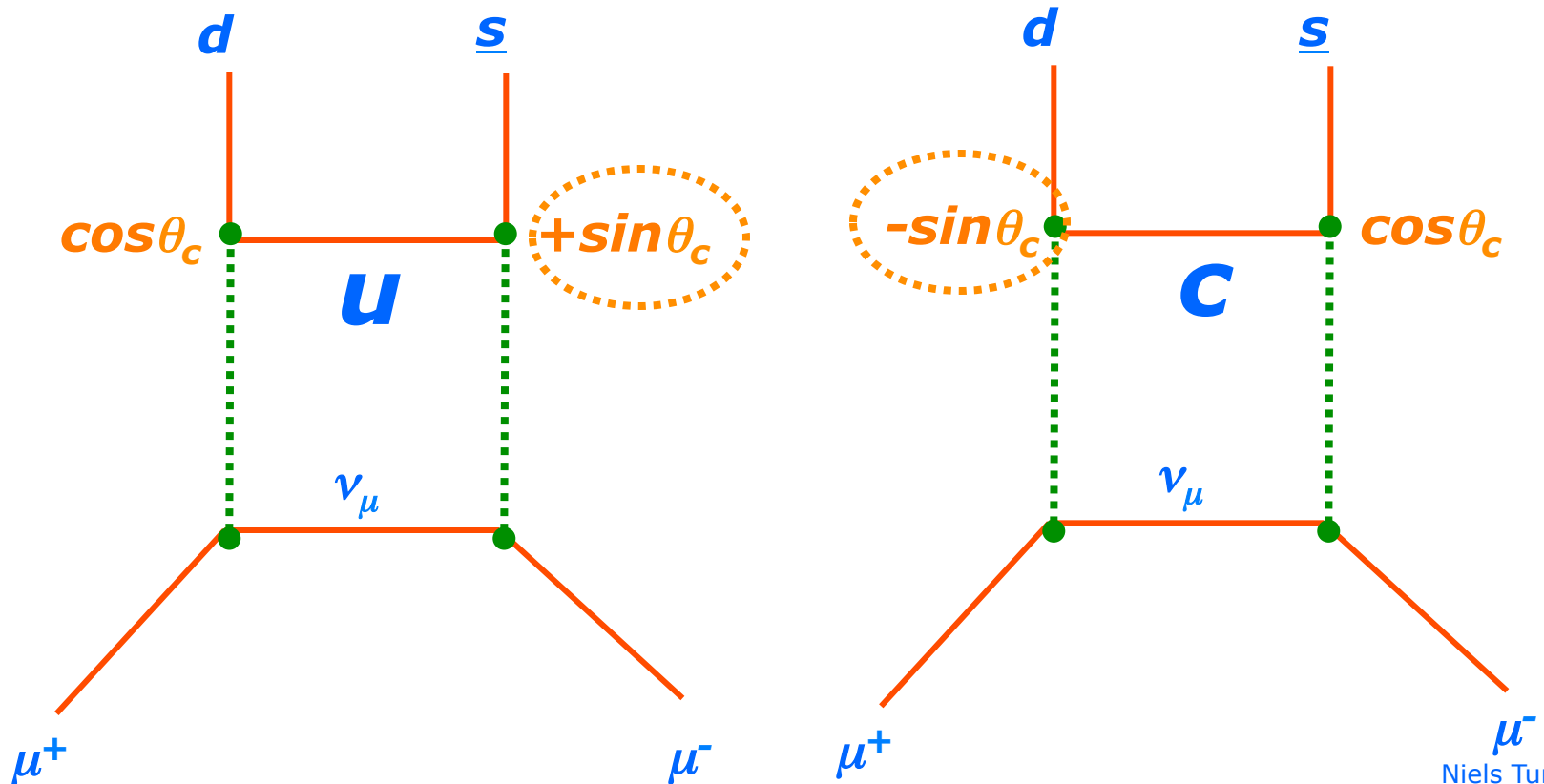
We wish to propose a simple model in which the divergences are properly ordered. Our model is founded in a quark model, but one involving four, not three, fundamental fermions; the weak interactions are medi-

new quantum number  $\mathcal{C}$  for charm.



# The Cabibbo-GIM mechanism

- How does it solve the  $K^0 \rightarrow \mu^+\mu^-$  problem?
  - Second decay amplitude added that is almost identical to original one, *but has relative minus sign*  $\rightarrow$  Almost fully destructive interference
  - Cancellation not perfect because u, c mass different



# Quark field re-phasing

Under a quark phase transformation:

$$u_{Li} \rightarrow e^{i\phi_{ui}} u_{Li} \quad d_{Li} \rightarrow e^{i\phi_{di}} d_{Li}$$

and a simultaneous rephasing of the CKM matrix:

$$V \rightarrow \begin{pmatrix} e^{-\phi_u} & & \\ & e^{-\phi_c} & \\ & & e^{-\phi_t} \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} e^{-\phi_d} & & \\ & e^{-\phi_s} & \\ & & e^{-\phi_b} \end{pmatrix} \quad \text{or } V_{\alpha j} \rightarrow \exp(i(\phi_j - \phi_\alpha)) V_{\alpha j}$$

In other words:

$$\begin{aligned} & \overline{(u, c, t)}_L \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L, \\ & = \\ & \overline{(u, c, t)}_L \begin{pmatrix} V_{ud} e^{-i\phi} & V_{us} & V_{ub} \\ V_{cd} e^{-i\phi} & V_{cs} & V_{cb} \\ V_{td} e^{-i\phi} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d e^{i\phi} \\ s \\ b \end{pmatrix}_L \end{aligned}$$

# Quark field re-phasing

Under a quark phase transformation:

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the charged current  $J_{CC}^\mu = \overline{u_{Li}} \gamma^\mu V_{ij} d_{Lj}$  is left invariant.

Degrees of freedom in  $V_{CKM}$  in 3 N generations

Number of real parameters:	<b>9</b>	<b>+ N<sup>2</sup></b>
Number of imaginary parameters:	<b>9</b>	<b>+ N<sup>2</sup></b>
Number of constraints ( $VV^\dagger = 1$ ):	<b>-9</b>	<b>- N<sup>2</sup></b>
Number of relative quark phases:	<b>-5</b>	<b>-(2N-1)</b>

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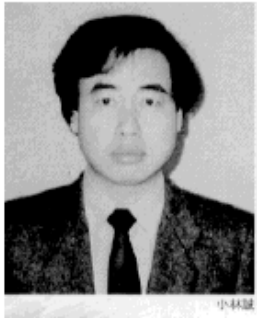
Total degrees of freedom:	<b>4</b>	<b>(N-1)<sup>2</sup></b>
Number of Euler angles:	<b>3</b>	<b>N(N-1) / 2</b>
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**2** generations:

$$V_{CKM} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

**No CP violation in SM!**  
 This is the reason Kobayashi and Maskawa first suggested a **3<sup>rd</sup>** family of fermions!

# Intermezzo: Kobayashi & Maskawa



Progress of Theoretical Physics, Vol. 49, No. 2, February 1973

## ***CP-Violation in the Renormalizable Theory of Weak Interaction***

Makoto KOBAYASHI and Toshihide MASKAWA

*Department of Physics, Kyoto University, Kyoto*



(Received September 1, 1972)

### *CP-Violation in the Renormalizable Theory of Weak Interaction* 657

Next we consider a 6-plet model, another interesting model of  $CP$ -violation. Suppose that 6-plet with charges  $(Q, Q, Q, Q-1, Q-1, Q-1)$  is decomposed into  $SU_{\text{weak}}(2)$  multiplets as  $2+2+2$  and  $1+1+1+1+1+1$  for left and right components, respectively. Just as the case of  $(A, C)$ , we have a similar expression for the charged weak current with a  $3 \times 3$  instead of  $2 \times 2$  unitary matrix in Eq. (5). As was pointed out, in this case we cannot absorb all phases of matrix elements into the phase convention and can take, for example, the following expression:

# Timeline:



Progress of Theoretical Physics, Vol. 49, No. 2, February 1973

## ***CP-Violation in the Renormalizable Theory of Weak Interaction***

Makoto KOBAYASHI and Toshihide MASKAWA

*Department of Physics, Kyoto University, Kyoto*



(Received September 1, 1972)

- Timeline:

- Sep 1972: Kobayashi & Maskawa predict **3** generations
- Nov 1974: Richter, Ting discover  $J/\psi$ : fill **2<sup>nd</sup>** generation
- July 1977: Ledermann discovers Y: discovery of **3<sup>rd</sup>** generation



## From 2 to 3 generations

---

- 2 generations:  $d' = 0.97 d + 0.22 s$  ( $\theta_c = 13^\circ$ )

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

- 3 generations:  $d' = 0.97 d + 0.22 s + 0.003 b$

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- NB: probabilities have to add up to 1:  $0.97^2 + 0.22^2 + 0.003^2 = 1$ 
  - → "Unitarity" !



## From 2 to 3 generations

---

- 2 generations:  $d' = 0.97 d + 0.22 s$  ( $\theta_c = 13^\circ$ )

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos \theta_c & \sin \theta_c \\ -\sin \theta_c & \cos \theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

- 3 generations:  $d' = 0.97 d + 0.22 s + 0.003 b$

Parameterization used by Particle Data Group (3 Euler angles, 1 phase):

$$V_{CKM} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} =$$

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix}$$

# Possible forms of 3 generation mixing matrix

- 'General' 4-parameter form ([Particle Data Group](#)) with three rotations  $\theta_{12}, \theta_{13}, \theta_{23}$  and one complex phase  $\delta_{13}$

-  $c_{12} = \cos(\theta_{12}), s_{12} = \sin(\theta_{12})$  etc...  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix}$$

- Another form ([Kobayashi & Maskawa's original](#))
  - Different but equivalent

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} c_1 & -s_1 c_3 & -s_1 s_3 \\ s_1 c_2 & c_1 c_2 c_3 - s_2 s_3 e^{i\delta} & c_1 c_2 s_3 + s_2 c_3 e^{i\delta} \\ s_1 s_2 & c_1 s_2 c_3 + c_2 s_3 e^{i\delta} & c_1 s_2 s_3 - c_2 c_3 e^{i\delta} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- Physics is independent of choice of parameterization!
  - But for any choice there will be **complex-valued** elements

# Possible forms of 3 generation mixing matrix

→ Different parametrizations! It's about phase *differences*!

Re-phasing V:

$$\overline{(u, c, t)}_L \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L,$$

=

$$\overline{(u, c, t)}_L \begin{pmatrix} V_{ud} e^{-i\phi} & V_{us} & V_{ub} \\ V_{cd} e^{-i\phi} & V_{cs} & V_{cb} \\ V_{td} e^{-i\phi} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d e^{i\phi} \\ s \\ b \end{pmatrix}_L$$



Parametrization	Useful relations
$P1: V = R_{12}(\theta) R_{23}(\sigma, \varphi) R_{12}^{-1}(\theta')$ $\begin{pmatrix} s_{\theta} s_{\theta'} c_{\sigma} + c_{\theta} c_{\theta'} e^{-i\varphi} & s_{\theta} c_{\theta'} c_{\sigma} - c_{\theta} s_{\theta'} e^{-i\varphi} & s_{\theta} s_{\sigma} \\ c_{\theta} s_{\theta'} c_{\sigma} - s_{\theta} c_{\theta'} e^{-i\varphi} & c_{\theta} c_{\theta'} c_{\sigma} + s_{\theta} s_{\theta'} e^{-i\varphi} & c_{\theta} s_{\sigma} \\ -s_{\theta'} s_{\sigma} & -c_{\theta'} s_{\sigma} & c_{\sigma} \end{pmatrix}$	$\mathcal{J} = s_{\theta} c_{\theta} s_{\theta'} c_{\theta'} s_{\sigma}^2 c_{\sigma} \sin \varphi$ $\tan \theta =  V_{ub}/V_{cb} $ $\tan \theta' =  V_{td}/V_{ts} $ $\cos \sigma =  V_{tb} $
$P2: V = R_{23}(\sigma) R_{12}(\theta, \varphi) R_{23}^{-1}(\sigma')$ $\begin{pmatrix} c_{\theta} & s_{\theta} c_{\sigma'} & -s_{\theta} s_{\sigma'} \\ -s_{\theta} c_{\sigma} & c_{\theta} c_{\sigma} c_{\sigma'} + s_{\sigma} s_{\sigma'} e^{-i\varphi} & -c_{\theta} c_{\sigma} s_{\sigma'} + s_{\sigma} c_{\sigma'} e^{-i\varphi} \\ s_{\theta} s_{\sigma} & -c_{\theta} s_{\sigma} c_{\sigma'} + c_{\sigma} s_{\sigma'} e^{-i\varphi} & c_{\theta} s_{\sigma} s_{\sigma'} + c_{\sigma} c_{\sigma'} e^{-i\varphi} \end{pmatrix}$	<b>KM</b> $\mathcal{J} = s_{\theta}^2 c_{\theta} s_{\sigma} c_{\sigma} s_{\sigma'} c_{\sigma'} \sin \varphi$ $\cos \theta =  V_{ud} $ $\tan \sigma =  V_{td}/V_{cd} $ $\tan \sigma' =  V_{ub}/V_{us} $
$P3: V = R_{23}(\sigma) R_{31}(\tau, \varphi) R_{12}(\theta)$ $\begin{pmatrix} c_{\theta} c_{\tau} & s_{\theta} c_{\tau} & s_{\tau} \\ -c_{\theta} s_{\sigma} s_{\tau} - s_{\theta} c_{\sigma} e^{-i\varphi} & -s_{\theta} s_{\sigma} s_{\tau} + c_{\theta} c_{\sigma} e^{-i\varphi} & s_{\sigma} c_{\tau} \\ -c_{\theta} c_{\sigma} s_{\tau} + s_{\theta} s_{\sigma} e^{-i\varphi} & -s_{\theta} c_{\sigma} s_{\tau} - c_{\theta} s_{\sigma} e^{-i\varphi} & c_{\sigma} c_{\tau} \end{pmatrix}$	<b>PDG</b> $\mathcal{J} = s_{\theta} c_{\theta} s_{\sigma} c_{\sigma} s_{\tau} c_{\tau}^2 \sin \varphi$ $\tan \theta =  V_{us}/V_{ud} $ $\tan \sigma =  V_{cb}/V_{tb} $ $\sin \tau =  V_{ub} $
$P4: V = R_{12}(\theta) R_{31}(\tau, \varphi) R_{23}^{-1}(\sigma)$ $\begin{pmatrix} c_{\theta} c_{\tau} & c_{\theta} s_{\sigma} s_{\tau} + s_{\theta} c_{\sigma} e^{-i\varphi} & c_{\theta} c_{\sigma} s_{\tau} - s_{\theta} s_{\sigma} e^{-i\varphi} \\ -s_{\theta} c_{\tau} & -s_{\theta} s_{\sigma} s_{\tau} + c_{\theta} c_{\sigma} e^{-i\varphi} & -s_{\theta} c_{\sigma} s_{\tau} - c_{\theta} s_{\sigma} e^{-i\varphi} \\ -s_{\tau} & s_{\sigma} c_{\tau} & c_{\sigma} c_{\tau} \end{pmatrix}$	$\mathcal{J} = s_{\theta} c_{\theta} s_{\sigma} c_{\sigma} s_{\tau} c_{\tau}^2 \sin \varphi$ $\tan \theta =  V_{cd}/V_{ud} $ $\tan \sigma =  V_{ts}/V_{tb} $ $\sin \tau =  V_{td} $
$P5: V = R_{31}(\tau) R_{12}(\theta, \varphi) R_{31}^{-1}(\tau')$ $\begin{pmatrix} c_{\theta} c_{\tau} c_{\tau'} + s_{\tau} s_{\tau'} e^{-i\varphi} & s_{\theta} c_{\tau} & -c_{\theta} c_{\tau} s_{\tau'} + s_{\tau} c_{\tau'} e^{-i\varphi} \\ -s_{\theta} c_{\tau'} & c_{\theta} & s_{\theta} s_{\tau'} \\ -c_{\theta} s_{\tau} c_{\tau'} + c_{\tau} s_{\tau'} e^{-i\varphi} & -s_{\theta} s_{\tau} & c_{\theta} s_{\tau} s_{\tau'} + c_{\tau} c_{\tau'} e^{-i\varphi} \end{pmatrix}$	$\mathcal{J} = s_{\theta}^2 c_{\theta} s_{\tau} c_{\tau} s_{\tau'} c_{\tau'} \sin \varphi$ $\cos \theta =  V_{cs} $ $\tan \tau =  V_{ts}/V_{us} $ $\tan \tau' =  V_{cb}/V_{cd} $
$P6: V = R_{12}(\theta) R_{23}(\sigma, \varphi) R_{31}(\tau)$ $\begin{pmatrix} -s_{\theta} s_{\sigma} s_{\tau} + c_{\theta} c_{\tau} e^{-i\varphi} & s_{\theta} c_{\sigma} & s_{\theta} s_{\sigma} c_{\tau} + c_{\theta} s_{\tau} e^{-i\varphi} \\ -c_{\theta} s_{\sigma} s_{\tau} - s_{\theta} c_{\tau} e^{-i\varphi} & c_{\theta} c_{\sigma} & c_{\theta} s_{\sigma} c_{\tau} - s_{\theta} s_{\tau} e^{-i\varphi} \\ -c_{\sigma} s_{\tau} & -s_{\sigma} & c_{\sigma} c_{\tau} \end{pmatrix}$	$\mathcal{J} = s_{\theta} c_{\theta} s_{\sigma} c_{\sigma}^2 s_{\tau} c_{\tau} \sin \varphi$ $\tan \theta =  V_{us}/V_{cs} $ $\sin \sigma =  V_{ts} $ $\tan \tau =  V_{td}/V_{tb} $
$P7: V = R_{23}(\sigma) R_{12}(\theta, \varphi) R_{31}^{-1}(\tau)$ $\begin{pmatrix} c_{\theta} c_{\tau} & s_{\theta} & -c_{\theta} s_{\tau} \\ -s_{\theta} c_{\sigma} c_{\tau} + s_{\sigma} s_{\tau} e^{-i\varphi} & c_{\theta} c_{\sigma} & s_{\theta} c_{\sigma} s_{\tau} + s_{\sigma} c_{\tau} e^{-i\varphi} \\ s_{\theta} s_{\sigma} c_{\tau} + c_{\sigma} s_{\tau} e^{-i\varphi} & -c_{\theta} s_{\sigma} & -s_{\theta} s_{\sigma} s_{\tau} + c_{\sigma} c_{\tau} e^{-i\varphi} \end{pmatrix}$	$\mathcal{J} = s_{\theta} c_{\theta}^2 s_{\sigma} c_{\sigma} s_{\tau} c_{\tau} \sin \varphi$ $\sin \theta =  V_{us} $ $\tan \sigma =  V_{ts}/V_{cs} $ $\tan \tau =  V_{ub}/V_{ud} $
$P8: V = R_{31}(\tau) R_{12}(\theta, \varphi) R_{23}(\sigma)$ $\begin{pmatrix} c_{\theta} c_{\tau} & s_{\theta} c_{\sigma} c_{\tau} - s_{\sigma} s_{\tau} e^{-i\varphi} & s_{\theta} s_{\sigma} c_{\tau} + c_{\sigma} s_{\tau} e^{-i\varphi} \\ -s_{\theta} & c_{\theta} c_{\sigma} & c_{\theta} s_{\sigma} \\ -c_{\theta} s_{\tau} & -s_{\theta} c_{\sigma} s_{\tau} - s_{\sigma} c_{\tau} e^{-i\varphi} & -s_{\theta} s_{\sigma} s_{\tau} + c_{\sigma} c_{\tau} e^{-i\varphi} \end{pmatrix}$	$\mathcal{J} = s_{\theta} c_{\theta}^2 s_{\sigma} c_{\sigma} s_{\tau} c_{\tau} \sin \varphi$ $\sin \theta =  V_{cd} $ $\tan \sigma =  V_{cb}/V_{cs} $ $\tan \tau =  V_{td}/V_{ud} $
$P9: V = R_{31}(\tau) R_{23}(\sigma, \varphi) R_{12}^{-1}(\theta)$ $\begin{pmatrix} -s_{\theta} s_{\sigma} s_{\tau} + c_{\theta} c_{\tau} e^{-i\varphi} & -c_{\theta} s_{\sigma} s_{\tau} - s_{\theta} c_{\tau} e^{-i\varphi} & c_{\sigma} s_{\tau} \\ s_{\theta} c_{\sigma} & c_{\theta} c_{\sigma} & s_{\sigma} \end{pmatrix}$	$\mathcal{J} = s_{\theta} c_{\theta} s_{\sigma} c_{\sigma}^2 s_{\tau} c_{\tau} \sin \varphi$ $\tan \theta =  V_{cd}/V_{cs} $ $\sin \sigma =  V_{cb} $

3 parameters:  $\theta, \tau, \sigma$   
1 phase:  $\varphi$

# Wolfenstein parameterization

---

$$\sin \theta_{12} = \lambda \quad (2.7)$$

$$\sin \theta_{23} = A\lambda^2 \quad (2.8)$$

$$\sin \theta_{13} e^{-i\delta_{13}} = A\lambda^3(\rho - i\eta) \quad (2.9)$$

where  $A$ ,  $\rho$  and  $\eta$  are numbers of order unity. The CKM matrix then becomes  $\mathcal{O}(\lambda^3)$ :

$$V_{CKM} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \delta V \quad (2.10)$$

3 real parameters:  $A, \lambda, \rho$

1 imaginary parameter:  $\eta$

# Wolfenstein parameterization

$$\sin \theta_{12} = \lambda \quad (2.7)$$

$$\sin \theta_{23} = A\lambda^2 \quad (2.8)$$

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The higher order terms in the Wolfenstein parametrization are of particular importance for the  $B_s$ -system, as we will see in chapter 4, because the phase in  $|V_{ts}|$  is only apparent at  $\mathcal{O}(\lambda^4)$ :

$$\delta V = \begin{pmatrix} -\frac{1}{8}\lambda^4 & 0 & 0 \\ \frac{1}{2}A^2\lambda^5(1 - 2(\rho + i\eta)) & -\frac{1}{8}\lambda^4(1 + 4A^2) & 0 \\ \frac{1}{2}A\lambda^5(\rho + i\eta) & \frac{1}{2}A\lambda^4(1 - 2(\rho + i\eta)) & -\frac{1}{2}A^2\lambda^4 \end{pmatrix} + \mathcal{O}(\lambda^6) \quad (2.11)$$

3 real parameters:  $A, \lambda, \rho$

1 imaginary parameter:  $\eta$

## Exploit apparent ranking for a convenient parameterization

- Given current experimental precision on CKM element values, we usually drop  $\lambda^4$  and  $\lambda^5$  terms as well
  - Effect of order 0.2%...

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}_L = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L$$

- Deviation of ranking of 1<sup>st</sup> and 2<sup>nd</sup> generation ( $\lambda$  vs  $\lambda^2$ ) parameterized in  $A$  parameter
- Deviation of ranking between 1<sup>st</sup> and 3<sup>rd</sup> generation, parameterized through  $|\rho - i\eta|$
- Complex phase parameterized in  $\arg(\rho - i\eta)$

## ~1995 What do we know about $A$ , $\lambda$ , $\rho$ and $\eta$ ?

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- Fit all known  $V_{ij}$  values to Wolfenstein parameterization and extract  $A$ ,  $\lambda$ ,  $\rho$  and  $\eta$

$$V_{CKM} = \begin{pmatrix} 0.97446 & 0.22452 & 0.00365 \\ 0.22438 & 0.97359 & 0.04214 \\ 0.00896 & 0.04133 & 0.99911 \end{pmatrix} \pm \begin{pmatrix} 0.00010 & 0.00044 & 0.00012 \\ 0.00044 & 0.00011 & 0.00076 \\ 0.00024 & 0.00974 & 0.00003 \end{pmatrix}$$

- Results for  $A$  and  $\lambda$  most precise (but don't tell us much about CPV)
  - $A = 0.83$ ,  $\lambda = 0.227$
- Results for  $\rho, \eta$  are usually shown in complex plane of  $\rho - i\eta$  for easier interpretation

# Deriving the triangle interpretation

- Starting point: the 9 unitarity constraints on the CKM matrix

$$V^\dagger V = \begin{pmatrix} V_{ud}^* & V_{cd}^* & V_{td}^* \\ V_{us}^* & V_{cs}^* & V_{ts}^* \\ V_{ub}^* & V_{cb}^* & V_{tb}^* \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$


- Pick (arbitrarily) orthogonality condition with  $(i,j)=(3,1)$

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$



# Deriving the triangle interpretation

- Starting point: the 9 unitarity constraints on the CKM matrix
  - 3 orthogonality relations

$$V^\dagger V = \begin{pmatrix} V_{ud}^* & V_{cd}^* & V_{td}^* \\ V_{us}^* & V_{cs}^* & V_{ts}^* \\ V_{ub}^* & V_{cb}^* & V_{tb}^* \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$


- Pick (arbitrarily) orthogonality condition with  $(i,j)=(3,1)$

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

$$V_{ud}^* V_{ub} + V_{cd}^* V_{cb} + V_{td}^* V_{tb} = 0$$

# Deriving the triangle interpretation

- Starting point: the 9 unitarity constraints on the CKM matrix

$$V^\dagger V = \begin{pmatrix} V_{ud}^* & V_{cd}^* & V_{td}^* \\ V_{us}^* & V_{cs}^* & V_{ts}^* \\ V_{ub}^* & V_{cb}^* & V_{tb}^* \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$


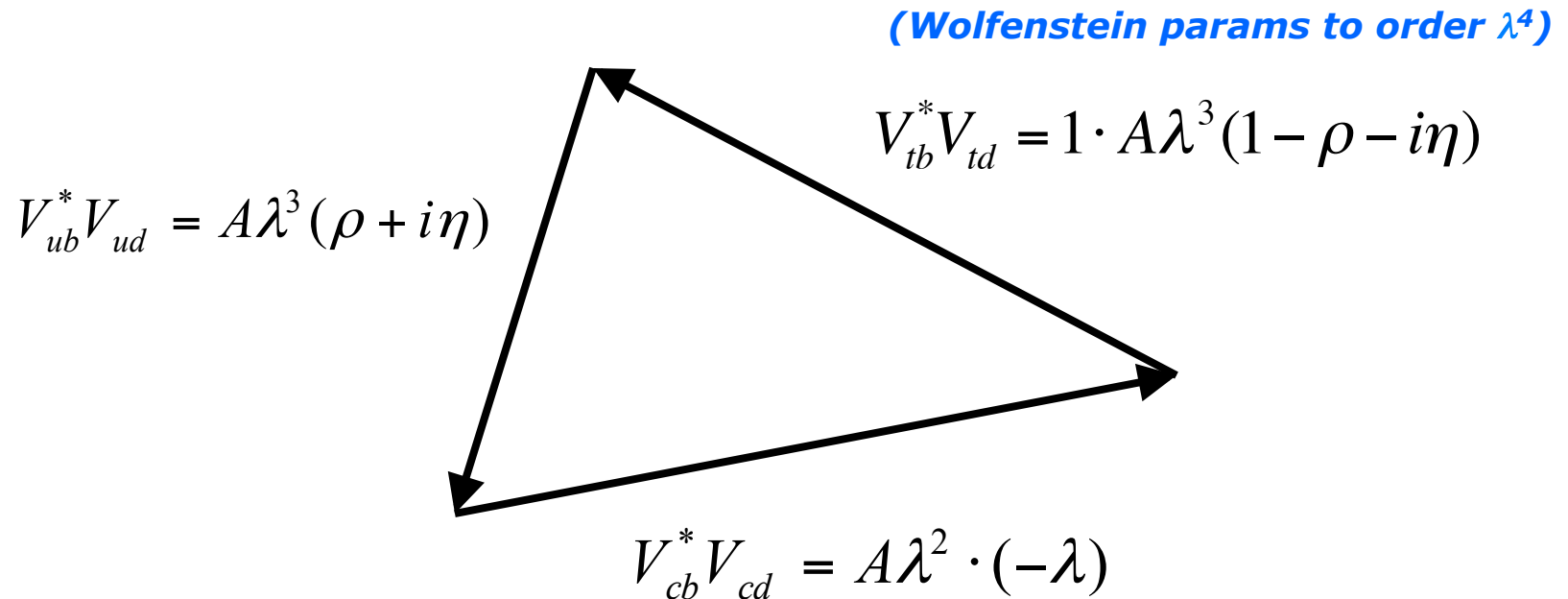
- Pick (arbitrarily) orthogonality condition with  $(i,j)=(3,1)$

$$V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$$

# Visualizing the unitarity constraint

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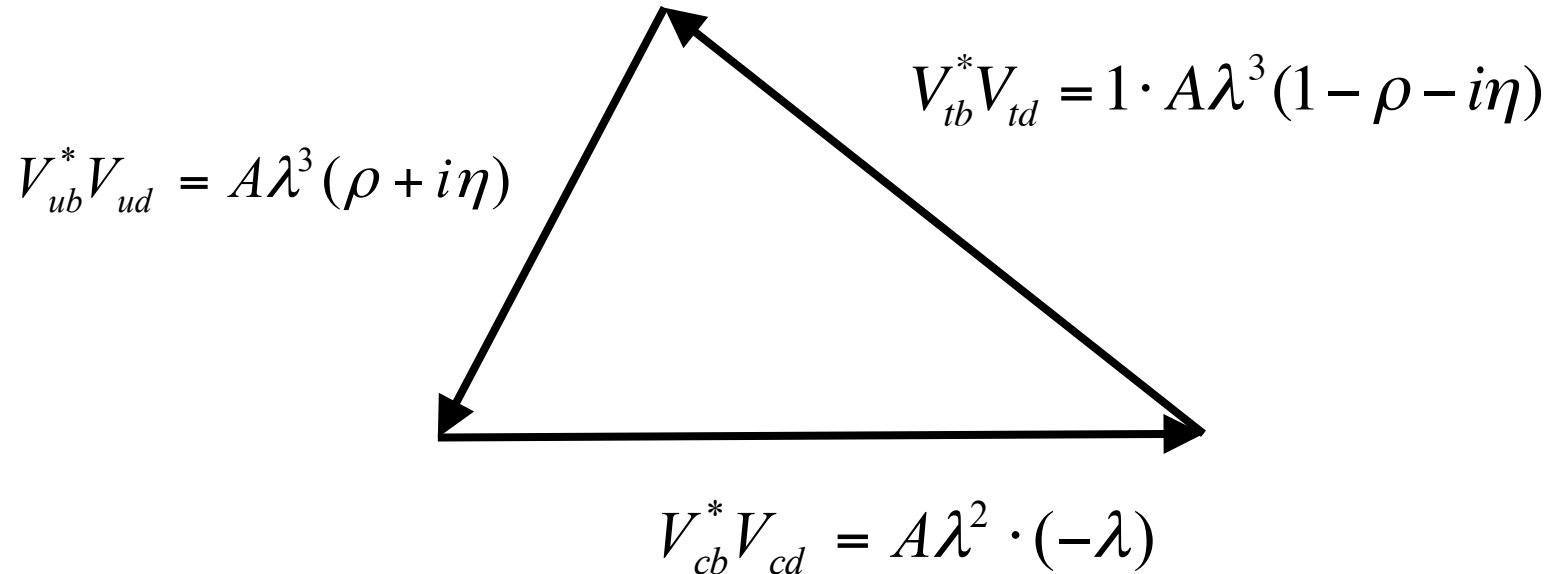
- Sum of three complex vectors is zero  $\rightarrow$   
Form triangle when put head to tail



# Visualizing the unitarity constraint

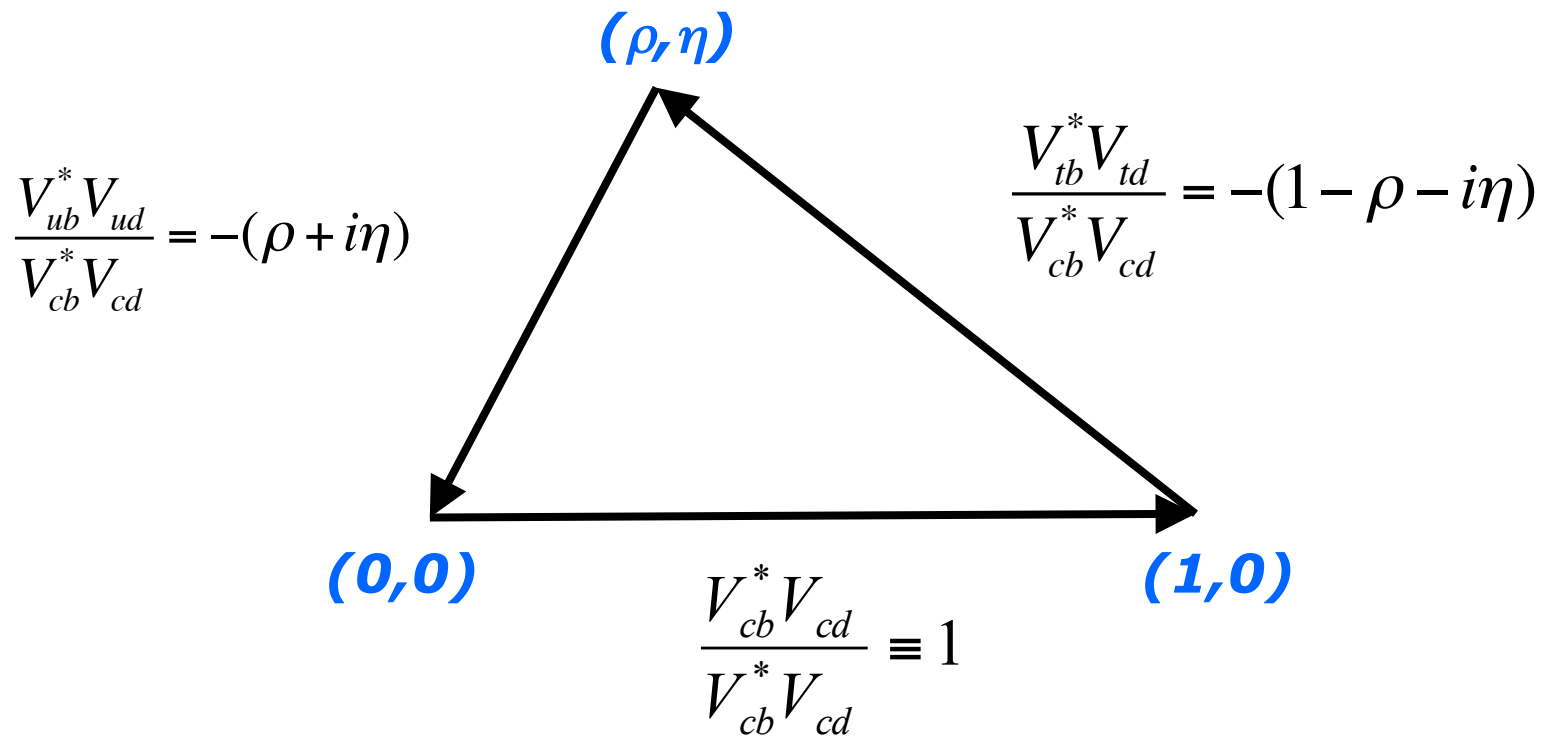
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- Phase of 'base' is zero  $\rightarrow$  Aligns with 'real' axis,



# Visualizing the unitarity constraint

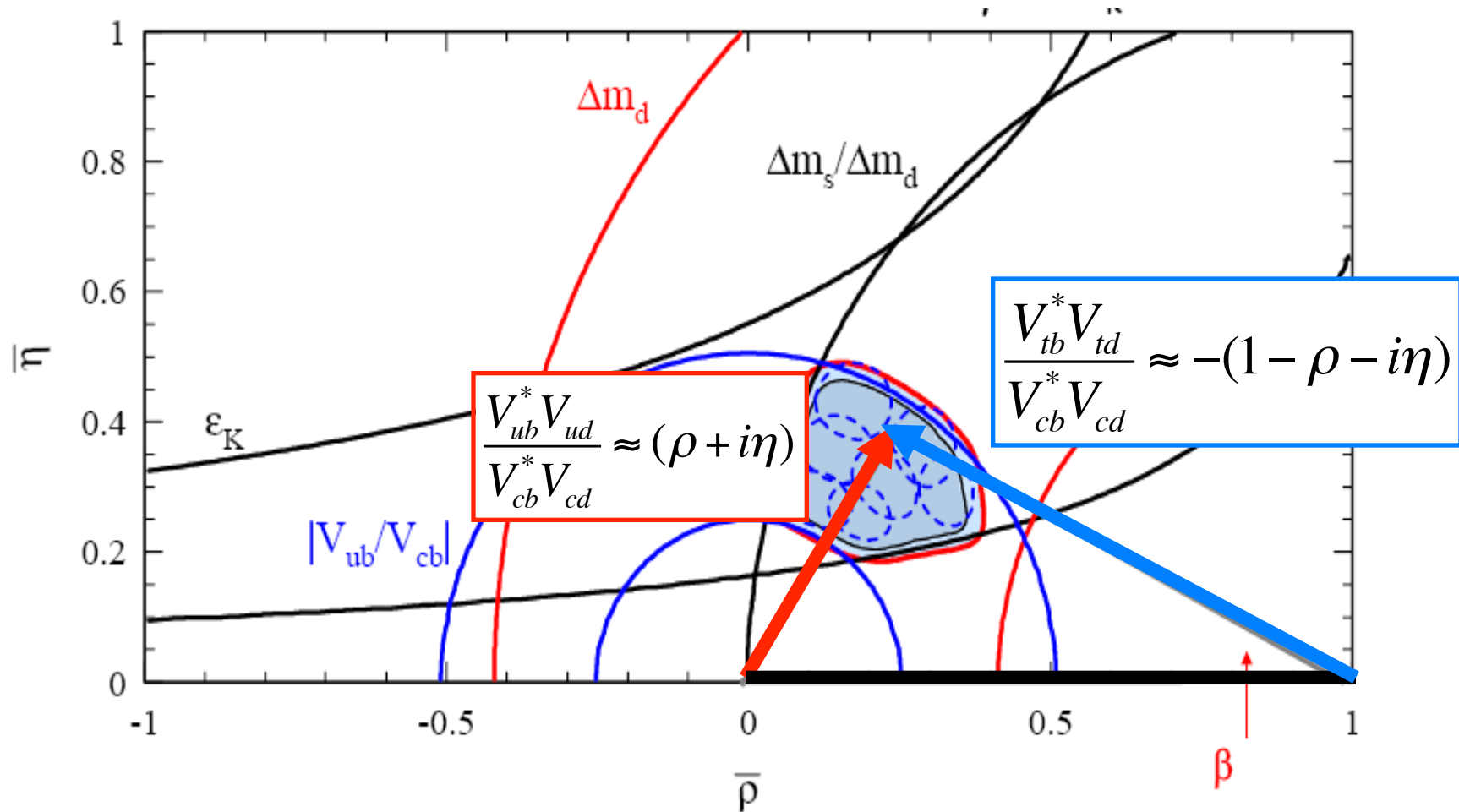
- Divide all sides by length of base



- Constructed a triangle with apex  $(\rho, \eta)$

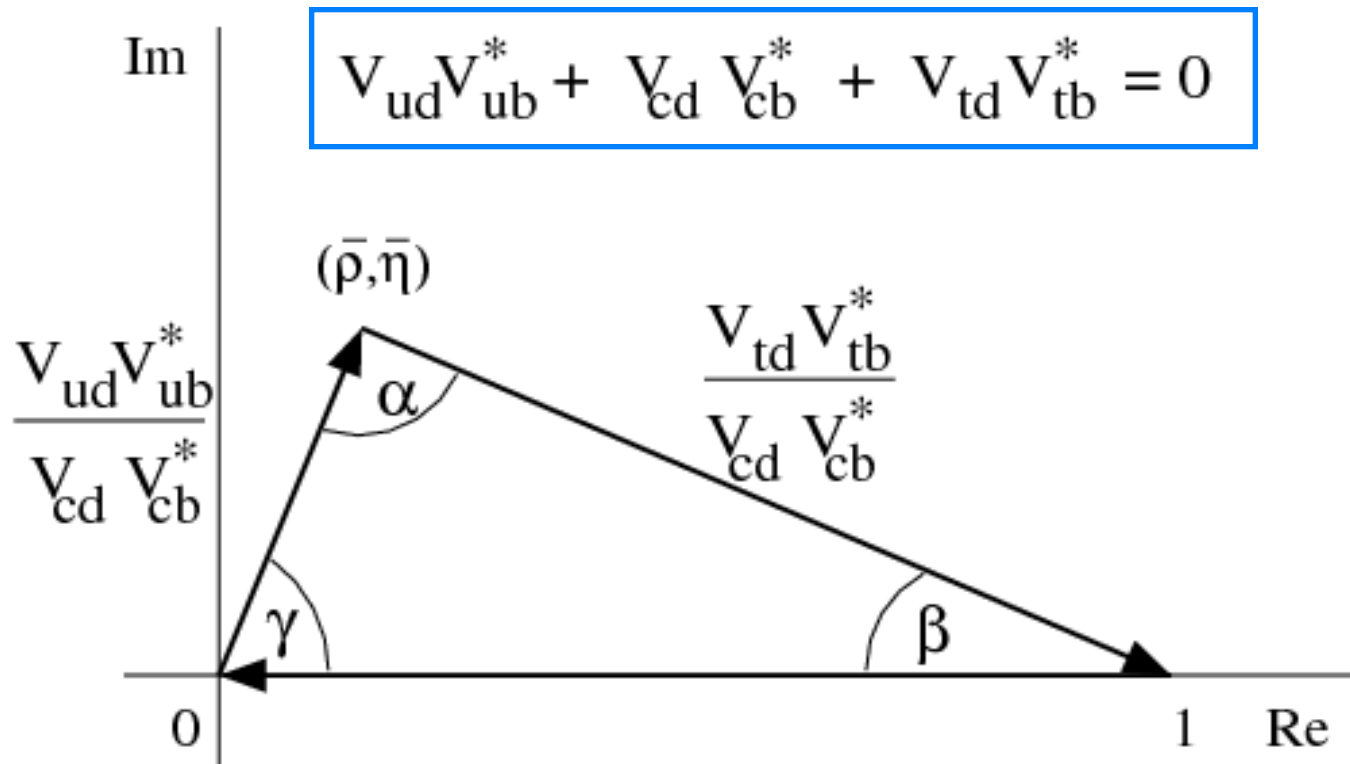
## Visualizing $\arg(V_{ub})$ and $\arg(V_{td})$ in the $(\rho, \eta)$ plane

- We can now put this triangle in the  $(\rho, \eta)$  plane



# "The" Unitarity triangle

- We can visualize the CKM-constraints in  $(\rho, \eta)$  plane

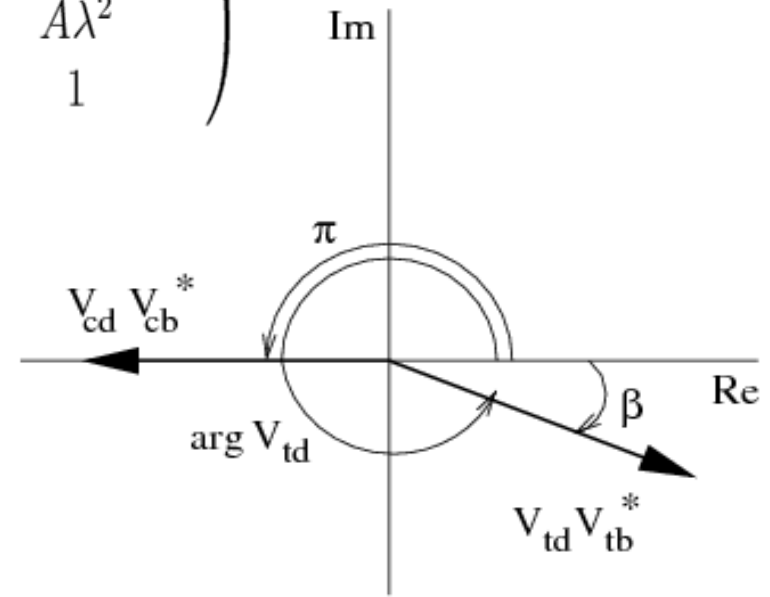


# $\beta$

- We can correlate the angles  $\beta$  and  $\gamma$  to CKM elements:

$$\beta = \arg \left[ -\frac{V_{cb}^* V_{cd}}{V_{tb}^* V_{td}} \right] = \pi + \arg [V_{cb}^* V_{cd}] - \arg [V_{tb}^* V_{td}] = 2\pi - \arg [V_{td}]$$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$





# Deriving the triangle interpretation

- Another 3 orthogonality relations

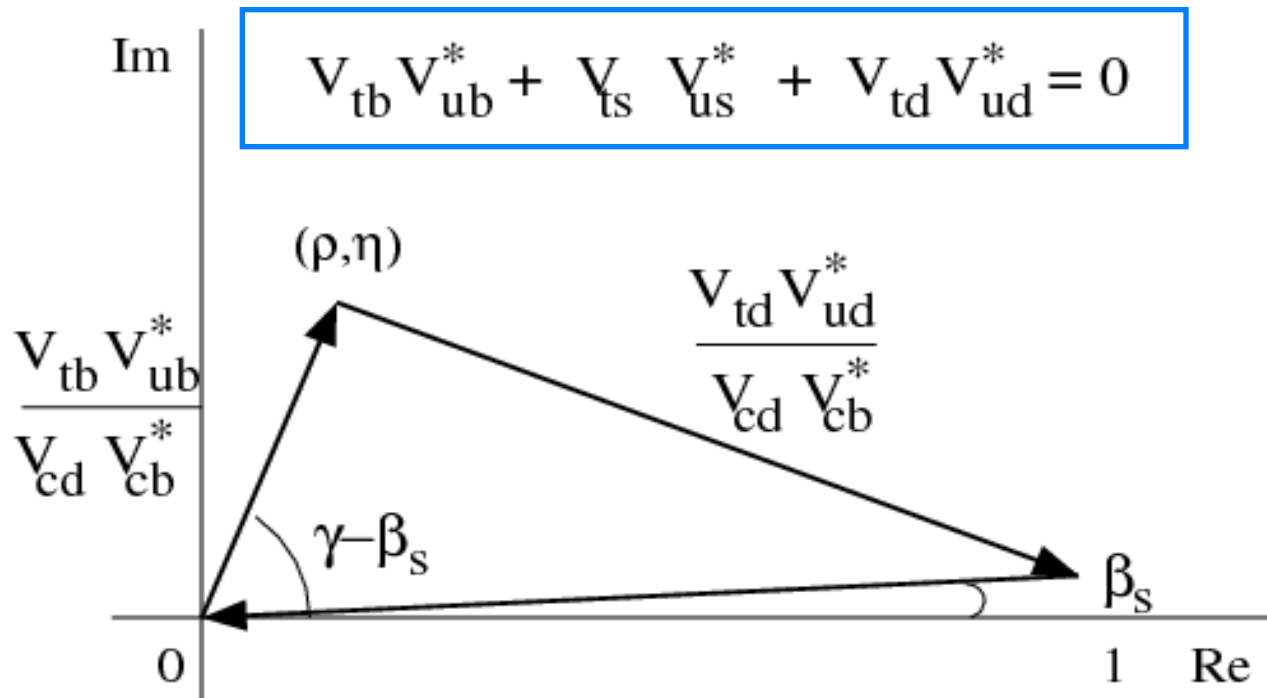
$$VV^\dagger = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} V_{ud}^* & V_{cd}^* & V_{td}^* \\ V_{us}^* & V_{cs}^* & V_{ts}^* \\ V_{ub}^* & V_{cb}^* & V_{tb}^* \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Pick (arbitrarily) orthogonality condition with  $(i,j)=(3,1)$

$$V_{ud}^* V_{td} + V_{us}^* V_{ts} + V_{ub}^* V_{tb} = 0$$

# The “other” Unitarity triangle

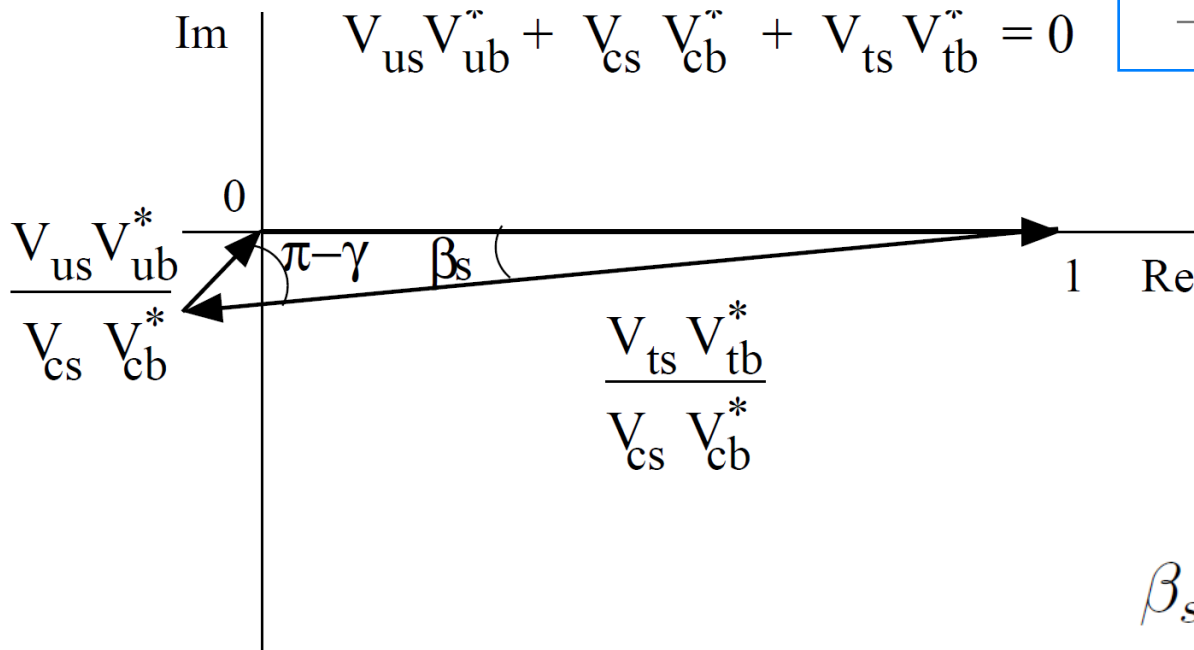
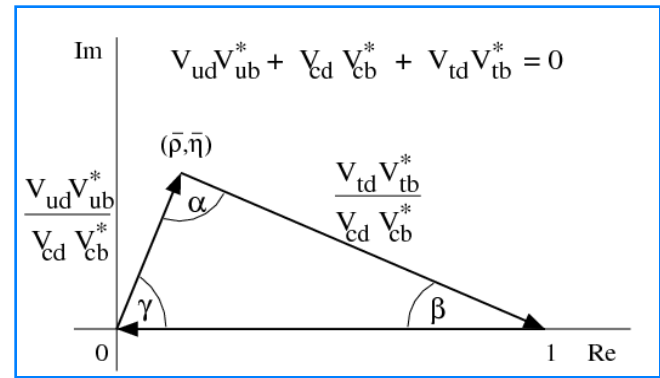
- Two of the six unitarity triangles have equal sides in  $O(\lambda)$



- NB: angle  $\beta_s$  introduced. But... not phase invariant definition!?

# The "B<sub>s</sub>-triangle": β<sub>s</sub>

- Replace *d* by *s*:



$$\beta_s \equiv \arg \left[ -\frac{V_{ts} V_{tb}^*}{V_{cs} V_{cb}^*} \right]$$

# The phases in the Wolfenstein parameterization

$$\alpha \equiv \arg \left[ -\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*} \right] \quad \beta \equiv \arg \left[ -\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right] \quad \gamma \equiv \arg \left[ -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right] \quad \beta_s \equiv \arg \left[ -\frac{V_{ts}V_{tb}^*}{V_{cs}V_{cb}^*} \right]$$

$$\beta \approx \pi + \arg(V_{cd}V_{cb}^*) - \arg(V_{td}V_{tb}^*) = \pi + \pi - \arg(V_{td}) = -\arg(V_{td})$$

$$\gamma \approx \pi + \arg(V_{ud}V_{ub}^*) - \arg(V_{cd}V_{cb}^*) = \pi - \arg(V_{ub}) - \pi = -\arg(V_{ub})$$

$$\beta_s \approx \pi + \arg(V_{ts}V_{tb}^*) - \arg(V_{cs}V_{cb}^*) = \pi + \arg(V_{ts}) - 0 = \arg(V_{ts}) + \pi$$

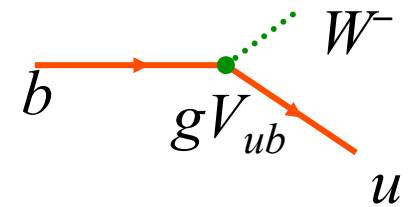
Alternatively, the Wolfenstein phase convention in the CKM-matrix elements can be shown as:

$$V_{CKM, \text{Wolfenstein}} = \begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}|e^{-i\gamma} \\ -|V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}|e^{-i\beta} & -|V_{ts}|e^{i\beta_s} & |V_{tb}| \end{pmatrix} + \mathcal{O}(\lambda^5) \quad (2.16)$$

# The CKM matrix

- *Couplings of the charged current:*

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$



- *Wolfenstein parametrization:*

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix}_L = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L$$

- *Magnitude:*

$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{pmatrix} = \begin{pmatrix} 0.97428 & 0.2253 & 0.00347 \\ 0.2252 & 0.97345 & 0.0410 \\ 0.00862 & 0.0403 & 0.999152 \end{pmatrix}$$

- *Complex phases:*

$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}|e^{-i\gamma} \\ -|V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}|e^{-i\beta} & -|V_{ts}|e^{i\beta_s} & |V_{tb}| \end{pmatrix}$$

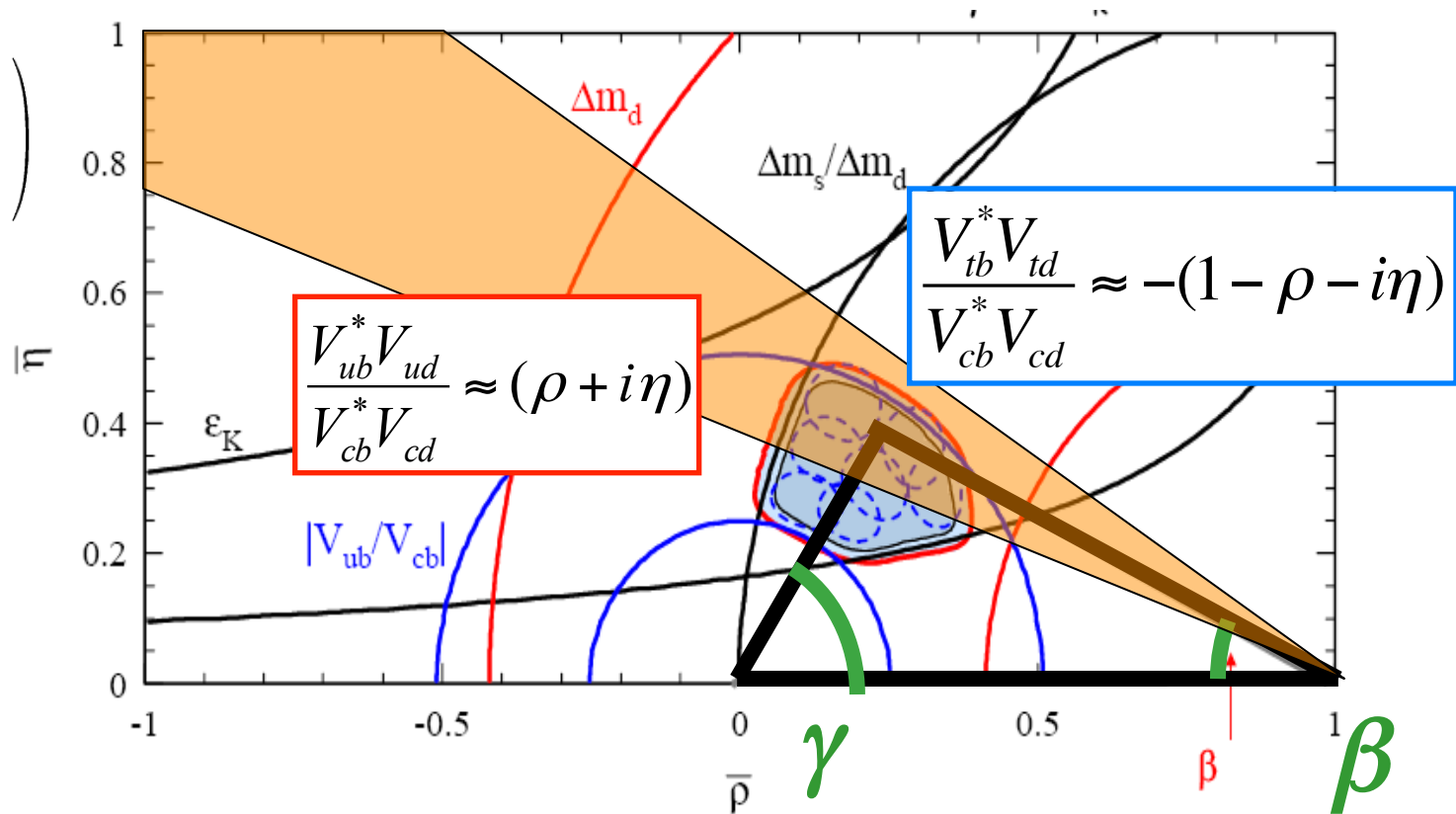
# Back to finding new measurements

- Next order of business: Devise an experiment that measures  $\arg(V_{td}) \equiv \beta$  and  $\arg(V_{ub}) \equiv \gamma$ .
  - What will such a measurement look like in the  $(\rho, \eta)$  plane?

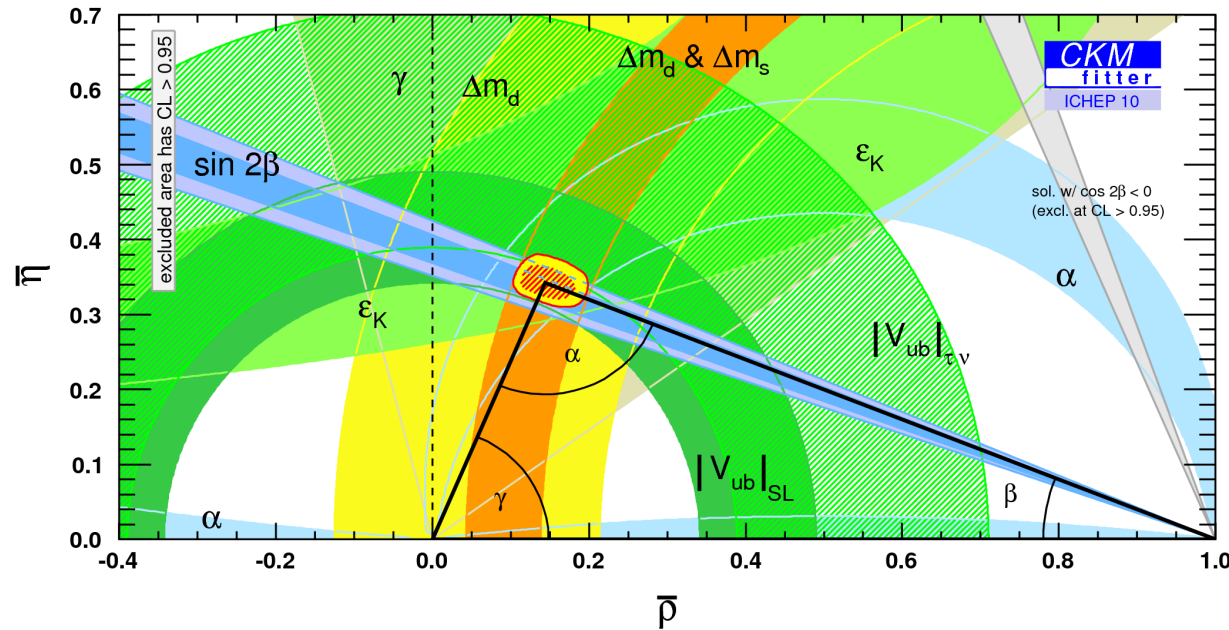
## CKM phases

$$\begin{pmatrix} |V_{ud}| & |V_{us}| & |V_{ub}|e^{-i\gamma} \\ -|V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}|e^{-i\beta} & -|V_{ts}|e^{i\beta_s} & |V_{tb}| \end{pmatrix}$$

## Fictitious measurement of $\beta$ consistent with CKM model

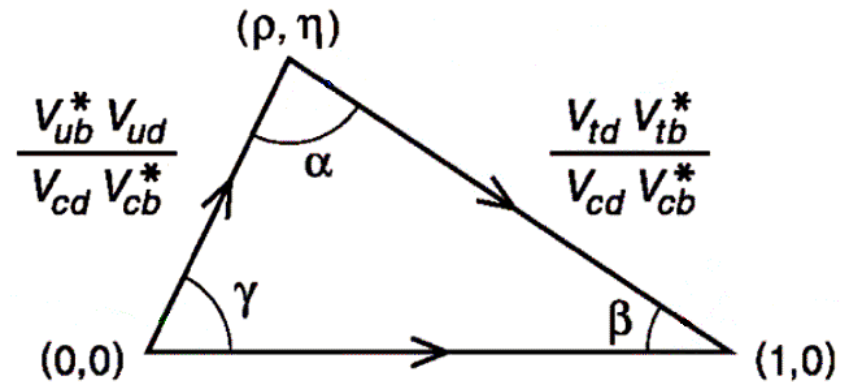


# Consistency with other measurements in $(\rho, \eta)$ plane



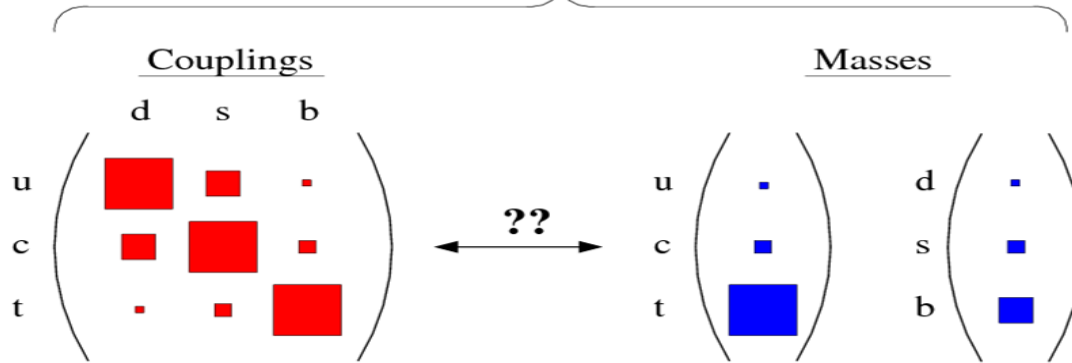
Precise measurement of  $\sin(2\beta)$  agrees perfectly with other measurements and CKM model assumptions

**The CKM model of CP violation experimentally confirmed with high precision!**



# What's going on??

## Yukawa Couplings



- ??? Edward Witten, [17 Feb 2009](#)...

In 2004, Time magazine stated that Witten was widely thought to be the world's greatest living theoretical physicist.

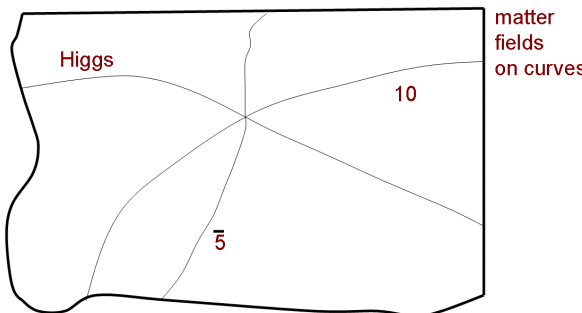


In this approach, the ordinary Higgs field is a wavefunction on K, as are the quark and lepton fields



Quark and lepton masses and the CKM matrix are determined by the overlaps of these wavefunctions.

The picture is a little like this:



SU(5) ... on a four-dimensional slice

Higgs fields and quarks and leptons are supported on the three curves, and the Yukawa couplings that gives masses to down quarks and charged leptons come from the intersection drawn. (Up quark masses come from a similar intersection.)

In the leading approximation, only one particle of each type (i.e. the third generation particles – top, bottom, tau) get masses. The others have wavefunctions that vanish at the intersection point.

- See "[From F-Theory GUT's to the LHC](#)" by Heckman and Vafa (arXiv:0809.3452)